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High Voltage Gain Dual Active Bridge Converter with an Extended Operation Range for Renewable Energy Systems

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Abstract—Developing bidirectional dc-dc converters has become a critical research topic and gains more and more attention in recent years due to the extensive applications of smart grids with energy storages, hybrid and electrical vehicles and dc microgrids. In this paper, a Partial Parallel Dual Active Bridge (P2DAB) converter, i.e. low-voltage (LV) side parallel and high-voltage (HV) side series, is proposed to achieve high voltage gain and low current stress over switching devices and transformer windings. Given the unmodified P2DAB power stage, by regulating the phase-shift angle between the paralleled active bridges, the power equations and voltage gain are then modified, and therefore the operation range can be extended effectively. The operating principles of the proposed converter and its power characteristics under various operation modes are studied, and the design constraints are discussed. Finally, a laboratory prototype is constructed and tested. Both simulation and experimental results have verified the proposed topology’s operation and design.

Keywords—Bidirectional; converter; DAB; dc-dc; high voltage gain; soft-switching.

I. INTRODUCTION

Bidirectional dc-dc converters provide the capability of effectively and flexibly regulating reversible dc power flows, making them an essential solution in applications such as renewable energy systems, electrical vehicles and dc microgrids [1]-[5]. Several bidirectional dc-dc topologies, as well as their derivations, exist but given the galvanic isolation requirement, the two most established converters are the dual active bridge (DAB) and the isolated boost/buck converter [6], [7]. This paper focuses on the DAB converter, which has been implemented in a wide range of applications including renewable energy conversion, smart transformers, and transportation electrification, due to its unique features such as symmetrical configuration and zero voltage switching (ZVS). However, there are still some fundamental issues existing, for instance, the DAB converter’s efficiency suffers from large root mean square (rms) current because of 1) voltage unmatch between low voltage side (LVs) and high voltage side (HVs) and 2) phase-shift control introducing reactive power, and it becomes even severe for high-power applications. Various techniques for high current applications have been proposed. The well-known method is directly parallel semiconductor devices or converter modular units [8]-[11]. Paralleling switches complicates circuit layout and increases parasitic inductance. Moreover, thicker copper or a parallel structure must be applied to transformer windings resulting in high manufacturing cost and high interwinding capacitance, especially for print circuit board (PCB) windings. On the other hand, paralleling converter modular units need additional control scheme to eliminate circulating current between units. Besides paralleling, other methods are targeted towards reactive current reduction and ZVS region extension by using more advanced modulation strategies for instance double- or triple-phase-shift modulations and variable frequency modulations [12]-[14].

In this paper, based on an idea of connecting the circuit parts, which need to carry high current, in parallel and connecting the circuit parts, which need to block high voltage, in series, a new DAB converter configuration, so-called Partial Parallel Dual Active Bridge (P2DAB) converter is proposed for high-power applications. The ac current balancing between the parallel full-bridges is inherently ensured by the winding series connection on the HVs. Moreover, compared with the traditional DAB converter, regulating the phase-shift angle between the paralleled active bridges gives an additional degree of freedom for power control, and thereby extends the P2DAB converter’s operating range.

II. PROPOSED P2DAB CONVERTER

The proposed topology is presented in Fig. 1. The converter is derived from a DAB topology with parallel high-current parts. Two transformers operated in parallel on the LVs and in series on the HVs. Due to series connection of the HVs windings, the currents \(i_1\) and \(i_2\) are forced to be the same and can be expressed as,

\[i_1 = i_2 = n \cdot i_w\]  

(1)

where \(i_w\) and \(n\) represent the HVs winding current and the transformer turns ratio, respectively, as denoted in Fig. 1.

A single common active full bridge is connected to the high-voltage port \(V_2\). This partial parallel configuration splits the high-current loops into two smaller loops with half the total...
input current, and thereby reduces conduction and switching losses. Due to only high-current parts duplicated, cost can be reduced accordingly. The basic converter operating waveforms under single phase-shift modulation are presented in Fig. 2, and the converter’s steady-state power equation can be derived from (2).

\[
P = \frac{2nV_2V_1}{f_sL_ac} \phi(1 - 2\phi) \tag{2}
\]

where the phase shift \( \phi \) is represented as a percentage of the switching period \( T_s \), \( f_s \) is the switching frequency and \( L_{ac} \) is the sum of the external inductance and the transformer leakage inductance seen from the HVs.

If a fixed load \( Z_L \) is connected to \( V_2 \) port, the \( P^2 \)DAB converter’s voltage gain can be expressed by (3). As it can be observed, it is twice as much as that of conventional DAB converters.

\[
G(\phi) = \frac{V_2}{V_1} = \frac{2nZ_L}{f_sL_ac} \phi(1 - 2\phi). \tag{3}
\]

This partial parallel principle can also be applied to other DAB derived topologies, such as single active bridge (SAB), dual half bridge (DHB) and dual three- or multi-phase bridge (DTB or DMB) converters for high-current applications.

III. OPERATING RANGE EXTENSION

A. Additional Phase-shift and Effects

Regulating the phase shift between the two paralleled active bridges, i.e. HB-LV1 and HB-LV2 gives an additional degree of freedom to control output power or voltage. Fig. 3 shows the switching pattern and the typical ac inductor current and voltage waveforms when the additional phase shift \( \phi_p \) is inserted and \( 0 < \phi_p < \phi \). Based on the waveforms in Fig. 3, \( I_1, I_2 \) and \( I_3 \) can be calculated accordingly in (4)-(6). By using the mean-value theorem, the power equation for \( P^2 \)DAB with \( \phi \) and \( \phi_p \) as the control parameters is expressed in (7).

\[
I_1 = \left( 4\phi - 2\phi_p - 1 \right) \cdot \frac{2nV_1 + V_2}{4f_sL_ac}. \tag{4}
\]

\[
I_2 = \left( 1 - 2\phi_p \right) \cdot \frac{2nV_1 + (4\phi - 1) \cdot V_2}{4f_sL_ac}. \tag{5}
\]

\[
I_3 = \left( 1 - 2\phi_p \right) \cdot \frac{2nV_1 + (4\phi - 4\phi_p - 1) \cdot V_2}{4f_sL_ac}. \tag{6}
\]

\[
P = \frac{2nV_2V_1}{f_sL_ac} \phi \left( 1 - 2\phi + 2\phi_p - \frac{\phi_p}{2\phi} - \frac{\phi_p^2}{\phi} \right) \tag{7}
\]

Equation (7), in comparison to (2), has an additional term i.e. \( 2\phi_p \cdot \frac{\phi_p^2}{2\phi} \) which is always negative when \( 0 < \phi_p \leq 0.25 \) (the phase-shift angle is limited to be smaller than \( \pi/2 \)).
Therefore, the power as a function of $\varphi$ and $\varphi_p$ can be plotted in Fig. 4, where the base power is $nV_1V_2/4fL_{ac}$. 

**B. Design Considerations**

It is found that regulating $\varphi_p$ results in an unequal power distribution between the paralleled active bridges. When $0<\varphi_p<\varphi$, the average input currents $I_{in1_{avg}}$ and $I_{in2_{avg}}$ can be calculated by (9) and (10).

$$I_{in1_{avg}} = \frac{n^2V}{f_sL_{ac}} \left[ 2m\varphi(1-2\varphi) + \varphi_p\left(2\varphi_p - 1\right) \right]$$  \hspace{1cm} (9)

$$I_{in2_{avg}} = \frac{n^2V}{f_sL_{ac}} \left[ 2m\varphi(1-2\varphi) + \varphi_p\left(1 - 2\varphi_p \right) \right]$$  \hspace{1cm} (10)

where

$$m = \frac{V_1}{2nV}.$$  \hspace{1cm} (11)

From (9)-(11), it can be seen that the current distribution between the two paralleled bridges depends on the phase-shift angles $\varphi$ and $\varphi_p$ and $m$. When $\varphi_p=0$,

$$I_{in1_{avg}} = I_{in2_{avg}} = \frac{n^2V}{f_sL_{ac}} 2m\varphi(1-2\varphi) = \frac{nV}{f_sL_{ac}} \varphi(1-2\varphi).$$  \hspace{1cm} (12)

Fig. 5 shows the ratios of the average currents $I_{in1_{avg}}$ and $I_{in2_{avg}}$ against $n^2V/f_sL_{ac}$ as a function of $\varphi$. The dashed line and solid line represent $I_{in1_{avg}}$ and $I_{in2_{avg}}$ respectively. When $m=1$, $I_{in1_{avg}}$ and $I_{in2_{avg}}$ always intersect at $\varphi=\varphi_p$. In fact, the introduced $\varphi_p$ varies the effective phase-shift angle between ac current and voltage, which results in the different input currents. The active bridge in which the ac current and voltage have smaller phase delay will carry more real power and accordingly has larger average input current.

On the other hand, the series winding connection constrains the rms currents to be equal in all the semiconductor switches on the LVs.

$$I_{S1-S4_{rms}} = I_{S1_2-S4_2_{rms}}.$$  \hspace{1cm} (13)
In Fig. 6, \( I_{2,\text{RMS}}^{2} / P_o \) as a function of \( \varphi_p \) is plotted. To keep the output power constant as the blue line illustrated, \( \varphi \) must be increased when increasing \( \varphi_p \), which leads to higher reactive power as well as a higher rms current. But if \( \varphi \) is fixed, the red line shows that increasing \( \varphi_p \) causes output power reduction, but at the same time, \( I_{2,\text{RMS}}^{2} \) decreases even further so that lowers conduction loss.

For switching losses, \( S_1-2-S_4_2 \) have lower turn-off losses than \( S_1-2-S_4 \), since they are turned off at \( I_2 \) which is smaller than \( I_3 \) at which \( S_1-2-S_4 \) are switched off, as shown in Fig. 3. However, \( I_2 \) and \( I_3 \) must be positive in order to discharge the MOSFET’s output capacitance and achieve ZVS during turn on. The larger the current, the easier the ZVS is achieved.

**C. Topological Extension**

This partial parallel idea can be extended further and be applied to a DAB converter with multiple transformers in order to carry large current as well as obtain high voltage gain. An example is given in Fig. 6, where the number of branches is \( n \), and accordingly the number of additional and controllable phase-shift angles is \( n-1 \).

**IV. EXPERIMENTAL RESULTS**

The proposed P\textsuperscript{2}DAB converter has been simulated, built and tested to validate the theoretical analysis. The prototype parameters are listed in Table I.

<table>
<thead>
<tr>
<th>TABLE I. PROTOTYPE PARAMETERS</th>
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<tbody>
<tr>
<td><strong>Parameters</strong></td>
</tr>
<tr>
<td>( V_1 ) and ( V_2 )</td>
</tr>
<tr>
<td>Maximum output power, ( P_{o,\text{max}} )</td>
</tr>
<tr>
<td>Transformers, ( Tr_1 ) and ( Tr_2 )</td>
</tr>
<tr>
<td>Inductor, ( L_{ac} )</td>
</tr>
<tr>
<td>Switching frequency, ( f_s )</td>
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<tr>
<td>Digital controller</td>
</tr>
</tbody>
</table>
In Fig. 8, the experimental waveforms with \( \phi_p=0 \), \( \phi_p<\phi \) and \( \phi_p>\phi \) are presented respectively and the measured results can match the theoretical analysis well. When \( \phi_p\neq0 \), the voltage across the series connected high-voltage windings, i.e. \( n (v_{1_1}+v_{1_2}) \) becomes a three-level waveform consisting of \( \pm 2nV_1 \) and 0, which changes the current waveforms accordingly.

The low-voltage side waveforms are given in Fig. 9 to show the effect of \( \phi_p \). The currents \( i_1 \) and \( i_2 \) are always the same regardless the phase-shift angles. Moreover, as it can be observed, \( L_{ac} \) makes the ac current lagging behind the ac voltage, which introduces reactive power and leads to extra conduction losses. The larger the phase shift, the higher the loss is. However, regulating \( \phi_p \) is able to delay the ac voltage \( v_{1_1} \), so that the effective phase-shift angle between \( v_{1_1} \) and \( i_1 \) is reduced, as highlighted in Fig. 9 (b) and (c) with the dashed lines, and the reactive power decreases. It also explains the reason why the input currents \( i_{in1} \) and \( i_{in2} \) have different average values.

According to the principles explained above, at the same input and output voltages, using both \( \phi \) and \( \phi_p \) to regulate power can improve the converter efficiency at light loads in comparison to the single phase-shift modulation. The measured efficiency improvement is presented in Fig. 10.

### V. CONCLUSION

A new way to extend power level of DAB converters for high-power high-gain applications is proposed and presented in this paper. Partially paralleling allows efficient operation due to small ac loops, reduced current switching losses and fewer high-voltage power devices. Regulating the phase shift between the paralleled active bridges can not only improve the power controllability but also reduce the high-frequency reactive power and, therefore, is more power efficient than the traditional DAB converters with a single phase-shift control.

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