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Optofluidic Sensor for Inline Hemolysis Detection on Whole Blood

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ABSTRACT: Hemolysis is the rupture of red blood cells and constitutes the most common reason for unsuitable blood samples in the clinic. To detect hemolysis, one has to separate the hemoglobin in blood plasma from that in red blood cells. However, current methods entail centrifugation for cell-plasma separation, which is complex, time-consuming, and not easy to integrate into point-of-care (PoC) systems. Here, we demonstrate an optofluidic sensor composed of nanofilters on an optical waveguide, which enables evanescent-wave absorption measurement of hemoglobin in plasma with the capability of real-time inline detection on whole blood without extra sample preparation like centrifugation. Long-term testing with inline integration in a modified, commercial blood gas analyzer shows high reliability and repeatability of the measurements even with the presence of interference from bilirubin. We envision that the present work has large potential in improving diagnosis quality by enabling PoC hemolysis detection in blood gas analyzers and can also lend unique sensing capabilities to other applications dealing with complex turbid media.

KEYWORDS: hemolysis sensor, Point-of-Care, whole blood, optofluidics, nanowells, waveguide sensor, nanoimprint, in vitro diagnostics

RESULTS AND DISCUSSION

Sensor Design and Optical Simulation. The sensor is designed to have dual-function: a waveguide and a filter for RBCs. The waveguide is made by the assembly of a cladding...
layer, a waveguide core layer, and a structured top layer for filtering. To effectively and reliably eliminate the absorption from the RBCs (smallest feature size of about 1−2 μm), these nanofilters have a pitch width of 400 nm and an opening of 200 nm for blood plasma/light interaction. Only species smaller than the size of the filter opening are allowed to diffuse downward and thus interact with the guided light, as illustrated in Figure 2a. The small dimension prevents cells clogging the filter. The nanofilters are aligned parallel to the light propagation direction without interruption to enable the wave propagation without diffraction and scattering. The cladding layer on top of grating couplers is not structured in order to prevent coupling conditions being influenced by changes of refractive index (RI) in the liquid sample. According to Lambert–Beer’s law, we determined the sensitivity S in our system with relation to other parameters of the sensor as follows (derivation in Supporting Information)

\[ S = \eta \varepsilon l \]  

where \( \eta \) denotes the mode-field overlap with the analyte. \( \eta \) is defined as the energy ratio of the evanescent field interacting with the liquid to the total energy flow. \( \varepsilon \) is the extinction coefficient of the detection target; \( l \) the length of the light/sample interaction. If the length of the sensor is fixed by a given specification, the mode-field overlap becomes the major optimization parameter. Mode analysis was performed using COMSOL Multiphysics to optimize the mode-field overlap and thus the sensitivity (ref. Materials and Methods). Mode analysis of the fabricated ridge waveguide is shown in Figure 2b, where transverse electric (TE) and transverse magnetic (TM) modes indicate horizontal and vertical electrical field distribution, respectively. In this configuration, the TM mode shows higher mode-field overlap than the TE mode, as Figure 2b (right panel) depicts. The height of the filter and the thickness of the waveguide were swept in simulation for sensitivity optimization. In Figure 2c, the mode-field overlap ratio of the TE mode is plotted. With decreasing waveguide thickness, the overlap ratio increases because of less confinement. On the other hand, with larger thickness, the waveguide supports the guiding of higher-
order modes. The border where the first order mode occurs is marked in Figure 2c. The higher order modes were excluded for their leaky behavior which would compromise data analysis.

The height of the filters plays an important role in filtration of the RBCs. The area above the filters can be accessed by RBCs causing nonspecific absorption, and must be minimized.

Figure 2d shows the unfiltered ratio which is defined as the proportion of the propagating mode energy flow above the filter to the corresponding total energy flow in the liquid. 0.5% is set to be the threshold, which is derived from the desired Hb detection limit of 20 mg/dL and the Hb concentration in RBCs of about 3600 mg/dL. The dividing line shown in Figure 2d marks the 0.5% limit. The waveguide thickness of 180 nm and the filter height of 400 nm were chosen to compromise high sensitivity and reliable filtration. Our fabricated device (filter height 420 nm, filter width 180 nm, waveguide thickness 190 nm) fairly matches the designed values from the simulation, in which case the mode-field overlap ratio, $\eta$ is 7.0% (TM) and 6.0% (TE), and a unfiltered ratio is lower than 0.5%.

The grating couplers have a periodicity of 368 nm to enable the coupling of broadband light with wavelengths between 405 and 435 nm into the waveguide at an incidence angle of 20°.
28°. There is no lateral confinement in the waveguide, whereas a width of 2 mm is designed for the grating couplers and the filters. The large dimension is chosen deliberately in order to use commercial LEDs as a light source, which provides broadband illumination and a large focal spot size. Here, the broadband light is coupled with different incident angles. For a 30 nm bandwidth centered at 420 nm, an incident angle range of 5.8° is needed to couple the light in, leading to a very low numerical aperture (i.e., 0.05). The focus is strongly limited because of the high beam divergence of the commercial available LED light source. To harvest the maximal energy of the light source, the angles are matched for coupling with a single convex lens and the beam size is controlled to be several millimeters. Note that multilayer gratings can increase the broadband coupling efficiency, however, it leads to higher costs and complexity during fabrication.

**Sensor Fabrication and System Integration.** The sensor is fabricated in multiple nanoimprint steps that provide a sub-200 nm resolution with up-scalable production capacity. Figure 3a shows the entire fabrication sequence. At first, a drop-cast polymer (Ehron, Luvantix, South Korea) is imprinted with UV-curing to define the grating couplers. A second layer (OrmoClear H101, micro resist technology GmbH, Germany) is then spin-coated on top to serve as the core of the waveguide. The final layer featuring the nanofilters (OrmoComp, micro resist technology GmbH, Germany) is also fabricated with UV-nanoimprint using an organic–inorganic hybrid polymer stamp (OrmoStamp, micro resist technology GmbH, Germany). With the methods developed here, we successfully demonstrated (i) large scale structural homogeneity up to $6 \times 2 \text{ mm}^2$, (ii) sub-200 nm resolution with an aspect ratio (AR) of 2.5, (iii) very low imprint residual left to the layer underneath, (iv) very low cost per device, and (v) nearly 100% fabrication yield. The imprint residual—the thin layer of polymer left on the supporting substrate after the imprint—must be minimized because it directly decreases the sensitivity. This is achieved by introducing an organic–inorganic hybrid polymer mold replicated from an E-beam written Si stamp. Demonstrations of the imprinted nanofilters on a Si substrate and on a waveguide are shown in Figure 3b and c, respectively, where the imprint residual layer thickness is below 20 nm. The combination of the materials allows the final device to be flexible and detachable, as the sensor can be simply peeled off the glass substrate. The detached, free-standing, and flexible membrane can be cut into individual sensors, as shown in Figure 3a and d. This flexibility grants the unique capability of one-step integration into an existing platforms such as a sensor cassette containing other sensors. In comparison with other highly sensitive evanescent sensors,33–38 our sensor can achieve very short turnaround time (<60 s) with high specificity toward Hb in blood plasma. It can measure blood samples with a variable hematocrit (e.g., from 0% to 45%) and is insusceptible to any cell clogging. The separation is immediate and invulnerable to varying flow rate caused by peristaltic pumping, which is hard to achieve by any microfluidic separation methods.21–24

**Sensor Performance.** Using the output grating coupler as the dispersive element, different wavelengths can be resolved from a waveguide by a line camera, as demonstrated by Mendes et al.9 The use of a single mode waveguide is important because higher order modes can contaminate the spectrum due to the different out-coupling angles of these modes. In this work, we used a linear polarizer to distinguish the TE and TM polarized light because there is around 2° difference when they are coupled out of the waveguide. As shown in Figure 4a, the peaks in the spectra of these two modes deviate in position on the camera. In the present configuration, both TE and TM modes are excited by the wide-angle in-coupling. The spectrum on the camera is determined with two lasers with different wavelengths (details in Supporting Information). To compare with reference absorption measurements with a photospectrometer, the spectral sensitivities of the waveguide were taken into account to correct the line shapes.

We tested the sensor with whole blood (WB), both human and bovine. The left panel of Figure 4b shows the absorption spectra of WB and hemolyzed whole blood (HWB) spiked with 50 and 100 mg/dL Hb. The concentration of 100 mg/dL is an important threshold as it causes about +7% and −24% interference in clinically relevant K⁺ and total bilirubin concentration in the blood, respectively.39 These limits are also close to the values of the allowable errors recommended by Clinical Laboratory Improvement Amendments.40 As shown in Figure 4b, the difference between 0, 50, and 100 mg/dL is highly distinctive in both TE and TM polarized incidences, which confirms that the sensitivity is sufficiently high. The maximum of the absorption is visible at 415 nm, in good agreement with the line shape of the photospectrometer reference measurements shown on the right panel.

Figure 4c shows the measurements of WB samples (red line) and their plasma counterparts (blue line) as well as the photospectrometer reference measurements with 1 cm cuvettes (gray dashed line), where the latter is scaled in amplitude for comparison. The maximum Hb concentration used is 200 mg/dL, which corresponds to about 0.7% hemolysis—close to the level recommended by European Directorate for the Quality of Medicines (EDQM) for maximum acceptable level of hemolysis in blood products for transfusion.41 Each data point is based on three measurements. At 0 and 100 mg/dL Hb concentrations, the coefficients of variation (CoV) are 1.65% and 0.86%, respectively, showing excellent repeatability of the sensor. Although for the clinical use the hemolysis level from 0 to 200 mg/dL is the most interesting range, the present sensor can perform in larger extent ranging from 0 to 1000 mg/dL. On the other hand, comparing the whole blood and plasma measurements, we observed very good overlaps which shows that filtration is highly effective. The absorbance of the WB samples is slightly higher than that of the plasma, which can be attributed to the unspecific scattering of the light which can be absorbed by RBCs in the bulk.

In field applications, however, whole blood can be more complex than just hemoglobin in free plasma. There, the presence of bilirubin is a main source of interference. We examined the interference by adding high concentration of bilirubin into the hemolyzed and nonhemolyzed plasma samples. 20 mg/dL (corresponding to 340 mmol/L) bilirubin was added, which is a threshold for neonatal hyperbilirubinaemia that can lead to neurological dysfunction.32 As Figure 4d shows, the bilirubin addition increases the absorption for both the sensor and reference measurements. However, the exact amount of Hb and bilirubin can be determined by multivariate regression with the reference spectra. The addition of high concentration bilirubin can increase the overall sensitivity by 18%, which is consistent throughout the measurements over long period and thus can be calibrated. The sensitivity shift is caused by two factors: first, the large difference in molecular weight of Hb and bilirubin, which are...
16 kDa and 0.58–0.76 kDa (unconjugated and conjugated), respectively. The small protein can access the waveguide surface more easily, to which albumin is bound, owing to its high affinity. We observed the same with tartrazine dye solution (534 g/mol). Second, 99.9% of unconjugated bilirubin is bound to albumin in the plasma, while plasma albumin also adheres to the sensor surface, where the evanescent field is strongest. Different bilirubin concentration mixed into plasma was measured without hemolysis as shown in Figure 4c. Here, we observed no change in the sensitivity in high concentration range, which shows that the change of bilirubin/albumin binding ratio in high concentration is not relevant. Other non-specific binding to the sensor surface is not critical for two reasons: (1) The sensor surface is passivated by albumin from the blood plasma. (2) As far as a molecule not absorbing significantly in the 400–430 nm range, it will not interfere with our absorption measurement, since we analyze the absorption fingerprint of a molecule rather than the refractive index change. In summary, we showed the capability of detecting Hb on whole blood and distinguishing bilirubin as interference with a single LED light source. However, additional LEDs with different wavelengths can also be integrated to yield a broader spectrum for other applications.

**Long-Term Performance.** One of the most important features for the hemolysis sensor is the long-term performance. We tested our optical hemolysis sensor rigorously for 7 weeks with a weekly repetition of the test series, while the sensor was integrated as an inline component in the modified ABL90 FLEX blood gas analyzer. A single measurement flow is shown in Figure 5a, where we see the drop of the signal due to the absorption of plasma hemoglobin and its fast recovery after the rinse. The spectra at three different time points are shown in Figure 5b, where no drift or remaining absorbency can be observed.

A daily test protocol is shown in Figure 5c, where it starts and terminates with a 450 mg/L tartrazine dye solution for calibration of the sensitivity. As fresh bovine blood is used for the preparation of the rest of the reagents, fluctuations in Hb concentration are inevitable and the dye calibration can thus better quantify the long-term change. Hemolyzed whole blood samples with about 100 mg/dL Hb concentration are tested with 5 runs, followed by its centrifuged plasma counterparts (plasma100). WB and its plasma counterpart (plasma) are prepared in the same way. To test for interference, bilirubin (20 mg/dL) is mixed into the plasma sample with 100 mg/dL Hb. According to Figure 5c, very little change in sensitivity happens before and after carrying out the measurement series as tartrazine deviates only 0.4%. Very small concentrations of Hb around 10 mg/dL in non-hemolyzed samples can be resolved. Only slight deviation is present under high bilirubin concentration.

Observing the whole test period of 47 days, we can find a high daily repeatability and a small drift in the sensitivity, as shown in Figure 5d, where the tartrazine absorption is shown. The results show only a overall drift is 4.7% after 47 days, which can be attributed to detachment of the nano filters or slight change in material optical property as the sensor is kept in liquid (even in the standby phase). The results of HWB and the plasma counterparts are plotted in Figure 5e. Variation in sample Hb concentration is present as the reference Hb concentration series as tartrazine deviates only 0.4%. Very small concentrations of Hb around 10 mg/dL in non-hemolyzed samples can be resolved. Only slight deviation is present under high bilirubin concentration.
Furthermore, the influence of additional bilirubin in plasma is evaluated. Figure 5f shows the evaluated Hb in plasma with bilirubin interference; the \( S_0 \) of 2.7 mg/dL is almost the same as that without bilirubin. An overall positive bias with respect to the reference (7.0% CoV in average) can be seen, indicating slightly elevated absorption with the coexistence of bilirubin and Hb. In general, we observed no performance deterioration after 7 weeks, confirming the durability of the hemolysis sensor with the long-term exposure to blood and rinse.

## CONCLUSIONS

In summary, we demonstrated an optofluidic waveguide sensor for in-line hemolysis detection on whole blood with unique, integrated cell/plasma separation ability. The arrangement of nanofilters on top of the waveguide enables local blocking of species out of the evanescent field and allows independent choice of light wavelength and filtration size. The fabrication process can be easily scaled up for industrial production with low cost and high production yield. The sensor can be used as a flexible, standalone device or can be easily integrated into existing PoC platforms. It is shown that a spectrometer-less analysis of spectral extinction of molecules can be successfully achieved by employing out-coupling gratings as a dispersive element and a line camera for readout. We demonstrate here that the portable optical setup is capable of being integrated into a commercial blood gas analyzer. Long-term tests up to 7 weeks were also carried out to demonstrate the high repeatability and reliability of the hemolysis sensor subject to whole blood samples even with the presence of strong interference from bilirubin. We envisage large potential for the sensor to be used inline in blood gas analyzers for hemolysis detection as well as in other fields related to turbid media measurements.

## MATERIALS AND METHODS

### Sensor Fabrication and Materials

To fabricate the hemolysis sensor, a borofloat wafer was used as substrate a substrate. The polymer Eiron (Luvantis, South Korea) was drop-cast and imprinted with the silicon master stamp, which is treated with perfluorodecylethylchlorosilane (FTDS). The polymer was cured by UV exposure in an \( N_2 \) atmosphere, followed by manual separation with a razor blade. The waveguide layer made of OrmoClear H101 was spin-coated on the Eiron surface treated with oxygen plasma and baked to evaporate solvent. OrmoClear H101 was diluted with propylene glycol methyl ether acetate (PGMEA) to yield the desired thickness. The UV curing of OrmoClear H101 was done by UV curing in \( N_2 \) atmosphere. The waveguide stack was oxygen plasma treated before spin-coating with OrmoComp, which was diluted in the same way as OrmoClear H101. After the evaporation of the solvent, the waveguide was aligned with the organic–inorganic hybrid imprint stamp to define the nanofilters. Imprinting was done by applying 6 bar pressure for 20 min with subsequent UV exposure in a UV-imprinter (CNI v 2.0, NIL Technology, Denmark). A thick layer of OrmoComp can be optionally used to passivate the surface outside of the filter region, which was done with UV-photolithography with a mask aligner (Karl Süss MA6 contact aligner, Germany). Finally, a fluidic channel can be applied by a double-adhesive tape (tesa 62571, Germany) with a cover formed by laser-cut PMMA. Fabrication of the organic–inorganic hybrid imprint stamp started with oxygen plasma treatment of a borofloat glass wafer, followed by spin-coating of an adhesion layer OrmoPrime08. Around 150 \( \mu L \) OrmoStamp was suspended on top of the wafer and imprinted with the FTDS-treated master stamp while UV exposure was applied to cure the OrmoStamp, followed by hard-baking at 130 °C for 15 min. The stamp was finished by treating with FTDS for antisticking property. Here, OrmoPrime08, OrmoStamp, OrmoClear H101, OrmoComp, PGMEA are acquired from micro resist technology GmbH, Germany.

### Optical Simulations

The mode analysis simulations were carried out with COMSOL (COMSOL Multiphysics). Unit cells were defined with Floquet periodicity. Real and imaginary refractive index were acquired by spectroscopic ellipsometry measurements (M-2000, J.A. Woollam) of each material deposited as a thin film onto silicon wafers. OrmoComp \( (n_{OrmoComp} = 1.537 @ 420 \, \text{nm}) \), OrmoClear H101 \( (n_{OrmoClear} = 1.629 @ 420 \, \text{nm}) \), and Eiron \( (n_{Eiron} = 1.414 @ 420 \, \text{nm}) \) were modeled for the geometry we obtained. The evanescent overlap was defined by the ratio of power immersed in the liquid to the total power in the waveguide. Unfiltered ratio was defined as power in the area higher than the top of the filter region to the total power in the liquid.

### Blood Sample Preparation

Hemolyzed whole blood was prepared by mixing completely hemolyzed fresh whole blood from human or bovine to fresh whole blood, of which the total hemoglobin concentration was measured with a blood gas analyzer in advance (ABL90 FLEX, Radiometer Aps, Denmark). The completely hemolyzed whole blood was obtained by freezing the blood sample in \( -20^\circ \text{C} \) for at least 3 h. Part of the prepared whole blood sample was centrifuged to obtain the plasma sample. Bilirubin interfered plasma samples were prepared by adding concentrated bilirubin base solution into plasma from the centrifuged whole blood sample containing 100 mg/dL Hb. The bilirubin base solution was prepared by mixing bilirubin powder (Sigma-Aldrich, USA) into 0.1 M NaOH solution with a concentration of 10 g/L. Tartrazine calibration liquid was prepared by mixing tartrazine (450 mg/L) into the Cal. Two solution from a Radiometer solution pack (REF 944—157, Radiometer Aps, Denmark).

### Absorption Characterization

An LED light source (M420L3, Thorlabs) with a peak wavelength of 420 nm was used for the Hb absorption test. The light was collimated into a multimode fiber with a core diameter of 200 \( \mu \)m. The output light was collimated and focused with a plano-convex lens with a focal length of 50 mm. The sensor was placed on a platform with two apertures fitting the size of the grating couplers (2 × 2 mm). The out-coupled light was filtered through a linear polarizer and captured by a CMOS linear image sensor (S11639—01, Hamamatsu, Japan). For the calibration of the sensor, 405 and 450 nm laser diode modules (CP5405, CP5450, Thorlabs) were used to define the spectral locations. During the measurement phosphate buffered saline (PBS) or rinse solution from Radiometer solution pack (REF 944—157, Radiometer Aps, Denmark) were used to rinse the surface of the sensor. Scattering signals on the linear camera were corrected by linear subtraction of signal tails before the absorbance is calculated.

### Reference Absorption Measurement

All biological samples were diluted with phosphate buffered saline (PBS) solution according to their concentrations. The following mixing ratios were used for samples in this work: nonhemolyzed plasma 1:3, plasma with 100 mg/dL Hb 1:6, plasma with 100 mg/dL Hb and 20 mg/dL bilirubin 1:12, tartrazine calibration solution with 450 mg/L tartrazine: 1:12. UV-transparent 1 cm cuvettes were used for characterization with a UV spectrophotometer (UV-1800 Shimadzu Corp., Japan). A spectral range from 330 to 700 nm was used to characterize the samples for reference.

### Long-Term Measurement

During the long-term measurements, the optical setup was attached to a modified ABL90 FLEX blood gas analyzer (Radiometer Aps, Denmark). Two ports were wired through silicone tubing to the in- and outlet of the analyzer. The samples were always acquired through the sample inlet of the analyzer. Each acquisition took around 70 \( \mu \)L of the sample, which was delivered to the sensor by the internal peristaltic pump of the analyzer. Five seconds were set to allow the sample in touch with the sensor before the rinse protocol is carried out, which was a modified version of the commercial version of the analyzer, including 5 times air and rinse alternating purge and a final liquid rinse. Recording of the camera was carried out with 2—12 ms integration time subject to the intensity transmitted. 250 ms sampling interval was used for real-time recording, which terminated after 20 s after the rinse protocol. The calculation of
the different components in the blood was made afterward by nonlinear least-squares fitting with reference spectra. Daily measurement protocol included 5 times repeated HWB 100 and the rest samples were measured 3 times apart from the tartrazine calibration liquid which was measured once before and after all other measurements. Tartrazine (450 mg/dL) was measured once before and once after the blood and plasma measurements. The evaluation of the long-term sensitivity change was done by observing tartrazine samples were measured 3 times apart from the tartrazine calibration protocol included 5 times repeated HWB 100 and the rest nonlinear least-squares

**ASSOCIATED CONTENT**

Supporting Information

The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acssensors.8b00030.

System modeling and calculations (PDF)

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Notes

The authors declare no competing financial interest.

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