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Nonstoichiometric transfer during laser ablation of metal alloys

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Large angular variations in film composition have been found for ablation of a metallic AuCu alloy (Au/Cu ratio ~1) in vacuum and background gases of Ne and Xe. The AuCu films grown in vacuum at a laser fluence of 5 J cm−2 exhibit a large loss in the Cu content, with the Au/Cu ratio ~2.4 at angles close to normal incidence. At this fluence, a distortion of the plume front is observed followed by the appearance of a secondary emission at the substrate, suggesting that resputtering of the film by energetic ions and reflection of ions/atoms at the substrate can lead to a nonstoichiometric transfer in pulsed laser deposition. Further, we have found that depending on the mass of the background gas employed during growth (Ne or Xe), the ratio of elements in the film can vary significantly over a wide range of angles of deposition. In the presence of the light gas Ne, the degree of nonstoichiometric transfer is gradually reduced with increasing background pressure, resulting in a nearly stoichiometric AuCu films at a Ne pressure of 2 mbar. The behavior in the heavy gas Xe is more complex, and both theoretical and experimental data indicate that the loss of Cu in the deposits is caused by the preferential scattering, as well as by backscattering of the light Cu atoms in the plume upon collisions with the background gas.

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I. INTRODUCTION

Pulsed laser deposition (PLD) has emerged as a versatile technique that allows the growth of complex structures, including thin oxide films and superlattices [1]. It is generally stated that the ablation process in PLD results in a stoichiometric transfer of material from the target to the substrate, provided that an energy threshold is exceeded. Indeed, when the laser fluence is below a certain threshold, one can expect a strong deviation in the composition of the deposits compared to the target, more predominantly for compounds containing volatile components [2]. For example, a strong dependence on the laser fluence has been observed for the Cu2ZnSnS4 (CZTS) films deposited by PLD. The CZTS films deposited at a low fluence of 0.2 J cm−2 are Cu free, and the Cu content in the films increases with increasing laser fluence [3]. Ohnishi et al. [4] have found that the composition of the PLD-deposited SrTiO3 films can be varied from Sr-rich to Ti-rich with increasing laser energy. Similar findings were reported by Wicklein et al. [5]. A noncongruent material transfer has been reported in PLD for materials containing different atomic masses due to preferential scattering of the light species in the plume, such as LiMn2O4 [6], LaAlO3 [7], and SrTiO3 [5]. In case of LiMn2O4, a lithium-rich target was used to compensate for lithium losses in the films [8]. Extensive research has been dedicated to complex perovskite oxides, such as La0.6Ca0.4MnO3 (LCMO) or LaAlO3 (LAO) [9], where an angle-dependent composition has been seen [10]. Other microscopic effects, such as self-sputtering and backscattering of the plume species upon arriving at the substrate can contribute to a noncongruent transfer in PLD [11,12].

In the present paper, we report that ablation of a metallic AuCu target in vacuum and background gases of Ne and Xe results in a significant deviation from a stoichiometric transfer in PLD, with the Au/Cu ratio in the deposits depending strongly on the laser fluence, mass and pressure of the background gas. The material under study is a completely miscible AuCu metal alloy with the Au/Cu ratio ~1. The target constituents have similar physical and chemical properties (see Table I), except for their atomic mass. The ablation studies were performed in noble gases, such that chemical effects (oxidation), which can otherwise change the composition of the film, are negligible. In such a particular system, the composition of the films is primarily determined by the mass of the target constituents. We will qualitatively estimate the ablation of the metallic alloy by studying the composition of the deposits over a wide range of angles. For understanding the plume expansion in vacuum, an analysis of the plume dynamics, as a tool to better understand the strong deviation in composition of the deposited films, will be presented.

II. EXPERIMENTAL

Thin AuCu films were deposited at room temperature from ablation of a metallic AuCu target (1:1) on a hemispherical array of Si substrates of radius of 60 mm (Fig. 1). The AuCu target was fabricated by the arc melting method from high-purity Au and Cu granules (purity higher than 99.9% from Dansk Edelmetal). The composition of the target was checked by EDX (energy-dispersive x-ray spectroscopy) using a TM3000 instrument. The ablation was done using a frequency-tripled Nd:YAG laser (wavelength of 355 nm) running at a repetition frequency of 10 Hz, with the laser beam directed on the...
target at normal incidence. This geometry was chosen in order to achieve a circular beam spot on the target that provides a rotational symmetry for the angle-resolved compositional studies and prevents any flip-over effects [13]. The laser beam was only rastered along the vertical direction, such that in the horizontal plane the beam was kept at a fixed position. The Si substrates were placed at a distance of 60 mm from the target. The substrates were mounted on a hemispherical array of radius 60 mm. The substrates were placed at angles varying from 0° to 90° with respect to normal incidence, as indicated in Fig. 1. The depositions were carried out in vacuum at a laser fluence of 2.5 and 5 J cm⁻², base pressure of 2 × 10⁻⁶ mbar, Ne background gas (0.1 mbar, 0.5 mbar, and 2 mbar) and Xe background gas (0.01 mbar). The experiments in gas were carried out at a fluence of 5 J cm⁻² only. Rutherford backscattering spectrometry (RBS), as interpreted using the RUMP software, was used to measure the composition and thickness of the films. The measurements were taken using a 2 MeV ⁴He ion beam. For a selection of samples, a higher ion beam energy (5 MeV ⁴He) was used in order to quantify the uniform film including the particulates (droplets). The composition of the films including the droplets showed a similar trend, suggesting that the droplets do not change the overall composition of the deposits.

The plasma generated by ablation of the AuCu target in vacuum was deposited using a time-gated ICCD camera Andor New i-star, with spectral range from 200–1000 nm and 1024 × 1024 pixels size. More details about the instrumentation are given elsewhere [14]. The images were recorded for all light passing through a quartz window. For plume imaging and mass spectroscopy analysis of the plume, the laser beam was incident on the target at an angle of 45°, while the laser fluence was set to similar values as the ones used for thin film deposition (2.5 and 5 J cm⁻²). The base pressure in the analysis chamber was 2 × 10⁻⁶ mbar. The energy spectra of the ions in the plume were measured using an energy analyzer (Hiden Analytical) placed at a distance of 60 mm from the target.

III. RESULTS

The angle-dependent composition profiles of the films deposited in vacuum at a laser fluence of 2.5 and 5 J cm⁻² are shown in Figs. 2(a) and 2(b), respectively (top plots). The angle of deposition (θ) equal to zero corresponds to the normal incidence of the laser beam on the target and the nearest film was deposited at an angle of 5° (±1). The dashed lines represent the composition of the target, i.e., Au/Cu ~ 1 (±0.02), as estimated by EDX. At a fluence of 2.5 J cm⁻² the deposition is nonstoichiometric, with the Au/Cu ratio in the films of ~1.2. With increasing laser fluence to 5 J cm⁻² the degree of nonstoichiometric transfer is significantly enhanced, and the Au/Cu ratio in the films peaks at ~2.4 for the deposits collected at near incidence (θ ~ 5°). This is equivalent to a loss in the Cu content of ~70% as compared to the composition of the target. The dramatic Cu nonstoichiometry in the films vanishes rapidly at large angles (θ > 30°), suggesting that the mechanisms leading to the nonstoichiometric transfer involve processes whose effectiveness is larger along the plume expansion, as it will be discussed later.

The angular thickness profiles of the deposits, as determined by RBS, are shown in Fig. 2 (bottom plots). Anisimov’s model was used to fit the angular distribution of the deposits [15]. According to the model, the angular distribution $F_A(θ)$ of the particle flow onto a hemispherical substrate with an angle θ relative to the target normal can be expressed as [16]:

$$ F_A(θ)/F_A(0) = (1 + \tan^2θ)^{3/2}/(1 + k^2\tan^2θ)^{3/2}, $$

where $F_A(0)$ is the value for particles along the target normal at $θ = 0$. The parameter $k$ is the ratio of the cloud front along

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**TABLE I. Basic properties of elements**

<table>
<thead>
<tr>
<th>Element</th>
<th>Au</th>
<th>Cu</th>
</tr>
</thead>
<tbody>
<tr>
<td>Mass (amu)</td>
<td>196.96</td>
<td>63.54</td>
</tr>
<tr>
<td>Cohesive energy (eV/atom)</td>
<td>3.85</td>
<td>3.5</td>
</tr>
<tr>
<td>Ionization potential (eV)</td>
<td>9.22</td>
<td>7.72</td>
</tr>
<tr>
<td>Thermal diffusivity (W/cmK)</td>
<td>3.2</td>
<td>4</td>
</tr>
</tbody>
</table>

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**FIG. 1.** Schematic of the PLD setup showing the Si substrates placed on a hemispherical array of radius 60 mm. The substrates were placed at −5°, −10°, −15°, −24°, −38°, −45°, −65°, and −90° (left-hand angles) and 8°, 15°, 45°, 60°, 70°, 80°, and 90° (right-hand angles), as indicated by the black circles. The laser beam hits the target at normal incidence. For simplicity only two substrates are shown.
FIG. 3. Time-gated images of the plasma-plume generated by ablation of AuCu in vacuum at a fluence of (a) 2.5 J cm$^{-2}$ and (b) 5 J cm$^{-2}$.

The images were recorded for a gate width of 1 $\mu$s and time delay indicated in each image. The target to substrate distance was 60 mm.

the expansion axis $Z$ ($Z_{inf}$) versus the value of the cloud front along the $X$ axis ($X_{inf}$), i.e., $k = Z_{inf}/X_{inf}$. In other words, $k$ defines the angular spread of the flux; the larger the $k$ value the more peaked the plume expansion in the forward direction is. Fitting the angular thickness profile at a fluence of 2.5 J cm$^{-2}$ yielded $k = 1.8$. We note that the deposition rate per pulse does not change significantly with increasing fluence from 2.5 to 5 J cm$^{-2}$ at low angles, but it rises at the wings. We anticipate that resputtering effects are likely responsible for a flat-top thickness profile at high fluence, which also implies that the thickness profile could not be well described by Anisimov’s model [Fig. 2(b), bottom panel].

In order to have a better understanding of the plume dynamics in vacuum, plume imaging and mass spectrometry analyses were performed. A series of time-resolved images of the plasma-plume expansion at 2.5 and 5 J cm$^{-2}$ is shown in Figs. 3(a) and 3(b), respectively. All images were obtained using a gate width of 1 $\mu$s and each image is normalized to its brightest intensity pixel for an easy comparison. The positions of the target and substrate holder are indicated in each image. Shortly after the laser pulse, the plume expands freely into vacuum, more dominantly peaked along the normal direction. At a delay time of 2 $\mu$s, the plume front reaches the substrate and the plume emission becomes distorted. At the high fluence of 5 J cm$^{-2}$ a secondary plume emission appears in the vicinity of the substrate, while the primary plume emission seems to be pushed backward towards the target. Due to geometrical restrictions, the measurements were taken at an angle of incidence of 45°, as compared to the normal incidence geometry used for thin-film deposition. The spot size will increase from the circular shape ($b/a$ ratio ~1) to an elliptical one with the $b/a$ ratio ~1.4. This will also lead to a narrower plume [13]. This means that under the normal incidence geometry, the plume emission would appear more broadened. We believe that the plume dynamics and processes that occur at the substrate are similar.

In order to identify the fast species in the plume, the energy distribution of Au and Cu ions was measured with an ion-energy analyzer (see Supplemental Material [17]).

The composition of the films grown at different Ne background pressures (0.1 mbar, 0.5 mbar, and 2 mbar) versus angle of deposition are shown in Fig. 4(a), left-hand plots. The deposits are Cu-poor/Au-rich and the degree of Cu nonstoichiometry decreases with increasing pressure. At 2 mbar, the composition of the deposits is relatively similar over a wide range of angles varying from $-90^\circ$ to $+90^\circ$. The corresponding thickness profiles of the deposits are shown in Fig. 4(b), right-hand plots. The profiles were fitted as explained above, and the fitting parameter, $k$, is shown in each figure. One can observe that $k$ gradually decreases as the Ne pressure increases from 0.1 mbar to 2 mbar, suggesting that the plume broadens as a consequence of the interaction with the background gas.

Finally, the effect of the heavy background gas (Xe) on the angle-dependent composition of the AuCu deposits is shown in Fig. 5. A clear broadening of the thickness and composition profiles is observed as compared to vacuum. Nevertheless, the deviation from stoichiometry is comparable with the deposits
IV. DISCUSSION

The results presented here indicate that the composition of the AuCu films deposited in vacuum and in Ne- and Xe-background gases is off-stoichiometric, and the metal ratio in the films varies significantly as a function of laser fluence, pressure and mass of the background gas. Even though the depletion of the light elements in films deposited by PLD has been reported in the literature [7,18,19], the degree of the nonstoichiometric transfer is significantly larger compared to findings on other materials [18–21]. The mechanisms involved in the noncongruent deposition in PLD are (i) preferential evaporation of the volatile components from the target, (ii) preferential scattering of the light-mass species in the plume, (iii) atom/ion reflection on the substrate surface, and (iv) preferential (re)sputtering of the film by the energetic ablated species arriving at the substrate. Hereafter, we will discuss our experimental findings based on the processes mentioned above.

A. Deposition in vacuum

The noncongruent material transfer in vacuum is observed at both laser fluences; however, the effect is significantly enhanced at the high laser fluence of 5 J cm$^{-2}$, where an enrichment in Au of $\sim$70% with respect to the composition of the target has been experimentally determined for films grown at angles near normal incidence. Films deposited at large angles are stoichiometric. The spectroscopic plume imaging reveals a strong distortion of the plume emission followed by the appearance of a secondary emission at the substrate position [Fig. 3(b)]. Since this effect is mostly pronounced at a laser fluence of 5 J cm$^{-2}$ this indicates that microscopic-scale processes, as will be discussed below, play an important role on the Cu loss in the films.

The sputtering of a single-component target is generally well understood [22], and self-sputtering occurs when the kinetic energy of the ablated species is at least one order of magnitude higher than the cohesive energy of the target atoms. Mass-resolved ion-energy distributions of the ions reveal a high-energy tail for the ions, which extends up to 200 eV for Cu and 500 eV for Au, as shown in the Supplemental Material [17]. This implies that resputtering/ion mixing can be efficient above a threshold energy of $\sim$35 eV, which corresponds to the sputtering threshold for pure Cu (Table I). For two-component or multicomponent targets, such as the AuCu alloy, it has been experimentally determined that (i) the cohesive energy of atoms in an alloy is smaller than that in a pure element, and (ii) the atoms with the highest cohesive energy remain those with the highest value in an alloy [23]. The ratio between the sputtering yield of component 1 of mass $M_1$, concentration $c_1$, and cohesive energy $U_{01}$ and a component 2 of mass $M_2$, concentration $c_2$, and cohesive energy $U_{02}$ is given by:

$$\frac{Y_1}{Y_2} = \frac{c_1}{c_2} \left( \frac{M_2}{M_1} \right)^{2m} \left( \frac{U_{02}}{U_{01}} \right)^{1-2m},$$

where $m$ is an exponent from the interaction potential [23]. Since $m$ is typically around 0.05–0.1, and the cohesive energies of Au and Cu are similar, the ratio $\frac{Y_1}{Y_2}$ depends only weakly on the cohesive energy and even less on the mass of the
elemental constituents. Equation (2) predicts a tendency (of 25% magnitude) for lighter elements to be preferentially sputtered with respect to the heavy masses. Note that this estimation is purely theoretical since the cohesive energy of the atoms will be smaller than that in a crystalline alloy because of the higher number of defects in the film formed during bombardment of energetic ions. Any significant change in the cohesive energy of Au and Cu can thus modify the estimate above. The preferential sputtering of Cu with respect to Au can partly explain the Au-rich deposits at small angles without being the sole effect.

The apparent recoil of the plume front indicates that the atom/ion reflection at the substrate can play a role as well, similarly with the findings by Gonzalo et al. [11]. The backscattered ions can interact with electrons resulting in the formation of neutrals via electron-capture and subsequent electron-induced excitation of the neutrals [24]. The interaction of the backscattered ions with the incoming ions reaching the substrate can induce preferential scattering of the light Cu atoms in the plume. Thus, the nonstoichiometric material transfer at high fluence can arise from resputtering, ion backscattering, as well as differential scattering of the light species in the plume.

The spatial and angular distribution of the plume in vacuum is forward peaked along the target surface normal [25,26], meaning that the resputtering, as well as backscattering efficiency decline progressively at larger angles. Consequently, a quasistoichiometric deposition is achieved at large angles.

B. Deposition in neon and xenon background gases

The plume dynamics in background gas has been studied intensively [6,27–29]. In particular, a splitting of the plume into an energetic component traveling at near vacuum speed and a component slowed by the ambient gas has been observed [30]. The plume splitting has been studied for ablation of metals, such as Ag [31], as well as of complex compounds such as LiMn₂O₄ [6], LaAlO₃ [32], etc. The background gas appears to act as a regulator of highly energetic ablated species. While the dynamical behavior of the plume has been widely studied, a qualitative estimate of the material deposited on the substrate as a function of the mass and pressure of the background gas has been poorly investigated.

The data presented here indicate that the angular profile of the deposition yield broadens with increasing background pressure [Fig. 4(a)], while the Cu nonstoichiometry in the films is reduced, suggesting that the Ne background gas reduces the kinetic energy of the ablated species. In particular, the angular distribution of the deposits at 2 mbar Ne is very broad, such that the dependence on the angle of deposition is smeared out. This indicates that the Au and Cu atoms/ions are thermalized upon multiple collisions with the background gas and reach the substrate through diffusion. In the diffusionlike regime, the atoms/ions arriving at the substrate might have suffered many collisions with the background gas and reduced their initial kinetic energy (up to 500 eV in case of Au ions) to values below the sputtering threshold (i.e., ~35 eV for pure Cu). Interestingly, the deposits collected at low angles are Cu poor, and the composition merges into Cu-rich films at large angles, predominantly at a background pressure of 0.1 and 0.5 mbar Ne. This interesting trend resembles a differential scattering of Cu in plume with respect to Au; the larger the pressure during deposition, the broader the angular scattering of the Cu atoms in the plume. At a pressure of 2 mbar Ne, the films are still Cu poor (~12% with respect to the composition of the target) and the angular composition of the deposits is similar over the entire hemisphere. A similar behavior was observed for Li₀.₆Ca₀.₄MnO₃ in Ar gas [19].

In the presence of Ne gas, the transfer is noncongruent and comparable in magnitude with the deposits in vacuum. All peaks broaden considerably over their distributions in vacuum. However, the mechanism responsible for the loss of Cu might be different.

To understand the scattering between the ablated species and the background gas, one can consider the simple case of one-dimensional elastic collisions, in which all the velocities lie along the positive x axis. Let us consider mₐ and m₏ as the masses of the plume species and background gas, respectively. We define the corresponding x velocities of the ablated species and gas before the collision vₐₓ and vₐᵧ, and those after collision v'ₐₓ and v'ₐᵧ. In case of head-on collisions, the velocity of the plume species after collision are given by:

\[ v'ₐₓ = \frac{mₐ - m₏}{mₐ + m₏} vₐₓ + \frac{2 m₏}{mₐ + m₏} vₐᵧ \]  \hspace{1cm} (3)

If the mass of the ablated plume species is equal with the mass of the gas (mₐ = m₏) and vₐᵧ is small and positive, the particles exchange only velocities upon collision.

C. Collisions in Ne gas:

For Cu in Ne (mₐ > m₏):

\[ v'ₐₓ = 0.51 vₐₓ + 0.46 vₐᵧ, \quad v'ₐᵧ > 0. \]  \hspace{1cm} (4)

For Au in Ne (mₐ > m₏):

\[ v'ₐₓ = 0.81 vₐₓ + 1.81 vₐᵧ, \quad v'ₐᵧ > 0. \]  \hspace{1cm} (5)

Eqs. (4) and (5) are valid when vₐᵧ is small and positive.

D. Collisions in Xe gas:

For Cu in Xe gas (mₐ < m₏), Eq. (3) gives:

\[ v'ₐₓ = -0.34 vₐₓ + 1.34 vₐᵧ, \quad v'ₐₓ > 0 \quad \text{if} \ vₐᵧ > 0.25 vₐₓ, \quad \text{otherwise} \ v'ₐₓ < 0. \]  \hspace{1cm} (6)

For Au in Xe gas (mₐ > m₏),

\[ v'ₐₓ = -0.19 vₐₓ + 0.79 vₐᵧ, \quad v'ₐₓ > 0. \]  \hspace{1cm} (7)

Eqs. (6) and (7) are satisfied when vₐₓ and vₐᵧ are positive. For Au/Cu in Ne, the velocity of the species after collision v'ₐₓ > 0, meaning that the plume species are never scattered in the backward direction. For Au/Cu in Xe, Eq. (6) implies that there will be backscattering of the Cu atoms by the Xe atoms until the gas particles have reached 25% of the velocity of the plume species. The heavy Au species will not experience backscattering effects.

We will now consider a situation when background gas particles are stationary but the collisions are not head-on. We
define \( p(\psi) \) as the scattering probability between the plume species and the background gas atoms and \( \psi \) the scattering angle between the direction of Au and Cu before and after an elastic collision [33]. The scattering probability for a single scattering event between the projectile (Au, Cu) and target (Ne, Xe) atoms, as calculated by Monte Carlo simulation is shown in Figs. 6(a) and 6(b). The \( p(\psi) \) function is symmetric with respect to \( \psi = 0 \). The scattering in a binary collision is isotropic in the center of mass (COM) reference frame of the system, but anisotropic in the laboratory reference frame. It is important to mention that the scattering angle \( \psi \) depends on the \( m_A/m_B \) ratio. When the ratio is larger than 1, i.e., Au and Cu in Ne, the same value of \( \psi \) can result from a couple of values of the scattering angle \( \psi \) in the COM frame. The same applies for Au in Xe. Hence, two values of \( p(\psi) \) up to a maximum scattering angle \( \psi_{\text{max}} \) of 6 (Cu in Ne), 19 (Au in Ne), and 42 degrees (Au in Xe) are obtained. For Cu in Xe, only one value \( \psi \) exists for any scattering angle in the COM reference frame. In the presence of Ne, an average scattering angle for Au and Cu of \( \sim 5 \) and \( \sim 15 \) degrees, respectively, was determined. This implies that Cu species will be more broadly scattered by the ambient Xe gas than Au. Moreover, the scattering angle \( \psi \) varying from \(-180 \) to \( 180 \) degrees and \( \vert \psi \vert > 90 \) degrees indicates scattering of the plume species in the backward direction. Figure 6 reveals the Au atoms are not backscattered while the Cu atoms experience a backscattering (of \( \sim 25\% \)). Furthermore, these simple calculations indicate that Cu is more broadly scattered by the Xe atoms as compared to Au, which allows us to conclude that ablation in the heavy Xe gas can lead to preferential scattering of the light mass-species in the plume, which in turn can explain the Cu loss for the deposits in Xe.

V. CONCLUSION

In summary, the elemental distribution of Au and Cu in the films deposited in vacuum and in the background gases of Ne and Xe was investigated over a wide range of angles of deposition. Films deposited near normal incidence have an elemental ratio Au:Cu of 1.2 at a laser fluence of 2.5\( \text{Jcm}^{-2} \) and 2.5 at a laser fluence of 5\( \text{Jcm}^{-2} \). At large angles, the material transfer in vacuum is always stoichiometric. Time-resolved images of the plume emission in vacuum reveal a distortion of the plume and the appearance of a secondary emission at the substrate, distinctly observed at the high laser fluence of 5\( \text{Jcm}^{-2} \). The loss of Cu in the AuCu films was attributed to two distinct processes, i.e., preferential resputtering of Cu and differential scattering of the lightweight Cu atoms in the plume. In the presence of a background gas, a more complex behavior is observed as a function of the atomic mass and pressure of the gas. Films deposited in the light Ne gas have an elemental ratio of Au/Cu which broadens significantly with increasing pressure, resulting in films with an even composition at all pressures. Films deposited in vacuum is always stoichiometric. Time-resolved images of the plume emission in vacuum reveal a distortion of the plume and the appearance of a secondary emission at the substrate, distinctly observed at the high laser fluence of 5\( \text{Jcm}^{-2} \). The loss of Cu in the AuCu films was attributed to two distinct processes, i.e., preferential resputtering of Cu and differential scattering of the lightweight Cu atoms in the plume. In the presence of a background gas, a more complex behavior is observed as a function of the atomic mass and pressure of the gas. Films deposited in the light Ne gas have an elemental ratio of Au/Cu which broadens significantly with increasing pressure, resulting in films with an even composition at all pressures. Films deposited in vacuum is always stoichiometric.

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