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Symmetry-forbidden intervalley scattering by atomic defects in monolayer transition-metal dichalcogenides

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Intervalley scattering by atomic defects in monolayer transition-metal dichalcogenides (TMDs; MX2) presents a serious obstacle for applications exploiting their unique valley-contrasting properties. Here, we show that the symmetry of the atomic defects can give rise to an unconventional protection mechanism against intervalley scattering in monolayer TMDs. The predicted defect-dependent selection rules for intervalley scattering can be verified via Fourier transform scanning tunneling spectroscopy (FT-STS), and provide a unique identification of, e.g., atomic vacancy defects (M vs X). Our findings put the absence of the intervalley FT-STS peak in recent experiments in a different perspective.

Introduction. Two-dimensional (2D) monolayers of transition-metal dichalcogenides (TMDs; MX2) are promising candidates for spin- and valleytronics applications [1]. Their hallmarks include unique valley-contrasting properties and strong spin-valley coupling [1,2] exemplified by, e.g., valley-selective optical pumping [3–5], a valley-dependent Zeeman effect [6–9], and the valley Hall effect [10]. Such means to control the valley degree of freedom are instrumental for valleytronics applications.

Another prerequisite for a successful realization of valleytronics is a sufficiently long valley lifetime [11,12]; atomic defects are a common limiting factor which can provide the required momentum for intervalley scattering due to their short-range nature. However, as illustrated in Fig. 1(a), the spin-orbit (SO)-induced spin-valley coupling in the K,K′ valleys of 2D TMDs partially protects the valley degree of freedom against relaxation via intervalley scattering by nonmagnetic defects [2]. Due to the small spin-orbit splitting in the conduction-band valleys [13,14], only the valence-band valleys fully benefit from this protection. Identification of additional protection mechanisms in the conduction band would hence be advantageous for valleytronics in 2D TMDs.

In this Rapid Communication, we demonstrate that besides the spin-valley coupling, the symmetry and position of atomic defects give rise to unconventional selection rules for intervalley quasiparticle scattering in 2D TMDs. As illustrated in Fig. 1(b), we find that for defects with threefold rotational symmetry (C3), e.g., atomic vacancies, intervalley K ↔ K′ scattering in the conduction band is forbidden for defects centered on the X site while it is allowed for M centered defects. In the valence band, interval valley scattering is forbidden in both cases. Analogous selection rules for the intervalley coupling due to confinement potentials in 2D TMD-based quantum dots have previously been noted [15].

Our findings can be readily verified with scanning tunneling spectroscopy (STS) which has provided valuable insight to the electronic properties of 2D TMDs [16–20]. In particular, Fourier transform STS (FT-STS) is a powerful method for investigating atomic defects and their scattering properties in 2D materials [21,22]. The measured STS map is a probe of the local density of states (LDOS) whose real-space modulation, resembling Friedel oscillations, originates from quasiparticle interference (QPI) between electronic waves scattered by defects. Hence, the Fourier transform of the STS map provides direct access to the available scattering channels in q space, and has shed important light on defect scattering in, e.g., graphene [23–30], monolayer TMDs [18,19], and black phosphorus [31].

In the above-mentioned STS experiments on TMDs, the strong spin-valley coupling in the valence band of WS2 was confirmed by the missing K ↔ K′ intervalley peak in the FT-STS spectrum [18,19]. Surprisingly, the intervalley peak was also missing in the conduction band where interval valley scattering should be allowed [18,19] [see Fig. 1(a)].

FIG. 1. Symmetry-dependent defect scattering in monolayer TMDs. (a) Sketch of the band structure near the K,K′ points. The strong spin-valley coupling in the valence band suppresses intervalley scattering (x). In the conduction band, the small spin-orbit splitting, in principle, allows for intervalley scattering (●). However, for defects with threefold rotational symmetry (C3), additional selection rules arise which protect against intervalley scattering. (b) Atomic sulfur vacancy in 2D MoS2 showing the C3 symmetry of the vacancy site. The vacancy-dependent selection rules for K ↔ K′ intervalley scattering in the conduction band are illustrated in the bottom part, showing that only M vacancies produce intervalley scattering (green arrow). This allows for a unique identification of the vacancy type with FT-STS.
Here, we demonstrate the effect of symmetry on quasiparticle scattering by atomic vacancies which are among the most common types of defects in 2D TMDs [32–38]. For this purpose, we perform atomistic density-functional (DFT)-based $T$-matrix calculations [39] of FT-STS and QPI spectra for vacancies in two archetypal TMDs: the direct gap [44], small SO split MoS$_2$, and the indirect gap [17], large SO split [13,14] WSe$_2$. As we show, the $K \leftrightarrow K'$ conduction-band intervalley FT-STS peak is strongly suppressed for $X$ vacancies while it appears clearly for $M$ vacancies, thus offering an appealing explanation for its conspicuous absence in experiments [18,19]. Our findings furthermore show that FT-STS allows for a unique identification of the vacancy type, and indicate that the valley dynamics of carriers and excitons in 2D TMDs are not affected by disorder if $M$-type defects can be avoided.

**Symmetry-dependent intervalley scattering.** We consider first the effect of symmetry on intervalley scattering by defects in 2D TMDs. The selection rules can be deduced within the framework of the low-energy Hamiltonian [2].

$$\mathcal{H}(\mathbf{k}) = a(t_\mathbf{k} \hat{\sigma}_z + k \hat{\sigma}_y) + \frac{\Delta}{2} \hat{\sigma}_z + \tau \hbar \frac{\hat{I}}{2} \hat{\sigma}_z, \quad (1)$$

describing the band structure in the $K,K'$ valleys sketched in Fig. 1(a). Here, $a$ is the lattice constant, $t$ is a hopping parameter, $\tau = \pm 1$ is the $K,K'$ valley index, $\Delta$ is the band gap, $2\Delta$ is the SO splitting at the top of the valence band, and $\hat{\sigma}_z, \hat{\tau},$ and $\hat{\delta}$ are Pauli matrices in the symmetry-adapted spinor basis, valley, and spin space, respectively. The symmetry-adapted basis is spanned by the reciprocal lattice vector, and space, respectively. The symmetry-adapted basis is spanned by the reciprocal lattice vector, and $\hat{\phi}_v$ and $\hat{\phi}_c$ are the Bloch states in the valence and conduction bands, respectively [45,46].

In 2D TMDs, defects such as atomic vacancies have $C_3$ symmetry, i.e., $V_3 \hat{V}_3$, where $\hat{V}_3$ is the scattering potential for defect type $i$ and $C_3$ is the operator for threefold rotations by $\pm 2\pi/3$ around the defect center. The intervalley matrix element ($\tau \neq \tau'$) between the high-symmetry $K,K'$ points can thus be written

$$(\mathbf{n}\tau|\hat{V}_3|\mathbf{n}\tau') = (\mathbf{n}\tau|C_3^\dagger \hat{V}_3 C_3|\mathbf{n}\tau') \equiv \gamma_{i,n}^{\tau\tau'}(\mathbf{n}\tau|\hat{V}_3|\mathbf{n}\tau'), \quad (2)$$

where $\mathbf{n}$ is the band index (including spin) and $\hat{I} = C_3^\dagger C_3$ is the identity operator. As $C_3$ belongs to the group of the wave vector at the $K,K'$ points ($C_{3h}$), the Bloch functions transform according to the irreducible representation of $C_{3h}$, $C_3|\mathbf{n}\tau\rangle = w_{i,n}\mathbf{n}\tau\rangle$, where $w_{i,n}$ denotes the eigenvalues of $C_3$. The matrix element can thus be expressed in terms of the complex scalar $\gamma_{i,n}^{\tau\tau'} = w_{i,n}^{\tau'} w_{i,n}^{-\tau}$, as indicated in the last equality of (2). Our analysis shows that $\gamma_{i,n}^{\tau\tau'} = 1$ if only if the defect is centered on an $M$ site and $n = c$ [39]. In all other cases, $\gamma_{i,n}^{\tau\tau'} \neq 1$, and the intervalley matrix element vanishes identically by virtue of Eq. (2).

The symmetry argument is completely general, and thus applies to all types of $M,X$-centered defects in 2D TMDs with $C_3$ symmetry, e.g., complex defect structures [32,36], adatoms, and substitutional atoms [37]. As Eq. (1) is diagonal in spin space, it furthermore holds for intervalley spin-flip scattering by magnetic defects.

**FT-STS theory.** Next, we outline a general $T$-matrix-based Green’s function approach for the calculation of the FT-STS spectra. In STS, the measured real-space QPI pattern is related to the differential conductance $dI/dV \propto \rho(\mathbf{r},\varepsilon)$ [47], and hence the LDOS $\rho(\mathbf{r},\varepsilon) = -1/\pi \text{Im}[G(\mathbf{r},\varepsilon)]$, where $G(\mathbf{r},\varepsilon)\varepsilon = (\varepsilon + i\Gamma)|G(\mathbf{r},\varepsilon)|^2$ is the Green’s function (GF) in real space in the presence of a defect. Expressing the GF in a basis of Bloch states $\psi_m(\mathbf{r})$, $G(\mathbf{r},\varepsilon) = \sum_{mn} \langle \psi_n| \psi_m \rangle \langle \psi_m| \psi_n \rangle G_{mn}(\mathbf{r},\varepsilon)$, where $\mathbf{k}$ is the wave vector and $m,n$ band indices, the FT-STS spectrum given by the 2D Fourier transform of $\rho(\mathbf{r},\varepsilon)$ can be obtained as [39]

$$\rho(\mathbf{q} + \mathbf{G},\varepsilon) = \int d\mathbf{r} e^{i(q + G)\mathbf{r}} \rho(\mathbf{r},\varepsilon)$$

$$= \frac{1}{2\pi i} \sum_{mn,k} \langle \mathbf{k}_{q}^m | G_{mn}(\mathbf{r},\varepsilon) \rangle^* \delta_{\mathbf{k}_{q}^m, \mathbf{k}_{q}^m} \langle \mathbf{k}_{q}^m | G_{mn}(\mathbf{r},\varepsilon) \rangle,$$

where $\mathbf{r} = (r_1,z)$, $\mathbf{k}$, $\mathbf{q}$ in 1st Brillouin zone (BZ), $\mathbf{G}$ is a reciprocal lattice vector, and $G_{mn}(\mathbf{r},\varepsilon) = \langle \psi_m| G(\mathbf{r},\varepsilon) |\psi_n \rangle$ is the Bloch function representation of the GF. The matrix element $\langle \mathbf{k}_{q}^m | G(\mathbf{r},\varepsilon) | \mathbf{k}_{q}^m \rangle$ is important in many aspects. For example, it describes the FT-STS Bragg peaks $(\mathbf{G} \neq 0)$, and hence the atomic modulation of the LDOS inside the unit cell. It also plays a central role in systems with (pseudo)spin texture, e.g., graphene and spin-orbit materials, as it contains the spinor overlap [48]. This is less important in 2D TMDs where the eigenstates of Eq. (1) are characterized by predominantly polarized spinor states [49] with trivial pseudospin $\tilde{\sigma}$ and spin $\tilde{\tau}$ textures.

For a single defect, the exact GF taking into account multiple scattering off the defect is given by the $T$ matrix as

$$G_{kk}(\epsilon) = \delta_{kk} G_{kk}^0(\epsilon) + G_{kk}^0(\epsilon) T_{kk}(\epsilon) G_{kk}^0(\epsilon),$$

where the boldface symbols denote matrices in band and spin indices, and the diagonal bare GF is given by the band energies, $G_{kk}^0(\epsilon) = (\epsilon - \epsilon_{kk})(\epsilon + i\eta)^{-1}$. The last term in Eq. (4) comprises the nondiagonal, defect-induced correction $\delta G_{kk+q}$ to the GF. To isolate the FT-STS features related to the defect, we substitute $G \rightarrow \delta G$ in Eq. (3) in our FT-STS calculations.

The $T$ matrix obeys the integral equation

$$T_{kk}(\epsilon) = V_{kk} + \sum_k V_{kk} G_{kk}^0(\epsilon) T_{kk-k}(\epsilon),$$

where $V_{kk}$ are matrix elements of the defect potential and the second term describes virtual transitions to intermediate states with wave vector $\mathbf{k}'$.

For nonmagnetic defects, we take $\hat{V} = V_1(\hat{r}) \otimes \delta_0$, where $\delta_0$ is the identity operator in spin space. With the spin indices written out explicitly, the defect matrix elements can be expressed as

$$V_{i,j,kk}^{mn}(\mathbf{s},s') = (m|ks\rangle|\hat{V}|n\mathbf{k}s'\rangle$$

$$= \langle s'|n\mathbf{k}s'|V_1(\hat{r})|m\mathbf{k}s\rangle s,' \rangle.$$
with $|\psi; s_z\rangle$ denoting the $s_z = \pm 1$ spinor component of the wave function. Here, we use a DFT method based on an atomic supercell model for the defect site illustrated in Fig. 1(b) to calculate the defect matrix elements [39].

As an example, Fig. 2 shows the spin-diagonal conduction-band matrix elements for Mo and S vacancies in 2D MoS$_2$. While the Mo vacancy gives rise to intravalley (short arrow) and intervalley (long arrow) couplings, the intervalley matrix element for the S vacancy vanishes, thus confirming the symmetry-based predictions in Eq. (2). Furthermore, we note that the matrix element in the $K, K'$ valleys is an order of magnitude larger for Mo than for S vacancies. In a simple picture where only $K, K'$ intra- and intervalley scattering with a constant matrix element $V_0$ is considered, the $T$ matrix becomes $T(\epsilon) = V_0/|1 - gV_0\tilde{G}_0(\epsilon)|$, where $\tilde{G}_0(\epsilon) = \int d\mathbf{k} G^0_{\epsilon\mathbf{k}}(\epsilon) \propto \rho_\epsilon$, $\rho_\epsilon \approx 0.01$ eV$^{-1}$ Å$^{-2}$ is the density of states, and the valley multiplication factor $g = 2$ ($-1$) for $M$ ($X$; only intravalley scattering) vacancies. Together with the values for $V_0$ extracted from Fig. 2, this allows us to identify $M$ ($g\rho_\epsilon V_0 > 1$) and $X$ ($g\rho_\epsilon V_0 < 1$) vacancies as strong (unitary), $T(\epsilon) \approx -1/g\tilde{G}_0(\epsilon)$, and weak, $T(\epsilon) \approx V_0$, defects, respectively.

The FT-STS calculations presented below are based on full BZ $\mathbf{k}, \mathbf{q}$-point samplings of the band structures, defect matrix elements, and $\kappa_{\epsilon\mathbf{k}}(\mathbf{G})$ matrix elements, all obtained with DFT-LDA including a SO interaction [39]. Our approach naturally goes beyond the low-energy description in Eq. (1), which is essential as both the $K$ and $Q$ valleys are relevant for quasiparticle scattering in 2D TMDs. As intervalley scattering in the valence band is suppressed by (i) the large spin-valley coupling, and (ii) the $C_3$ symmetry of the vacancies, the valence-band FT-STS spectra are rather simple [18,19], and we here limit the discussion to the conduction band. We furthermore focus on features related to the symmetry-forbidden intervalley scattering, deferring a complete analysis to a forthcoming paper.

FT-STS and QPI spectra. The calculated band structures and FT-STS spectra for atomic vacancies in MoS$_2$ and WSe$_2$ are summarized in Fig. 3. The different conduction-band structures in the two materials ($K$ vs $Q$ valley alignment and magnitude of the SO splitting), shown in the insets in Fig. 3(a), and the vacancy-dependent intervalley matrix element result in markedly different spectra between the materials as well as the vacancy type.

In general, the FT-STS spectra close to the band edge ($\epsilon \approx 0$; see Ref. [39]) are characterized by featureless spots at the points in $\mathbf{q}$ space corresponding to intravalley ($\mathbf{q} = 0$) and intervalley scattering [q$_{1,5}$ in Fig. 3(b)]. The spot intensities...
are governed by the $T$-matrix scattering amplitude and valley degeneracy. For the Bragg peaks, the intensity is reduced compared to those in the first BZ due to the phase-factor matrix element $n_{\mathbf{k} \mathbf{q}}(\mathbf{G})$.

In MoS$_2$, the SO splitting in the conduction band is small, $\sim 3$ meV, thereby allowing for spin-conserving $K \leftrightarrow K'$ intervalley scattering ($\mathbf{q}_{1,2}$) near the band edge. Hence, intervalley peaks at $\mathbf{q} = \mathbf{K}, \mathbf{K}'$ are to be expected. In WSe$_2$, the $Q$ valley is lower than the $K$ valley and the SO splitting is much larger ($\sim 250$ meV in the $Q$ valley and $\sim 50$ meV the $K$ valley), hence a $\mathbf{q} \approx \mathbf{M}$ peak due to $Q \leftrightarrow Q'$ intervalley processes ($\mathbf{q}_1$) will appear instead.

The above is indeed the case in the FT-STS spectra for $M$ vacancies shown in Fig. 3(c) for an energy $\varepsilon = 75$ meV above the band edge [dashed lines in the insets in Fig. 3(a)]. At this energy, the spots have developed into features (see the zoomed contours, $\varepsilon = \pm 48$ meV) which are associated with intervalley scattering by atomic defects in 2D TMDs, e.g., the optical conductivity contours, $\varepsilon = 75$ meV. The intervalley features are weaker than the intravalley feature because intravalley processes in the $K$ and $K'$ valleys add up, while the two $K \leftrightarrow K'$ intervalley processes have distinct wave vectors, $\mathbf{q} \approx \pm \mathbf{K}$. In WSe$_2$, both the $Q$ and $K$ valleys are accessible at $\varepsilon = 75$ meV, and therefore intervalley features around $\mathbf{q} \approx \mathbf{M}$, $\mathbf{q} \approx \mathbf{K}$, as well as $\mathbf{q} \approx \mathbf{Q}$ are observed. They are associated with $Q \leftrightarrow Q'/K \leftrightarrow Q$ ($\mathbf{q}_{1/4}$), $K \leftrightarrow K'$ ($\mathbf{q}_{1/2}$), and $K \leftrightarrow Q$ ($\mathbf{q}_1$) processes, respectively. The central intravalley feature in WSe$_2$ has more structure than in MoS$_2$ as it has contributions from both $K$ and $Q$ intravalley processes. At even higher energies (not shown), the $K$ and $Q$ valleys are available in both MoS$_2$ and WSe$_2$, and the FT-STS spectra become highly complex.

In contrast to the FT-STS spectra for $M$ vacancies, the spectra for $X$ vacancies in Fig. 3(d) show that the anticipated intervalley feature at $\mathbf{q} \approx \pm \mathbf{K} (\mathbf{q}_{1/2})$ is strongly suppressed for both MoS$_2$ and WSe$_2$. This is a direct consequence of the symmetry-forbidden $K \leftrightarrow K'$ intervalley matrix element which suppresses intervalley scattering also in the vicinity of the high-symmetry $K,K'$ points [see Fig. 2(b)]. In WSe$_2$, also the $Q \leftrightarrow Q'$ ($\mathbf{q}_1$) and $Q \leftrightarrow K$ ($\mathbf{q}_{1/4}$) intravalley features are much weaker for $X$ vacancies, which can be traced back to overall small intervalley matrix elements.

The suppression of $K \leftrightarrow K'$ intervalley scattering for $X$ vacancies leaves a clear fingerprint in the real-space LDOS, as demonstrated by the QPI maps in Fig. 4. For Mo and S vacancies in MoS$_2$, they have been obtained by Fourier transforming the FT-STS spectra in Figs. 3(c) and 3(d), $\rho(r,\varepsilon) = \sum_{\mathbf{G}} \int \frac{d q}{\pi} e^{-i \mathbf{q} \cdot \mathbf{G}} \rho(q + \mathbf{G},\varepsilon)$. For both vacancies, the LDOS modulation has a threefold symmetry and decays away the vacancy site (marked by crosses). The observed atomic resolution can be attributed to the FT-STS Bragg peaks, and shows that the LDOS modulation is concentrated on the Mo sites of the lattice, in accordance with the Mo d-orbital character of the conduction-band states in the $K,K'$ valleys [cf. Eq. (1)]. Noticeably, the QPI map for the S vacancy stands out by the absence of an intervalley-scattering-induced cell-to-cell modulation of the LDOS in the vicinity of the vacancy, which is clearly visible for the Mo vacancy. At larger distances from the vacancy site, a slower modulation with wavelength $2\pi/q$ ($\approx 10a$ at $\varepsilon = 75$ meV) due to intravalley backscattering, $q = 2k$, emerges.

Conclusions and outlook. In conclusion, we have demonstrated (i) an unconventional symmetry-induced protection against intervalley scattering by atomic defects in 2D TMDs, and (ii) its fingerprint in conduction-band FT-STS spectra which allows for a unique identification of, e.g., the vacancy type. Our findings may offer an explanation why the $K \leftrightarrow K'$ intervalley FT-STS peak has not been observed in experiments [18,19], and are also relevant for FT-STS on metallic TMDs [50].

We are convinced that our work, in conjunction with further experimental FT-STS studies, can provide a complete understanding of defect scattering in 2D TMDs. In addition, FT-STS may shed light on band-structure issues in 2D TMDs, such as the magnitude of SO splittings [18], the $K,Q$-valley ordering in the conduction band which is sensitive to the SO strength [13,14], and the subband structure and valley ordering in few-layer TMDs [51,52]. Besides our reported FT-STS signatures, the suppression of intervalley scattering is expected to have implications for a wide range of effects in disordered 2D TMDs, e.g., the optical conductivity [53], magnetotransport [54–58], the valley Hall effect [59], Elliot-Yafet spin relaxation [60], and disorder-induced valley pumping [61].

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[39] See Supplemental Material at http://link.aps.org/supplemental/10.1103/PhysRevB.96.241411 for details on (i) the derivation of Eq. (3), (ii) the DFT-based calculations of the FT-STS spectra and the involved quantities, (iii) additional FT-STS spectra at the band edge, and (iv) the symmetry analysis of the defect matrix elements, which includes Refs. [40–43].


