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Anomalous kinetics of lath martensite formation in stainless steel

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ABSTRACT

The kinetics of lath martensite formation in Fe–17.3 wt-%Cr–7.1 wt-%Ni–1.1 wt-%Al–0.08 wt-%C stainless steel was investigated with magnetometry and microscopy. Lath martensite forms during cooling, heating and isothermally. For the first time, it is shown by magnetometry during extremely slow isochronal cooling that transformation rate maxima occur, which are interrupted by virtually transformation free temperature regions. Microscopy confirms martensite formation after athermal nucleation of clusters followed by their time dependent growth. The observations are interpreted in terms of time dependent autocatalytic lath martensite formation followed by mechanical stabilisation of austenite during the transformation process.

Keywords: Phase transformation kinetics, Martensitic phase transformation, Stainless steel, Magnetometry

INTRODUCTION

The kinetics of the austenite to martensite transformation in ferrous alloys is classified into two categories: athermal and isothermal.¹ In the athermal case, the fraction of transformed product does not depend on time and increases instantaneously with increasing driving force for transformation. In the isothermal case, the transformation is time dependent. An alternative interpretation²,³ suggests that the athermal reaction is an extremely fast isothermal transformation, making the distinction between the two categories purely hypothetical. The kinetics of martensite formation is classified into isothermal and anisothermal.² Anisothermal transformations apparently proceed athermally on cooling (i.e. with increasing the driving force for transformation), but continue isothermally upon interrupting the cooling.⁴,⁵

An additional category of the austenite to martensite transformation kinetics is burst kinetics,⁶ which is characterised by sharp transformation steps on cooling.⁷ In burst kinetics, autocatalytic nucleation of martensite results in the abrupt transformation of a part of the material in an instantaneous and irreproducible transformation event, a so called burst.⁷ A burst takes place within a millisecond.⁸ On the other hand, austenite to martensite transformation kinetics characterised by a series of alternating accelerations and decelerations of the transformation on cooling is considered an anomaly.³,⁷,⁹,¹⁰ Such anomalous transformation kinetics differs from burst kinetics for the reproducibility⁶,¹⁰ and the timescale of the transformation steps, which is in the range 1–10⁴ s.

Martensite in iron based alloys can appear as lath or plate morphology.¹¹ The present manuscript focuses on martensite with lath morphology. Lath martensite formation kinetics characterised by a series of alternating retardations and accelerations of the transformation on cooling was demonstrated recently and independently in Refs. 9 and 10. The transformation kinetics was referred to as unusual⁹ and anomalous,¹⁰ respectively. In
Ref. 12, where similar observations were reported, the transformation product was bainitic ferrite. Analogies and differences between the works in Refs. 9 and 10 are listed in the following.

In Ref. 9, a train of transformation rate maxima, i.e. a series of alternating retardations and accelerations of the transformation, was observed with dilatometry and calorimetry on cooling a Fe–Ni–Co–Mo maraging steel at a rate ranging from 0.2 to 2.5 K min\(^{-1}\). Overall, the kinetics of martensite formation on cooling appeared to be controlled by the driving force for transformation (i.e. athermal character), but (partial) isothermal character was identified by a measurable effect of the cooling rate on the transformation steps. As a matter of fact, the transformation rate maxima were more pronounced the faster the cooling, while the temperature at which they were observed, as well as their number, was independent of the cooling rate.

In Ref. 10, a train of transformation rate maxima was observed with dilatometry during cooling of a Fe–Cr–Ni–Cu martensitic stainless steel at cooling rates ranging from 0.015 to 500 K min\(^{-1}\), and anomalous transformation kinetics was observed in the cooling rate range 1.5–50 K min\(^{-1}\). As for Ref. 9, overall, the kinetics of martensite formation on cooling appeared controlled by the driving force for transformation, but (partial) isothermal character was identified by a measurable effect of the cooling rate on the transformation steps. Moreover, isothermal martensite formation was demonstrated on interrupting the cooling. These observations are consistent with anisothermal transformation kinetics. The number of transformation rate maxima depended on the cooling rate and was 12, 9, 6 and 5 for cooling rates of 1.5, 5, 15 and 50 K min\(^{-1}\) respectively. For a cooling rate up to 15 K min\(^{-1}\), the transformation rate maxima also became more pronounced the faster the cooling. Despite strong similarities in the observed transformation kinetics, the mechanisms proposed to explain the observed trains of transformation rate maxima were different for the systems investigated in Refs. 9 and 10.

In Ref. 9, the train of transformation rate maxima was interpreted as the result of the simultaneous formation of specifically oriented martensite blocks within packages, throughout the specimen. The formation of lath martensite subdivides the austenite grains at different length scales. First, austenite grains are divided into packets. Each packet contains several parallel blocks of martensite laths. The individual laths represent the smallest level of subdivision. Hence, according to Ref. 9, during the evolution of the transformation, the units of martensite are distributed over the majority of (prior) austenite grains. This hypothesis was verified in the surface region of the material by in situ optical microscopy.

Conversely, in Ref. 10, the train of transformation rate maxima was interpreted as the result of the interplay between the driving force for thermally activated autocatalytic martensite formation and the counteracting mechanical stabilisation of the austenite (see below) during the transformation. Moreover, according to Ref. 10, the units of martensite are not distributed over the majority of (prior) austenite grains but are grouped in clusters, which nucleate independently, while their growth is thermally activated and extends over several (prior) austenite grains. This interpretation is based on previous work on the formation of lath martensite in a Fe–Cr–Ni–Al stainless steel, where clusters of martensite units were observed at the surface of the material together with numerous unaffected austenite grains. Moreover, two transformation rate maxima were revealed by magnetometry upon isochronal cooling of the material at 0.1 K min\(^{-1}\).

The interpretation of the transformation mechanism reported in Ref. 10 takes into account the effects of the transformation induced elastic (i.e. stress) and plastic strains introduced in martensite and surrounding austenite. Elastic and plastic strains are responsible for both the autocatalytic character of the transformation and the mechanical stabilisation of the austenite. Hydrostatic (elastic) stress reduces the driving force for the austenite to martensite transformation and consequently counteracts the continuation of martensite development (i.e. mechanically stabilises the austenite). Conversely, shear stress thermodynamically promotes both (stress assisted) nucleation and growth of martensite and may yield autocatalytic behaviour. Plastic deformation by the introduction of dislocations in austenite counteracts the movement of an existing martensite/austenite interface into austenite (i.e. mechanically stabilises the
On the other hand, dislocations in austenite have been considered as martensite nucleation sites and consequently can promote martensite formation and autocatalysis.

Finally, according to Refs. 35 and 36, the kinetics of athermal/anisothermal transformations is governed by the overall balance between driving force for transformation and counteracting mechanical stabilisation of the austenite. Consequently, the interpretation of anomalous transformation kinetics in Ref. 10 is consistent with the interpretation of athermal/anisothermal transformation kinetics in Refs. 35 and 36.

The purpose of the present manuscript is to contribute to the experimental validation of the description of anomalous martensite formation kinetics presented in Ref. 10, and thereby to the understanding of the mechanism(s) underlying the kinetics of lath martensite formation in stainless steels.

**MATERIALS AND METHODS**

The material used in this present work was 0.15 mm thick foil of Fe–17.3wt-%Cr–7.1wt-%Ni–1.1wt-%Al–0.08wt-%C stainless steel (commercial grade AISI 631 supplied by Goodfellow Cambridge Ltd) in as rolled condition. In this alloy, the martensitic transformation proceeds from face centred cubic c to body centred tetragonal a, and martensite has lath morphology. Martensite formation occurs isothermally at temperatures lower than ~310 K, and isothermal martensite formation is industrially exploited at subzero Celsius temperature. Austenite is metastable at room temperature and transforms into a martensite upon mechanical deformation, ion implantation and electropolishing.

Samples were discs, 3 mm in diameter, which were austenitised in argon at 1127±10 K for 4.5 ks, cooled in an argon flow at an approximate average rate of 20 K min

Martensite formation was investigated by vibrating sample magnetometry (VSM) reflected light microscopy (RLM) and scanning electron microscopy (SEM). Microscopy was performed on the surface of the material as prepared before austenitisation. Consequently, the formed martensite is conceived as surface martensite, which is not necessarily representative of the transformation product in the bulk of the material. It is hereby assumed that the distribution of the martensite units within the prior austenite grains situated on the sample surface is representative for the distribution of the martensite units in the bulk of the material.

Vibrating sample magnetometry was performed with a Lake Shore Cryotronics 7407 vibrating sample magnetometer, equipped with a Janis SuperTran VP continuous flow cryostat. The degree of transformation was followed by recording the magnetic moment of the sample under an applied magnetic field of 0.3 T, which was sufficient to approach magnetic saturation in the samples. At magnetic saturation, the magnetisation of paramagnetic phases (i.e. c austenite and e martensite, when present) is several orders of magnitude smaller than the magnetisation of ferromagnetic phases (i.e. a’ martensite). Moreover, the magnetisation at saturation of a phase is not sensitive to structural features such as crystallographic defects.

The molar fraction of lath martensite in the material is determined on the basis of the following assumptions (see Ref. 18):

(i) the structure of the as rolled material is fully a’ martensite and remains stable in the temperature interval under investigation;
(ii) the molar fraction of a’ martensite is proportional to the magnetic moment of the material under an applied magnetic field of 0.3 T, corrected for its dependence on temperature;

With these assumptions, \( f_a'(t,T) \) is given by:
where $M(t,T)$ is the magnetic moment of the sample, and $M_a'(t,T)$ is the magnetic moment measured in the as rolled material during recording of the baseline under applied magnetic field of 0.3T.

Samples were subjected to six different thermal cycles, which are labelled A, B and C and 1, 2 and 3 to indicate two different sets of experiments. Samples are labelled A, B, C, 1, 2 and 3, accordingly. In the first set of experiments, samples were subjected to the following thermal cycles:

(i) sample A was isochronally cooled to 80 K at 10 K min$^{-1}$ and thereafter (re)heated to room temperature at 10 K min$^{-1}$;
(ii) sample B was immersed in boiling nitrogen, there after installed in the cryostat, that was precooled to 80 K, and finally isochronally (re)heated to room temperature by applying different heating rates ranging from 0.1 to 10 K min$^{-1}$;
(iii) sample C was first immersed in boiling nitrogen, then upquenched to room temperature by immersion in water and immediately hereafter installed in the VSM/RLM to follow isothermal martensite formation at room temperature in the first 7.2 ks.

In order to relate the results obtained for cycles A, B and C to the works presented in Refs. 9 and 10, a second set of experiments was performed:

(i) sample 1 was mounted in the cryostat precooled to 290 K and thereafter cooled to 260 K at 0.015 K min$^{-1}$;
(ii) sample 2 was immersed in boiling nitrogen, upquenched to room temperature by immersion in water, stored for 7.2 ks at room temperature (identical to treatment C) and finally cooled to 260 K at 0.015 K min$^{-1}$ (identical to treatment 1);
(iii) sample 3 was mounted in the cryostat precooled to 290 K (identical to treatment 1) and thereafter cooled to 260 K at 0.05 K min$^{-1}$.

RESULTS AND DISCUSSION

**Martensite formation during cooling, heating and isothermal holding**

In the first series of experiments, the material in the initial condition is (partially) transformed to martensite (Fig. 1). The fraction of $\alpha'$ martensite $f_a'$ in the initial condition is 7% as quantified with VSM.

In sample A, martensite forms both during isochronal cooling 10 K min$^{-1}$ and subsequent isochronal heating at the same rate. The majority of the transformation is observed during cooling in the temperature interval 220–260 K. Continued transformation appears hindered at temperatures below ~170 K and is negligible upon reheating for temperatures higher that ~260 K. On cooling, a single transformation rate maximum located at ~245 K is observed. The fraction of a9 martensite at the end of the thermal cycle is 82%.

In sample B, measurable martensite formation is suppressed during quenching in boiling nitrogen. Instead, martensite develops during the subsequent isochronal heating to room temperature. Martensite formation on heating is irreconcilable with athermal transformation kinetics. Moreover, a heating rate dependent transformation curve implies that the development of martensite is thermally activated. A kinetic analysis of the data at 33%, 50% and 67% transformation (cf. Ref. 49) yielded activation energies of 9, 13 and 17 kJ mol$^{-1}$ respectively. These values, which progressively increase with the degree of transformation, are consistent with literature data for isothermal martensite formation in Fe–Ni–Cr alloys and suggest that the movement of dislocations in austenite could be the rate limiting step for thermally activated martensite formation.\textsuperscript{18,50,51} In particular, an increase in the activation energy for martensite formation during the transformation was reported in Ref. 50 and interpreted in terms of progressive hardening of the austenite
phase as caused by martensite formation. The fraction of martensite at the end of the thermal cycle depends on the heating rate and decreases from 88 to 80% for increasing the heating rate from 0.1 to 10 K/min. Evidently, martensite formation can be (partially) suppressed on heating.

Sample C shows that measurable martensite formation after quenching in boiling nitrogen can be suppressed during subsequent upquenching to room temperature in water. Instead, martensite forms isothermally at room temperature. Considering the extended storage time of 1 month at room temperature before thermal cycle C, this result cannot be interpreted in terms of spontaneous thermally activated nucleation of martensite at room temperature. Martensite formation in Fig. 1c can only be interpreted consistently if growth of martensite does not occur instantaneously upon its nucleation (i.e. growth is, at least partially, thermally activated). Clearly, quenching in boiling nitrogen induces nucleation of martensite, but its growth is to a certain extent hindered at this temperature. The activation energy for thermally activated martensite formation is interpreted in terms of the activation energy for moving the martensite/austenite interface (i.e. the movement of interfacial dislocations) into (strengthened) austenite. The transformation at room temperature ceases after 1.5 ks at a transformed fraction of 30%.

The RLM and SEM images at various stages of cycle C (on a separate sample) are shown in Fig. 2a–c and Fig. 2d respectively. Clearly, the martensite units are grouped in clusters, which extend over neighbouring (prior) austenite grains (Fig. 2d).

![Plot of martensite fraction vs temperature and time for cycles A, B, and C.](image)

*Figure 1. Fraction of martensite measured using VSM and plotted versus temperature T or time t for thermal cycles A, B and C, respectively.*
After austenitisation and storage, only few clusters exist (Fig. 2a). Immersion in boiling nitrogen followed by immersion in water generates new clusters (Fig. 2b). Detailed investigation of the mechanism that promotes the athermal nucleation of independent martensite clusters on quenching/upquenching is beyond the scope of the present work. It is anticipated that thermal strains may play a role. Thermal strains are classified in macrostrains and microstrains (see Ref. 52). Macrostrains of thermal origin are a consequence of thermal gradients in the sample, which cannot be excluded for the quenching/upquenching steps. Microstrains of
thermal origin are expected upon (also slow) cooling/heating of the material as a consequence of the elastic and plastic interaction among phases (i.e. austenite and martensite possess different elastic and thermal properties) as well as among differently oriented austenite grains (i.e. the elastic and plastic properties of the face centred cubic crystal depend on the crystallographic direction53). The mechanical interaction among neighbouring austenite grains may be responsible for the spontaneous athermal nucleation of independent martensite clusters.

During isothermal holding at room temperature, the martensite clusters that form in the quenching and upquenching steps grow (cf. Fig. 2b and c), while the initially present clusters remain unaffected. Note that only minor differences are observed between Fig. 2b and Fig. 2c. This is caused by the delay due to sample movement from the water bath to the microscope. As shown in Fig. 1 for treatment C, most martensite forms in the initial stage of isothermal holding. Evidently, during time dependent martensite formation, the transformation spreads gradually throughout the material until a saturation point is reached for a certain transformed fraction. Furthermore, thermal energy alone cannot promote martensite formation until completion, as a certain level of undercooling, i.e. an additional driving force, is required for continued transformation (consider Fig. 1b and compare Fig. 1c with Fig. 1a and b).

**Anomalous kinetics of martensite formation**

Martensite formation during the second series of experiments is described in Fig. 3. In Fig. 3a and b, the molar fraction of martensite $f_m$ and the transformation rate $df_m/dt$ as measured by VSM during extremely slow cooling at 0.015 K min$^{-1}$ (samples 1 and 2) and at 0.05 K min$^{-1}$ (sample 3) are shown as a function of temperature $T$. Data for samples 1 and 2 are discussed first, and the mechanism for anomalous transformation kinetics is addressed. Thereafter, the effect of the cooling rate on the transformation kinetics is discussed by comparison of data for samples 1 and 3.

Clearly, martensite formation during extremely slow isochronal cooling takes place in steps. For both thermal cycles 1 and 2, three distinct transformation steps are observed (Fig. 3a). The extremely slow controlled cooling of 0.015 K min$^{-1}$ (i.e. 0.9 K h$^{-1}$) excludes thermal gradients in the samples during transformation and demonstrates that the observed effect is a genuine feature of the kinetics of the transformation, rather than an experimental artefact. The maxima of the transformation rate are observed at ~289 K, 278 K and 266 K for sample 1 and at ~290 K, 276 K and 262 K for sample 2 (Fig. 3b). Exact reproducibility of these temperature values was not investigated. In the light of the microscopy results (i.e. Fig. 2), the data are interpreted as follows.

Below the martensite start temperature, lath martensite nucleates athermally and spontaneously at the most favourable locations in the sample. Additional spontaneous nucleation events take place athermally at less favourable locations during cooling. These spontaneous nucleation events are more numerous for a larger driving force experienced by the sample during the thermal cycle, i.e. more numerous in sample 2 as compared to sample 1. Hence, as compared to sample 1, more nuclei have developed spontaneously (and at different locations) in sample 2 during quenching in boiling nitrogen, while their growth was hindered by subsequent upquenching in water.

After athermal nucleation, martensite nuclei grow. Growth is, at least partly, thermally activated and induces autocatalytic nucleation of martensite blocks/packets in the austenite surrounding the martensite cluster. Consequently, the onset of autocatalytic nucleation is time dependent (cf. Fig. 2b and c). Moreover, the growth of autocatalytically formed nuclei is (at least partially) time dependent.

Since spontaneous martensite nucleation occurred at a larger number of locations in sample 2 than in sample 1 (see above), more numerous martensite clusters are present in sample 2 (the same reasoning applies to Fig. 2a and b/c). As follows from Figs. 1c and 2d, these clusters extend over several neighbouring (prior)
austenite grains and have reached a stable dimension in both samples 1 and 2 before investigation (note that sample 2 was treated analogously to sample C before extremely slow isothermal cooling). This result is interpreted in terms of mechanical stabilisation of the austenite during martensite formation. Mechanical stabilisation of the austenite results from strengthening of the austenite phase, which inhibits the movement of the martensite/austenite interface. Hence, stabilisation of the austenite occurs in the surroundings of each martensite cluster and inhibits its continued growth.

In order for the martensite clusters to spread, further growth of martensite and growth induced autocatalytic nucleation of a new population(s) of martensite blocks/packets are required. This is only possible when additional undercooling augments the driving force for martensite formation and results in an acceleration of the transformation, as corroborated by the first transformation rate maximum observed on cooling ~290 K. Moreover, this description is in agreement with Fig. 2, where only the clusters formed during quenching in boiling nitrogen and subsequent upquenching to room temperature in water were observed to grow during isothermal holding at room temperature (cf. Fig. 2a–c). No growth of the initially present clusters during isothermal holding at room temperature can occur, because they have stabilised before investigation.

During continuous cooling of samples 1 and 2, martensite formation strengthens the adjacent austenite, thereby suppressing further growth. Consequently, autocatalytic nucleation is retarded. A new acceleration of the transformation occurs when additional undercooling augments the driving force for martensite transformation, promoting growth of martensite and the nucleation of new populations of martensite blocks/packets. Upon further cooling, this mechanism is repeated simultaneously at several locations in the sample, leading to the observation of the second and third (and subsequent...) transformation rate maxima.

In the following, data for samples 1 and 3 are compared and the effect of the cooling rate on the transformation kinetics is discussed in the light of the interpretation of the anomalous kinetics of martensite formation in Ref. 10.

During cooling of sample 3, two transformation steps are observed (Fig. 3a). The transformation rate maxima are reported at ~288K and 276 K (Fig. 3b). Evidently, the number of transformation rate maxima in the temperature interval of investigation depends on the cooling rate and is reduced from three to two by increasing the cooling rate from 0.015 K min⁻¹ (i.e. samples 1 and 2) to 0.05 K min⁻¹ (i.e. sample 3). Moreover, the first transformation step is completed at ~283 K, corresponding to a transformed fraction of 58% (higher horizontal dotted line in Fig. 3a), while in sample 1, it was completed at ~286 K, corresponding to a transformed fraction of 48% (lower horizontal dotted line in Fig. 3a).

According to Ref. 10, the austenite to martensite transformation stops when the overall strain energy introduced into the system by martensite formation, hereby reinterpreted in terms of mechanical stabilisation of austenite, equals the driving force for transformation. As a consequence of (partial) isothermal character of the transformation, this condition is firstly satisfied at a lower temperature, i.e. at a larger value of driving force, the faster the cooling. In the present work, this condition is satisfied at 286 K and 283 K in sample 1 and sample 3 respectively. It follows that a larger degree of transformation is obtained in sample 3 as compared to sample 1 prior that the transformation comes to a halt at the end of the first transformation step. Similar consideration applies to the second, third (and subsequent...) transformation steps.

In Ref. 10, a shift to lower temperature for the first transformation step at faster cooling was suggested, but remained unresolved (i.e. the interpretation remained speculative). Furthermore, the transformation was not suppressed upon cooling at a rate as high as 500 K min⁻¹. Overall, the kinetics of martensite formation was aniso-thermal. In the present case, the isothermal character of the transformation is more pronounced, most likely because of the temperature interval of investigation (see Ref. 51), which is 260–290 K versus 333–413 K in Ref. 10. Consequently, the transformation is suppressed by fast cooling, and the mechanism of anomalous transformation kinetics is revealed.
In summary, by comparing the transformation curve on cooling samples 1 and 3, it is evident that martensite formation is macroscopically anisothermal, provided that a sufficiently low cooling rate (i.e. ≤0.05 K min⁻¹) is applied. At a low cooling rate, partial isothermal character is observed by a measurable effect of the cooling rate on the transformation kinetics and a series of controlled transformation steps are observable. The number of transformation steps is a function of the cooling rate. The kinetics of lath martensite formation characterised by multiple controlled transformation steps on slow cooling is labelled anomalous after Ref. 10.

Macroscopically, anisothermal kinetics is interpreted in terms of an overall balance of driving force and counter-acting mechanical stabilisation of the austenite, in agreement with the description of the kinetics of martensite formation in Refs. 35 and 36. However, as shown by the higher transformed fraction at the start of controlled cooling for sample 2 as compared to sample 1 (Fig. 3a), this description does not apply for the initial stage of the transformation. Here, the overall fraction transformed depends on the number of martensite clusters present in the material. This may be explained by an overall interaction among the different martensite clusters (and their corresponding surrounding strengthened austenite), which takes place only for a sufficiently large fraction transformed.

**CONCLUSIONS**

A series of accelerations and retardations of the transformation during very slow isochronal cooling was reported for martensite formation in Fe–17.3wt-%Cr–7.1wt-%Ni–1.1wt-%Al–0.08wt-%C stainless steel. This anomalous martensite formation kinetics is interpreted in terms of the combined effect of time dependent martensite formation and mechanical stabilisation of the austenite phase during the transformation process. In situ microscopy supports the interpretation and shows that martensite formation occurs by athermal spontaneous nucleation of martensite in clusters followed by time dependent growth of the clusters by autocatalytic nucleation of martensite over neighbouring prior austenite grains.

**REFERENCES**