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Deconvolution of $^{238,239,240}$Pu conversion electron spectra measured with a silicon drift detector

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HIGHLIGHTS

- Performed conversion electron spectrometry with silicon drift detector.
- Deconvoluted conversion electron spectra from $^{238,239,240}$Pu.
- Alpha deconvolution software applied successfully on ICE spectra.
- ICE emission probabilities in good agreement with BrIcc.

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- Nuclear security
- Safeguards
- Silicon drift detector
- Spectrum deconvolution

ABSTRACT

Internal conversion electron (ICE) spectra of thin $^{238,239,240}$Pu sources, measured with a windowless Peltier-cooled silicon drift detector (SDD), were deconvoluted and relative ICE intensities were derived from the fitted peak areas. Corrections were made for energy dependence of the full-energy-peak counting efficiency, based on Monte Carlo simulations. A good agreement was found with the theoretically expected internal conversion coefficient (ICC) values calculated from the BrIcc database.

1. Introduction

Plutonium has been investigated from many angles (Clark et al., 2018), among which analytical detection techniques for nuclear security and safeguards is an important one. With the exception of the $\beta^+$-emitter $^{241}$Pu, the long-lived plutonium isotopes are predominantly alpha emitters. For the determination of plutonium in environmental samples, nuclides of interest are generally $^{238}$Pu, $^{239}$Pu and $^{240}$Pu. Since the intensities of their characteristic gamma-ray emissions are very low, isotopic analysis by gamma spectrometry is a challenge for low activity levels of plutonium. High-resolution alpha-particle spectrometry (Pommé and Sibbens, 2008) is a well-suited alternative technique for measuring isotopic activity ratios in a plutonium mixture, however it suffers from close interferences between the $^{239}$Pu and $^{240}$Pu peaks and between the $^{238}$Pu and $^{241}$Am peaks, respectively (see references in Pommé et al., 2016).

A promising alternative technique is spectrometry of the internal conversion electrons (ICE) from the highly converted low-energy gamma transitions following the decay of Pu isotopes. The principle of the method has been tested with cooled silicon surface barrier detectors (1.8 keV FWHM resolution at 42 keV) (Shiokawa and Suzuki, 1986), windowless Si(Li) detectors (0.48 keV FWHM at 42 keV) (Shiokawa et al., 1990), PIPS detectors cooled (DeVol et al., 2002) or at room temperature (2.2 keV FWHM) (Ahmad et al., 2015), and recently Peltier-cooled silicon drift detectors (SDD, 0.5 keV resolution) (Peräjärvi et al., 2014; Pommé et al., 2016; Dion et al., 2016). It was demonstrated that the ICE peaks from $^{238}$Pu, $^{239}$Pu, $^{240}$Pu and $^{241}$Am are well separated in energy. ICE spectrometry is better suited than alpha spectrometry in preserving its capability to determine the $^{240}$Pu/$^{239}$Pu isotopic ratio as a function of sample thickness (Peräjärvi et al., 2014). However, as a complementary or standalone technique it requires further research on spectrum deconvolution and reference emission data.

In this work, the relative emission probabilities for the L and M+ ICE peaks for the 43.469 keV ($^{238}$Pu), 51.624 keV ($^{239}$Pu), and 45.244 keV ($^{240}$Pu) gamma transitions are investigated. To this end, independent deconvolution software tools have been developed at JRC and STUK to fit simultaneously the x-ray and conversion electron peaks obtained with a silicon drift detector. The ICE peak areas are were...
determined and relative intensities are derived. A comparison is made with theoretical calculations and experimental data from literature.

2. Experiment

2.1. ICE spectrometry with an SDD

In previous work, the novel ICE spectrometry set-ups with SDD at STUK and JRC have been described in detail (Peräjärvi et al., 2014; Pommé et al., 2016). They are well suited for measuring low-energy x-rays and electrons. Internal conversion electron (ICE) spectra have been taken of thin plutonium sources, respectively enriched in the isotopes $^{238}$Pu, $^{239}$Pu, and $^{240}$Pu. The spectra show major ICE peaks between 20 and 50 keV, with an energy resolution of 0.5 keV. The shape of the conversion electron energy peaks is comparable to alpha peaks, with typical peak shift and tailing due to energy loss in the absorbing materials. The x-ray energy peaks are comparably sharp and their position is invariable to the thickness of the source and other dead layers. As a result of the difference in peak shift, a separate energy calibration is applied for electron and x-ray peaks.

2.2. Spectral deconvolution

Just as in alpha spectrometry (Pommé, 2015), peak overlap is an issue in ICE spectrometry. It requires the use of spectral deconvolution software which can quantify the full-energy peaks as well as reproduce the continuum underneath the peaks. As suggested in earlier work (Pommé et al., 2016), the analytical functions used in alpha spectrometry are well suited to represent electron peaks with varying degrees of energy straggling. At the JRC, the spreadsheet application ‘BEST’ (Pommé and Caro Marroyo, 2015) and at STUK, the software ‘ADAM’ were used for spectral analysis. The analytical functions consist of a Gaussian distribution convoluted with exponential tailing functions, the number of which is chosen on the basis of the spectral shape. ADAM allows up to 3 left-handed tailing functions and can fit a continuum underneath the peaks by means of a polynomial function. BEST allows for a higher number of left-handed as well as right-handed exponentials, but fully relies on the peak shapes to cover also the continuum part of the spectrum. The x-ray peaks at 20.2 keV and 20.8 keV were represented by a Gaussian function each (whereas ideally a Voigt function is used and a low-energy continuum added to the peak function). Their intensity ratio was kept fixed at a value of 4.7/1 (Chu et al., 1999).

2.3. Simulation of counting efficiency

The total counting efficiency for the conversion electrons in a silicon detector deviates by about 14–18% (Martin et al., 2013) from the geometrical efficiency due to backscattering against the surface. The backscattering probability depends on the angle of incidence and the energy of the electron. Of the detected particles, a fraction of about 88–91% of the kinetic energy is deposited in the Si crystal and the peak-to-total ratio is about 81–85%. Monte Carlo simulations of particle transport (Salvat and Fernández-Varea, 2009) were performed with Penelope (Salvat et al., 2011) and EGSnrc (Kawrakow and Rogers, 2001) to estimate the energy dependency of the counting efficiency.

2.4. Theoretical calculations with BrIcc

Theoretical values of the ICC, using the frozen-orbital approximation, are available through the database ‘BrIcc’, which was accessed through a web interface (Ribelli et al., 2008). Input parameters are the daughter nuclides of the Pu isotopes as well as the energy and polarity of their gamma transitions. Some characteristics of the main transitions for $^{238,239,240}$Pu are listed in Table 1. The 43.469 keV ($^{239}$Pu), 51.624 keV ($^{239}$Pu) and 45.244 keV ($^{240}$Pu) gamma transitions are highly converted and have E2 polarity. In the case of $^{239}$Pu, a second gamma transition at 38.661 keV with mixed M1 + 22.2% E2 polarization ($\delta = 0.534$ (24)) was taken into account (DDEP, 2017). The ICC intensities were calculated from the ICCs and the gamma transition probabilities, i.e. $I_{\text{ice},x} = P_{\text{\gamma}\rightarrow\text{ice}} a_\theta/(1 + a_\text{f})$.

3. Results

3.1. Counting efficiency

For the simulation of the full-energy-peak (FEP) detection efficiency, $\varepsilon(E)$, the detector was represented as a silicon wafer with 100 mm$^2$ area, 500 μm thickness, and 50 nm dead layer. Electrons with energy between 10 and 120 keV were generated from a point or 1-cm-diameter disk source, at 1 cm, 2 cm or 5 cm distance on the symmetry axis, using random angles within the solid angle subtended by the 80 mm$^2$ aperture of the circular diaphragm in front of the detector. The simulated detection efficiencies for electrons emitted within the aperture are shown in Fig. 1.

Differences in counting efficiency for the different configurations are due to the increasing probability for backscattering with increasing deviation from a perpendicular incidence angle. The angular dependence of the detection efficiency can be approximated by a polynomial function

$$\varepsilon(\theta) \approx 1 + a_1 \theta + a_2 \theta^2 + a_3 \theta^3$$

in which the angle $\theta$ (expressed in radian) takes the value 0 for a perpendicular hit. For a silicon disk without dead layer, the angular dependency at $E = 35$ keV simulated with EGSnrc is quasi parabolic between $\theta = 0$ and $\theta = 1$, with $a_1 = 0.0416$ and $a_2 = -0.293$.

The $\varepsilon(E)$ values obtained with EGSnrc and Penelope show a similar energy dependency, even though they differ in absolute value by about 1% (see Fig. 1). However, only the detection efficiency ratios among the ICE peaks is of relevance in this work. All data sets, when divided by their reference value at $E = 120$ keV, can be rather well represented by the same polynomial function (see bottom graph of Fig. 1)

$$\frac{\varepsilon(E)}{\varepsilon(120 \text{ keV})} \approx \exp[a_0 + a_1 \ln(E) + a_2 \ln(E)^2 + a_3 \ln(E)^3]$$

The fit to the EGSnrc $\varepsilon(120 \text{ keV})$ data for a point source at 2 cm distance, with $a_0 = -0.594$, $a_1 = 0.39556$, $a_2 = -0.99007$, and $a_3 = 0.006969$, was taken as the reference efficiency curve in this work. The effective thickness of the dead layer is a major source of uncertainty at low energy.

3.2. Spectral deconvolution

The spectra were first subjected to a fit of all ICE peaks in one go,
The intensity ratio L/M+ was derived from the full spectrum fits, because the fitted amplitudes are proportional to the peak areas only when they correspond to the same shape parameters. Intensity ratios within the L shell (L1/L2/L3) and within the M+ shells was derived from the separate fits over a narrow energy range; Figs. 3–5 show the fit results obtained with BEST for ICE spectra of 238Pu, 239Pu, and 240Pu, respectively. The χ² of the L and M+ subshells with BEST varied between 1.0 and 1.2. In Fig. 6, the fit result obtained with ADAM is presented in a linear scale for the 238Pu spectrum, showing besides the peaks also the fitted quasi-linear continuum subtracted from the measured areas.

### 3.3. ICE intensities

In Table 2, an overview is presented of the relative and absolute ICE emission probabilities derived from the spectral deconvolutions, as well as theoretical values from BrIcc and experimental values published by others. The relative ICE intensities were calculated from the ratio of the peak areas (or amplitudes) A, corrected for the energy dependency of the FEP detection efficiency through Eq. (2), e.g. I_{CE,L2}/I_{CE,L} = (A_{L2}/v_{P,L2})/v_{P,L}. The absolute intensities were obtained by multiplication with the corresponding subshell intensities I_{CE,L} and I_{CE,M-Q} from Table 1. The results derived from the L spectra, the M+ spectra, and the full spectra are clearly separated in Table 2. Besides individual subshell intensities, also summed intensities of interfering peaks are shown because they could be determined with higher accuracy.

#### 3.4. Uncertainty estimation

The ADAM software performs uncertainty calculation of each peak area through a full covariance calculation (Ihantola et al., 2011). For the results produced by BEST, detailed uncertainty estimation was performed by hand, taking into account three major components:

\[ u^2(I_k) = u^2_{stat} + u^2_{int} + u^2_{corr} \]  

where \( u_{stat} \) refers to statistical uncertainties, \( u_{int} \) to correlated uncertainties due to peak and tailing interferences, and \( u_{corr} \) to uncertainties due to energy dependency in the FEP detection efficiencies.

The corresponding values have been included in Table 2. The u_{corr} value was estimated roughly as 30% of the difference in FEP efficiency (Eq. (2)) of each peak with the weighted mean of the fitted peaks. Therefore, this uncertainty contribution is relatively smaller for the subshell fits covering a small energy range than for the fits of the complete spectra.

The \( u_{stat} \) and \( u_{int} \) standard uncertainty components of ICE spectrometry are similar to those in alpha-particle spectrometry (Pommé, 2015). For the \( u_{stat} \) contribution, a pragmatic approach was followed similar to one applied for determinations of alpha-particle emission probabilities (see e.g. Marouli et al., 2014 and Pommé, 2014). The statistical uncertainty for a peak area \( A_k \) is approximated through

\[ u_{stat}(A_k) = \sqrt{A_k + 2(A_k^4 + A_k^2)} \]  

in which \( A_k^i \) is the (tailing) fraction of the interfering peaks underneath the central part of peak \( k \), and \( A_k^i \) represents errors due to imperfections in the peak modelling, taken as the sum of the absolute values of the fitting residuals within ± 2FWHM of the peak top. The relative intensities \( I_k \) within the L and M+ spectra are normalised to 100% and the propagation formula for independent uncertainties is applied for \( u_{stat} \):

\[ u^2(I_k) = I_k^2(1 - I_k)\left( u^2(A_k)/A_k^2 + u^2(\sum_{k\neq k} A_l)/\sum_{k\neq k} A_l^2 \right) \]  

Similarly to alpha spectrometry (Pommé, 2015; Marouli et al., 2017), another propagation formula is used to account for anti-
correlations between interfering peaks:

\[ u^2(I_k) = \left( \frac{u_{\text{diff}}(A_i)}{\sum A_i} \right)^2 \]  

The interference from peaks \(i\) underneath the peak \(k\) was defined as 3% of their area in the region of ± 2FWHM around the top of peak \(k\).

4. Discussion

The major relative intensities for L1,2; L3; M1,2; M3,4,5; N, O+ derived from the fit with BEST agree within 0.5–1.5% with the BrIcc data. Even the results of interfered peaks were mostly conforming with BrIcc data within 3 standard uncertainties. The differences between fit results from ADAM and BrIcc were of comparable magnitude, with only a few exceptions amounting up to 2.5%. There is also agreement within 1.3% with the major relative intensities of \(^{238}\text{Pu}\) derived from the measurement results of Amtey et al. (1969). On the other hand, there is poor agreement with the \(^{238}\text{Pu}\) and \(^{239}\text{Pu}\) data produced by Dion et al. (2016); the differences amount up to 5%, even though their measurements were based on the same SDD technique. The L1,2, L3, M, and N+ relative intensities derived from the conversion coefficients of Ahmad et al. (2015) for \(^{239}\text{Pu}\) and \(^{240}\text{Pu}\) are consistent with the data in this work, taking into account their uncertainty (typically 2.5%). In the case of \(^{240}\text{Pu}\), the ratio of their published absolute intensities for M and N+ is inconsistent with their ICC values.
Compared to subshell analysis, the deconvolution of a full spectrum is more challenging because of three major issues inherent to covering a wide energy range of about 30 keV: there are significant variations in the FEP detection efficiency, differences in stopping power obstruct the use of fixed peak shape parameters for all ICE peaks, and there is a larger variation in the continuum part underneath the peaks. In this work, two extreme options were applied in which either the major part of the continuum was subtracted through a polynomial function (ADAM) or completely assumed by tailing of the fitted ICE peaks (BEST). The ideal solution may be intermediate between both approaches. As a result of all these difficulties, the intensity ratios between L and M+ shells could not be determined very accurately and the differences with BrIcc data amounted to 0.5–1.5% (BEST). The corresponding ADAM data in Table 2 were deduced from the subshell fits, which is not ideal for direct comparison.

5. Conclusions

The alpha spectrometry software packages ADAM and BEST have been successfully applied for deconvolution of conversion electron spectra from the decay of $^{238,239,240}$Pu obtained from thin mononuclidic sources measured with a silicon drift detector. Relative ICE intensities were derived from the spectral fits which agreed within uncertainties with theoretical values from BrIcc and experimental data from Amtey et al. (1969) and Ahmad et al. (2015). They did not agree well with the measurement results by Dion et al. (2016). The major sources of uncertainty are spectral interference, counting statistics, and energy dependence of the detection efficiency, mainly due to backscattering.

For an accurate determination of the emission probabilities, a correction for energy dependence of the detection efficiency should be applied. In this work, Monte Carlo simulations with EGSnrc and Penelope were used to estimate the energy and angular dependence of...
Table 2

Relative and absolute emission probabilities of conversion electrons associated with the main gamma transitions following the decay of $^{238,239,240}$Pu: (i) subshell, (ii) ICE energy, (iii–v) relative intensity derived from BrIcc and from spectral deconvolution with ADAM and BEST (compensated for FEP detection efficiency ratios), (vi) z-score of BEST result compared to BrIcc ($z = (\text{BrIcc-BEST})/\text{sd(BEST)}$), (vii–ix) standard uncertainty components due to counting statistics, peak interferences and differences in FEP efficiency, (x–xii) quantities derived from measurement results published by others, (xiii–xiv) absolute intensities obtained through combination with the probabilities for internal conversion in Table 1. The $I_L$, $I_M$ and $I_N$ intensity values of the 58.66 keV transition ($^{239}$Pu) were taken directly from a fit with BEST to the L-spectrum of the 58.62 keV transition. BEST results in italic refer to small peaks being fitted at a fixed ratio with an interfering large peak. The $\chi^2$ is an indicator of the goodness of fit in the corresponding energy region.

<table>
<thead>
<tr>
<th>ICE</th>
<th>Energy</th>
<th>$I_{\text{L}}$</th>
<th>$I_{\text{M}}$</th>
<th>$I_{\text{N}}$</th>
<th>$z$-score</th>
<th>$\mu_{\text{L}}$</th>
<th>$\mu_{\text{M}}$</th>
<th>$\mu_{\text{N}}$</th>
</tr>
</thead>
<tbody>
<tr>
<td>238Pu</td>
<td>L spectrum (19–31 keV)</td>
<td>$\chi^2 = 1.23$</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>L1</td>
<td>21.74</td>
<td>1.78</td>
<td>3.1</td>
<td>2.8</td>
<td>(21)</td>
<td>0.7</td>
<td>0.14</td>
<td>2.1</td>
</tr>
<tr>
<td>L2</td>
<td>22.55</td>
<td>52.12</td>
<td>50.3</td>
<td>50.3</td>
<td>(25)</td>
<td>0.7</td>
<td>0.07</td>
<td>2.5</td>
</tr>
<tr>
<td>L3</td>
<td>26.33</td>
<td>46.15</td>
<td>46.6</td>
<td>47.0</td>
<td>(6)</td>
<td>1.4</td>
<td>0.06</td>
<td>0.6</td>
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<tr>
<td>L2/L1</td>
<td>1.168</td>
<td>1.15</td>
<td>1.13</td>
<td>1.13</td>
<td>(3)</td>
<td>1.1</td>
<td>0.06</td>
<td>0.6</td>
</tr>
<tr>
<td>238Pu</td>
<td>M+ spectrum (35–47 keV)</td>
<td>$\chi^2 = 0.97$</td>
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<td></td>
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<td>M1</td>
<td>37.95</td>
<td>4.0</td>
<td>4.0</td>
<td>4.0</td>
<td>(23)</td>
<td>0.2</td>
<td>2.3</td>
<td>0.02</td>
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<td>M2</td>
<td>38.32</td>
<td>37.40</td>
<td>35.6</td>
<td>37.0</td>
<td>(25)</td>
<td>0.2</td>
<td>0.14</td>
<td>2.4</td>
</tr>
<tr>
<td>M3</td>
<td>39.19</td>
<td>34.76</td>
<td>34.7</td>
<td>34.7</td>
<td>(23)</td>
<td>0.0</td>
<td>0.13</td>
<td>2.3</td>
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<td>0.39</td>
<td>1.3</td>
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<td>(17)</td>
<td>0.19</td>
<td>1.7</td>
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<tr>
<td>N1,2</td>
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<td>2.9</td>
<td>0.11</td>
<td>0.6</td>
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<td>9.56</td>
<td>11.7</td>
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<td>(9)</td>
<td>1.0</td>
<td>0.11</td>
<td>0.9</td>
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<tr>
<td>N4</td>
<td>42.74</td>
<td>0.26</td>
<td>1.3</td>
<td>0.2</td>
<td>(8)</td>
<td>0.13</td>
<td>0.8</td>
<td>0.03</td>
</tr>
<tr>
<td>O</td>
<td>43.27</td>
<td>4.62</td>
<td>2.5</td>
<td>4.6</td>
<td>(8)</td>
<td>0.0</td>
<td>0.11</td>
<td>0.7</td>
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<td>P+</td>
<td>43.47</td>
<td>0.75</td>
<td>0.6</td>
<td>0.8</td>
<td>(7)</td>
<td>0.0</td>
<td>0.09</td>
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<td>100</td>
<td>1.03</td>
<td>1.03</td>
<td>1.06</td>
<td>(14)</td>
<td>0.1</td>
<td>1.04</td>
<td>(5)</td>
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<td>full spectrum (20–50 keV)</td>
<td>$\chi^2 = 2.32$</td>
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<td></td>
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<tr>
<td>L</td>
<td>72.93</td>
<td>68.6</td>
<td>72.46</td>
<td>46 (33)</td>
<td>0.11</td>
<td>0.04</td>
<td>0.39</td>
<td>0.18</td>
</tr>
<tr>
<td>M+</td>
<td>27.07</td>
<td>31.4</td>
<td>27.54</td>
<td>43 (33)</td>
<td>0.11</td>
<td>0.04</td>
<td>0.39</td>
<td>0.18</td>
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<tr>
<td>L/M</td>
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<td>(2.89)</td>
<td>3.55</td>
<td>(8)</td>
<td>1.0</td>
<td>3.61</td>
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<td>(2.18)</td>
<td>2.63</td>
<td>(6)</td>
<td>1.1</td>
<td></td>
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<td></td>
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<tr>
<td>239Pu</td>
<td>L spectrum (25–41 keV)</td>
<td>$\chi^2 = 1.03$</td>
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<td></td>
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<td>29.87</td>
<td>1.86</td>
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<td>(19)</td>
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<td>34.46</td>
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<td>(12)</td>
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<td>1.1</td>
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<td>46.08</td>
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<td>1.7</td>
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Table 2 (continued)

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References


