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Fundamental Limits to Coherent Scattering and Photon Coalescence from Solid-State Quantum Emitters

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The desire to produce high-quality single photons for applications in quantum information science has led to renewed interest in exploring solid-state emitters in the weak excitation regime. Under these conditions it is expected that photons are coherently scattered, and so benefit from a substantial suppression of detrimental interactions between the source and its phonon environment. Nevertheless, we demonstrate here that this reasoning is incomplete, and phonon interactions continue to play a crucial role in determining solid-state emission characteristics even for very weak excitation. We find that the sideband resulting from non-Markovian relaxation of the phonon environment leads to a fundamental limit to the fraction of coherently scattered light and to the visibility of two-photon coalescence at weak driving, both of which are absent for atomic systems or within simpler Markovian treatments.

In the past few years, artificial atoms such as semiconductor quantum dots (QDs) have emerged as a leading platform to develop novel photonic sources for applications in quantum information science. This interest has been driven in part by a host of experiments establishing that QDs exhibit the optical properties of few-level-systems, much like their natural atomic counterparts. This includes single photon emission and photon antibunching [1–6], entangled photon emission [7, 8], coherent Rabi oscillations [9–11], and resonance fluorescence [12–17], which has culminated in recent demonstrations of efficiently generated, highly indistinguishable photons [18–21]. Moreover, the solid-state nature of QDs offers advantages not shared by atomic systems, such as the ease with which they can be optically addressed, larger oscillator strengths, and potential embedding into complex photonic structures [22, 23]. However, less advantageous distinctions are also present, principally the unavoidable interactions between QD excitonic degrees of freedom and the vibrational modes of the host material [24–26]. These can significantly alter QD optical emission properties [27–30], which typically reduces their performance in quantum devices [31].

Recent efforts to suppress the detrimental effects of phonons in solid-state emitters have renewed interest in studying the weak resonant excitation (Heitler) limit [13–17]. In atomic systems, this regime is dominated by elastic (coherent) scattering of photons, with the proportion of coherent emission approaching unity as the driving strength is reduced [32]. As the population excited within the emitter then becomes very small, it is expected that in solid-state systems phonon effects will correspondingly be suppressed, such that the emitted photon coherence times may become extremely long.

Here, we demonstrate that this intuition is incomplete. Phonon interactions remain a vital consideration for QDs in the weak excitation regime, despite the vanishing dot

population. In fact, we show that the sideband resulting from non-Markovian relaxation of the phonon environment leads to a fundamental limit (below unity) to the fraction of coherently scattered light from a solid-state emitter, even at weak driving. This is in clear contrast to the atomic case, and thus constitutes a novel regime of semiconductor quantum optics. It is also of direct practical importance, for example to light-matter coupling schemes that rely on the coherent scattering of photons with high efficiency [33, 34]. Furthermore, we show that signatures of the phonon relaxation process can be even more pronounced in two-photon interference experiments, resulting in a substantial suppression of the visibility of photon coalescence on picosecond timescales, which is further exacerbated when accounting for the inevitable detector temporal response. This leads to a non-monotonic dependence of the visibility on driving strength that is unexpectedly optimised at intermediate rather than very weak excitation.

Model—We model the QD as a two-level-system with upper (single exciton) state $|X\rangle$ of frequency ω_X , and ground state $|0\rangle$ [24, 26, 35–37]. The QD is excited by a continuous-wave (CW) laser with Rabi frequency Ω and detuning δ , while the electromagnetic and vibrational environments are treated as two separate reservoirs of harmonic oscillators. Applying a rotating-wave approximation to the driving field, and moving to a frame rotating with respect to the laser frequency ω_l , the Hamiltonian may be written ($\hbar = 1$):

$$H = \delta\sigma^\dagger\sigma + \frac{\Omega}{2}\sigma_x + \sum_k \nu_k b_k^\dagger b_k + \sum_m \omega_m a_m^\dagger a_m + \sigma^\dagger\sigma \sum_k g_k (b_k^\dagger + b_k) + \sum_m f_m \sigma^\dagger a_m e^{i\omega_l t} + \text{h.c.}, \quad (1)$$

with $\sigma^\dagger = |X\rangle\langle 0|$ and $\sigma_x = \sigma^\dagger + \sigma$. Each phonon mode is characterised by a creation (annihilation) op-

erator b_k^\dagger (b_k), frequency ν_k , and couples to the system with strength g_k . Similarly, the modes of the electromagnetic environment are defined by creation (annihilation) operators a_m^\dagger (a_m), frequencies ω_m , and couplings f_m .

The interactions between the QD and the two harmonic environments are determined by their spectral densities. For the phonon environment, we take the standard form [24, 26, 38] $J_{\text{PH}}(\nu) = \sum_k g_k^2 \delta(\nu - \nu_k) = \alpha \nu^3 \exp(-\nu^2/\nu_c^2)$, where α is the system–environment coupling strength and ν_c is the phonon cut-off frequency, the inverse of which approximately specifies the timescale of the environmental response. The situation is simplified for the electromagnetic environment; for a QD in a bulk medium [39], the local density of states of the electromagnetic field does not vary appreciably over the relevant QD energy scales. This allows us to assume the spectral density to be flat [27, 32], $J_{\text{EM}}(\omega) = \sum_m |f_m|^2 \delta(\omega - \omega_m) \approx 2\gamma/\pi$, where γ is the spontaneous emission rate.

The dynamics generated by Eq. (1) are not in general amenable to exact solutions. However, in regimes relevant to QD systems we may derive a very accurate master equation (ME) for the reduced state of the QD using the polaron formalism [37, 40–42], which is valid beyond the standard limit of weak QD-phonon coupling [37, 38]. As we shall see, our treatment, though Markovian in the polaron representation, still retains non-Markovian processes for operators evaluated in the original representation. This makes it particularly well suited to probing novel phonon effects in QD optical emission properties, as it allows us to draw a formal connection between the QD dynamics (generated by the ME) and the characteristics of the emitted electromagnetic field via the quantum regression theorem in the usual way, though without imposing restrictions to Markovian or weak-coupling regimes between the QD and phonons [43]. This is especially important in the weak driving limit, where we shall show that non-Markovian relaxation of the phonon environment has a particularly pronounced effect.

To derive the ME we apply a polaron transformation to Eq. (1), defined through $H_{\text{P}} = \mathcal{U}_{\text{P}} H \mathcal{U}_{\text{P}}^\dagger$ where $\mathcal{U}_{\text{P}} = |0\rangle\langle 0| + |X\rangle\langle X| B_+$, with $B_\pm = \exp[\pm \sum_k g_k (b_k^\dagger - b_k)/\nu_k]$. This removes the linear QD-phonon coupling term, resulting in a transformed Hamiltonian that may be written as $H_{\text{P}} = H_{\text{P}}^0 + H_{\text{P}}^{\text{I}}$, with

$$H_{\text{P}}^0 = \tilde{\delta} \sigma^\dagger \sigma + \frac{\Omega_r}{2} \sigma_x + \sum_k \nu_k b_k^\dagger b_k + \sum_m \omega_m a_m^\dagger a_m, \quad (2)$$

$$H_{\text{P}}^{\text{I}} = \frac{\Omega}{2} \sigma^\dagger (B_+ - B) + \sum_m f_m \sigma^\dagger B_+ a_m e^{i\omega_m t} + \text{h.c.} \quad (3)$$

where $\tilde{\delta} = \omega_X - \omega_l - \sum_k g_k^2/\nu_k$ is the phonon shifted detuning, and $\Omega_r = \Omega B$ is the Rabi frequency renormalised by the average displacement of the phonon environment, $B = \text{tr}(B_\pm \rho_B)$. For a thermal state of the phonons we have $\rho_B = \exp[-\beta \sum_k \nu_k b_k^\dagger b_k] / \text{tr}_B(\exp[-\beta \sum_k \nu_k b_k^\dagger b_k])$ with temperature $T = (k_B \beta)^{-1}$, and we find $B = \exp[-\frac{1}{2} \int_0^\infty \nu^{-2} J_{\text{PH}}(\nu) \coth(\beta\nu/2) d\nu]$. Tracing out

the environments within the Born-Markov approximations [44], we obtain a polaron frame ME that is second-order in H_{P}^{I} but remains non-perturbative in the original QD-phonon coupling, and may be written as [45]

$$\dot{\rho}_{\text{P}}(t) = -\frac{i\Omega_r}{2} [\sigma_x, \rho_{\text{P}}(t)] + \mathcal{K}_{\text{PH}}[\rho_{\text{P}}(t)] + \mathcal{K}_{\text{EM}}[\rho_{\text{P}}(t)]. \quad (4)$$

Here, we have assumed resonant driving ($\tilde{\delta} = 0$), the term $\mathcal{K}_{\text{EM}}[\rho_{\text{P}}(t)] = \frac{\gamma}{2} (2\sigma \rho_{\text{P}}(t) \sigma^\dagger - \{\sigma^\dagger \sigma, \rho_{\text{P}}(t)\})$ arises from the second term in Eq. (3) and leads to spontaneous emission of photons from the QD, and ρ_{P} is the polaron frame reduced QD density operator after both the phonon and electromagnetic environments have been traced out. Markovian dissipative processes due to phonons are encoded in the superoperator $\mathcal{K}_{\text{PH}}[\rho_{\text{P}}(t)]$ which originates from the first term in Eq. (3) [45]. Though the form of $\mathcal{K}_{\text{PH}}[\rho_{\text{P}}(t)]$ can in general be rather complicated, it is evident that the influence of these *Markovian* phonon terms becomes negligible as $\Omega/\gamma \rightarrow 0$ and the weak-driving (Heitler) regime is approached.

Photon emission—As stated, Eq. (4) is a Markovian polaron frame ME describing the QD dynamics. However, we shall now see how the polaron formalism also allows for non-Markovian relaxation processes to be readily included into the emitted field characteristics. We consider the electric field operator in the Heisenberg picture, which neglecting polarisation may be written $\hat{E}(t) = \hat{E}^{(+)}(t) + \hat{E}^{(-)}(t)$, with positive frequency component $\hat{E}^{(+)}(t) = [\hat{E}^{(-)}(t)]^\dagger = \sum_m \mathcal{E}_m \hat{a}_m(t)$ where \mathcal{E}_m is the electric field strength. Using the formal solution of the Heisenberg equation for $a_m(t)$ we may write

$$\hat{E}^{(+)}(t) = -i \sum_m \int_0^t dt' \mathcal{E}_m f_m \tilde{\sigma}(t') B_-(t') e^{i\omega_m(t'-t)}, \quad (5)$$

where $\tilde{\sigma}(t) = \sigma(t) e^{-i\omega_l t}$, and we have omitted the free field contribution $\sum_m \mathcal{E}_m \hat{a}_m(0) e^{-i\omega_m t}$, i.e. the field in the absence of the emitter, which is valid when taking expectation values assuming a free field in the vacuum state. Using, as before, the fact that the coupling between the emitter and field does not vary appreciably over energy scales relevant to the QD, we then obtain

$$\hat{E}^{(+)}(t) \rightarrow -i\mathcal{E} \sqrt{\frac{\pi\gamma}{2}} \tilde{\sigma}(t) B_-(t). \quad (6)$$

Contained within the electromagnetic field operator is now the multimode phonon environment displacement operator $B_-(t)$. This results from the transformation to the polaron frame, and captures the relaxation of the vibrational environment when a photon is scattered. This is an inherently non-Markovian process, typically occurring on a picosecond timescale set by ν_c [43].

The impact of the phonon relaxation process may be observed directly in the steady-state intensity spectrum of light emitted from the QD, which is related to the field operators through the Wiener-Khinchin

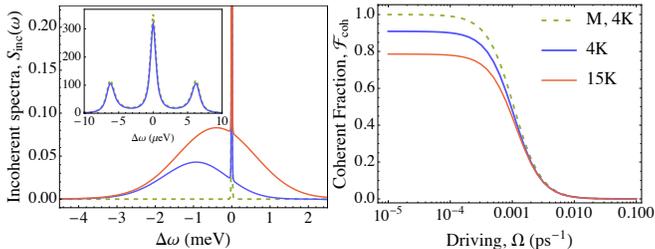


FIG. 1. Left: Incoherent emission spectrum for a CW driven QD, demonstrating the presence of the broad phonon sideband captured by the non-Markovian theory (solid), when compared to the Markovian expression (dashed). The inset shows a zoom around the QD resonance at 4 K. Right: Fraction of coherent scattering as a function of driving strength within the non-Markovian theory (solid) and the Markovian approximation (M, dashed). Parameters: $\Omega = 0.01 \text{ ps}^{-1}$, $\gamma^{-1} = 700 \text{ ps}$, with the phonon environment characterised by $\alpha = 0.03 \text{ ps}^2$, $\omega_c = 2.2 \text{ ps}^{-1}$, and temperatures as indicated.

theorem, $S(\omega) = \lim_{t \rightarrow \infty} \text{Re}[\int_0^\infty \langle \hat{E}^{(-)}(t) \hat{E}^{(+)}(t + \tau) \rangle e^{i(\omega - \omega_l)\tau} d\tau]$ [32]. Using Eq. (6) we find $S(\omega) \propto \text{Re}[\int_0^\infty g^{(1)}(\tau) e^{i(\omega - \omega_l)\tau} d\tau]$, with $g^{(1)}(\tau) = \lim_{t \rightarrow \infty} \langle \sigma^\dagger(t) B_+(t) \sigma(t + \tau) B_-(t + \tau) \rangle$ [46–48]. The level of coherent scattering is determined by the long time limit of the correlation function, $g_{\text{coh}}^{(1)} = \lim_{\tau \rightarrow \infty} [g^{(1)}(\tau)]$, and the incoherent emission spectrum then defined as $S_{\text{inc}}(\omega) = \text{Re}[\int_0^\infty (g^{(1)}(\tau) - g_{\text{coh}}^{(1)}) e^{i(\omega - \omega_l)\tau} d\tau]$. For typical QD systems, $g^{(1)}(\tau)$ contains two quite distinct timescales; a picosecond timescale associated with relaxation of the phonon environment, and a much longer ($\sim \text{ns}$) timescale corresponding to direct spontaneous photon emission. This allows us to factorise the correlation function into short- and long-time contributions, such that $g^{(1)}(\tau) = G(-\tau)g_0^{(1)}(\tau)$, where $g_0^{(1)}(\tau) = \lim_{t \rightarrow \infty} \langle \sigma^\dagger(t) \sigma(t + \tau) \rangle$ can be calculated from Eq. (4) using the (Markovian) regression theorem, while $G(\tau) = \langle B_+(\tau) B_- \rangle = B^2 \exp[\int_0^\infty \nu^{-2} J_{\text{PH}}(\nu) (\coth(\beta\nu/2) \cos \nu\tau - i \sin \nu\tau) d\nu]$ describes short-time phonon relaxation.

Spectra—The impact of these non-Markovian phonon processes is illustrated in Fig. 1 (left), where we plot the incoherent spectrum including (solid lines) and excluding (dashed lines) the short-time phonon contribution $G(\tau)$. The inset shows a zoom around $\Delta\omega = \omega - \omega_l = 0$, where both approaches capture the Mollow triplet, while only the non-Markovian theory captures the broad sideband visible on the scale in the main plot. Such sidebands have in fact been observed in resonance fluorescence experiments on QD systems [13–15], and previously studied theoretically using a non-Markovian regression theorem [43]. Not only does our approach provide a simple method for capturing these contributions (i.e. one that does not rely on non-Markovian extensions to the regression theorem), but it also allows us to easily separate the phonon sideband and direct emission into independent spectral contributions, since $S_{\text{inc}}(\omega) = \text{Re}[\int_0^\infty (G(-\tau) - B^2)g_0^{(1)}(\tau) e^{-i(\omega - \omega_l)\tau} d\tau] +$

$B^2 \text{Re}[\int_0^\infty g_0^{(1)}(\tau) e^{-i(\omega - \omega_l)\tau} d\tau]$. Here, the first term corresponds to the (non-Markovian) sideband emission, and we make use of the fact that $G(-\tau) \rightarrow B^2$ after approximately 1 ps, on which timescale $g_0^{(1)}(\tau)$ is almost static. Integrating the spectrum over all frequencies we find that the fraction of power emitted via the phonon sideband is given by $(1 - B^2)$. We see that even at $T = 0 \text{ K}$ the sideband constitutes $(1 - B^2) \approx 7\%$ of the total emission, rising to $\approx 9.1\%$ at $T = 4 \text{ K}$, and 22.5% at $T = 15 \text{ K}$, consistent with experimental observations [14]. In the time domain the sideband corresponds to a rapid (ps) decrease of the $g^{(1)}$ fringe visibility to $B^2 \approx 90.9\%$ at $T = 4 \text{ K}$, which is also in accord with experiment [15].

Our formalism also reveals important new physics. It is apparent from the expression for $g^{(1)}(\tau)$ that the fraction of light emitted through the phonon sideband is independent of the laser driving strength. This has particularly significant implications at weak driving, where it affects the balance of coherent and incoherent emission. For atomic or Markovian systems under very weak excitation, light incident on the emitter scatters predominantly elastically, maintaining phase coherence with the driving field. However, we now see that for QDs, when one accounts for non-Markovian phonon relaxation, some fraction of the light is *always* emitted incoherently through the sideband regardless of the driving strength. Thus, the fraction of coherently scattered light is reduced below unity at weak driving, as can be seen in Fig. 1 (right), leading to a *fundamental limit* to the level of coherent scattering from such a solid-state photonic system, which worsens as temperature is raised.

Two photon coalescence—Given that phonon relaxation acts to reduce first-order photon coherence properties, it is natural to ask whether it also affects the visibility of two-photon interference as measured in Hong-Ou-Mandel (HOM) experiments. To investigate this, we consider the steady-state intensity correlation function $g^{(2)}(\tau) = \lim_{t \rightarrow \infty} \langle \hat{E}_3^-(t) \hat{E}_4^-(t + \tau) \hat{E}_4^+(t + \tau) \hat{E}_3^+(t) \rangle / (\langle \hat{E}_3^- \hat{E}_3^+ \rangle \langle \hat{E}_4^- \hat{E}_4^+ \rangle)$ as measured by an unbalanced Mach-Zehnder interferometer, with output fields $\hat{E}_3(t)$ and $\hat{E}_4(t)$ at two detectors. We calculate $g^{(2)}(\tau)$ in the same manner as the first order correlation function, where once again the phonon displacement operators enter through Eq. (6) [45].

In contrast to two-photon interference experiments using pulsed excitation, for CW systems the time resolution of the photon detectors becomes an important consideration [17]. For example, for an ideal detector with perfect time resolution, complete coalescence [$g^{(2)}(0) = 0$] may be observed at zero time delay regardless of the spectral indistinguishability of the incident photons [49–51]; the detectors being unable to distinguish frequency or phase differences between the two. However, the more distinguishable the photons are, the smaller the time window over which $g^{(2)}(\tau) \approx 0$ [17]. As such, photon detectors with realistic response times will not resolve two-photon interference for sufficiently distinguishable photons.

To explore the influence of phonon bath relaxation

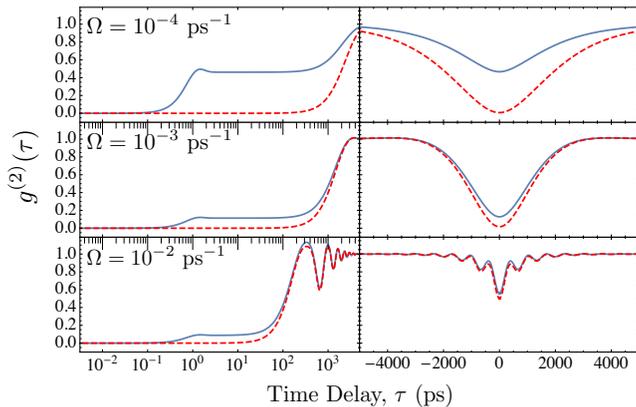


FIG. 2. (Left) Bare (log scale, before detector convolution) and (right) convolved HOM second-order correlation function, including non-Markovian phonon processes (solid), and within a Markovian approximation (dashed). At weak excitation, the convolved HOM-dip is significantly shallower when including non-Markovian relaxation. Parameters as in Fig. 1, with $T = 4$ K.

on two-photon coalescence, in Fig. 2 we plot the intensity correlation function for a range of driving strengths. As seen in Fig. 2 (left), for perfect detectors a sharp short-time feature around zero time-delay is clearly apparent in the non-Markovian theory (solid curves), but absent in the Markovian case (dashed curves). Phonon relaxation causes the full second-order correlation function to increase rapidly from zero, which is particularly pronounced at weak excitation. In contrast, the intensity correlation function predicted by the Markovian theory displays much slower dynamics at weak driving strengths, a consequence of the vanishing phonon influence within this approach. To account for non-ideal detectors, we convolve the correlation function with a Gaussian response function $R(x) = (2/\delta\tau)\sqrt{\log 2/\pi} \exp[-4 \log 2x^2/\delta\tau^2]$, with a full width at half maximum (FWHM) of $\delta\tau = 400$ ps [52]. This gives the intensity correlation function as measured in a realistic experiment, and the result is shown in Fig 2 (right). We see that the convolution washes out the contribution from the phonon bath relaxation since the detectors are unable to resolve the dip at zero time-delay, thus reducing the effective visibility of two-photon interference.

This is highlighted by Fig. 3, where the measured dip depth post convolution is shown as a function of driving strength. At weak driving, the Markovian theory predicts almost perfect interference as the photons are then transform limited (i.e unaffected by phonons), with the same coherence properties as the driving field. However, when one accounts for phonon relaxation, the photon coalescence visibility drops dramatically to $1 - g^{(2)}(0) \approx 0.5$. This reduction occurs due to the long timescale associated with optical scattering processes in the limit of very weak driving, which allows the phonon environment to relax fully between scattering events. As the driving strength is increased, so too is the rate at which pho-

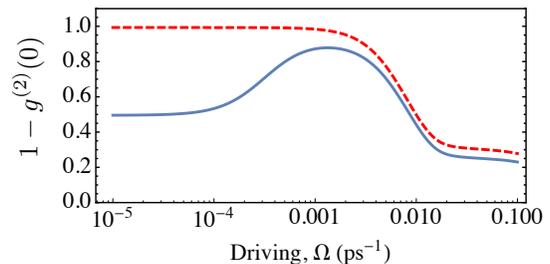


FIG. 3. HOM-dip depth post detector convolution as a function of driving strength for the Markovian (dashed) and non-Markovian (solid) theories. Parameters as in Fig. 2.

tons are scattered, and thus the visibility also improves. However, above saturation ($\Omega \sim 10^{-2}$ ps $^{-1}$), the correlation function becomes oscillatory due to Rabi flopping. These oscillations cannot be fully resolved by the detector when $\delta\tau \sim \Omega^{-1}$, resulting in a suppression of the visibility once more. Overall, this translates to an optimum driving strength for the observation of two-photon interference for a given detector response, which for the parameters considered here occurs at $\Omega \sim 10^{-3}$ ps $^{-1}$.

An important implication of this result is that for CW driven solid state emitters with realistic detectors, the weak excitation regime is not optimal for generating quantum mechanically indistinguishable photons. Instead, this lies near the onset of strong driving, where in fact the level of incoherent scattering can be larger than the coherent contribution. This is in marked contrast to atomic systems, where increasing the fraction of coherent scattering always improves the visibility.

Summary—We have shown that non-Markovian phonon environment relaxation processes in driven QDs can have a profound impact on the level of coherently and incoherently scattered light, limiting the coherent fraction to values of $\sim 90\%$ at cryogenic temperatures. Moreover, when accounting for any realistic detector response time, these short-time phonon-induced processes act to decrease the two-photon interference visibility measured in a Hong-Ou-Mandel experiment. These results have important implications for numerous quantum technology applications where an efficient source of coherently scattered photons is needed as a resource, such as entangling light and matter degrees of freedom [33, 34]. We stress that although our calculations have been formulated in the context of QDs, the results are expected to be applicable to a variety of solid-state emitters, including nitrogen vacancy centres [53], superconducting qubits, and dye molecules embedded in crystalline lattices [54, 55].

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SUPPLEMENTAL MATERIAL

In this supplement we outline the mathematical formalism used in the main text. We first derive the polaron master equation describing the dynamical evolution of a driven quantum dot (QD), subject to interactions with both phonon and electromagnetic environments. We then proceed to link this description to the optical properties of the QD, deriving expressions for the first and second-order optical emission correlation functions which also account for non-Markovian phonon environment relaxation processes.

THE POLARON MASTER EQUATION

The starting point is the Hamiltonian describing a quantum dot (QD) driven by a classical laser field with frequency ω_l as given in the main text,

$$H = \delta\sigma^\dagger\sigma + \frac{\Omega}{2}\sigma_x + \sigma^\dagger\sigma \sum_k g_k(b_k^\dagger + b_k) + \sum_k \nu_k b_k^\dagger b_k + \sum_m (f_m\sigma^\dagger a_m e^{i\omega_l t} + \text{h.c.}) + \sum_m \omega_m a_m^\dagger a_m. \quad (7)$$

Here, the Hamiltonian is written in a frame rotating with respect to the laser frequency ω_l , with the laser and QD transition detuned by $\delta = \omega_X - \omega_l$. To model the dynamical properties of the QD, we start by applying a unitary polaron transformation to the above Hamiltonian [1], allowing us to derive a master equation valid outside the weak exciton-phonon coupling regime. In this transformed representation, the Hamiltonian is given by $H_P = \mathcal{U}_P H \mathcal{U}_P^\dagger = H_S + H_I^{\text{PH}} + H_I^{\text{EM}} + H_B$, where

$$\mathcal{U}_P = |0\rangle\langle 0| + |X\rangle\langle X|B_+,$$

with $B_\pm = \exp(\pm \sum_k g_k(b_k^\dagger - b_k)/\nu_k)$, $H_S = (\delta + R)\sigma^\dagger\sigma + \frac{\Omega_r}{2}\sigma_x$, and $H_B = \sum_k \nu_k b_k^\dagger b_k + \sum_m \omega_m a_m^\dagger a_m$. Notice that the Rabi frequency, $\Omega_r = \Omega B$, has been renormalised by the average displacement of the phonon environment $B = \text{tr}_B(B_\pm \rho_B^{\text{PH}})$, where $\rho_B^{\text{PH}} = \mathcal{Z}^{-1} \exp(-\beta \sum_k \nu_k b_k^\dagger b_k)$ is the Gibbs state of the phonon environment, with $\mathcal{Z} = \text{tr}_B[\exp(-\beta \sum_k \nu_k b_k^\dagger b_k)]$, and β the inverse temperature. In addition, the QD resonance has been shifted by $R = \sum_k g_k^2/\nu_k$ as a consequence of the interaction between the QD and the phonon environment. For the rest of this work we shall assume that the QD is driven on resonance with the polaron shifted splitting, that is, $\delta + R = \omega'_X - \omega_l = 0$ with $\omega'_X = \omega_X + R$.

The interaction terms in the transformed frame take the form

$$H_I^{\text{PH}} = \frac{\Omega}{2}(\sigma_x B_x + \sigma_y B_y), \quad (8)$$

and

$$H_I^{\text{EM}} = \sum_m f_m \sigma^\dagger B_+ a_m e^{i\omega_l t} + f_m^* \sigma B_- a_m^\dagger e^{-i\omega_l t}, \quad (9)$$

with $B_x = (B_+ + B_- - 2B)/2$ and $B_y = i(B_+ - B_-)/2$. Notice that the interaction between the QD and the electromagnetic field has now obtained displacement operators which act on the phonon environment, while the phonon interaction term contains only system and phonon operators.

To describe the dynamics of the reduced state of the QD, $\rho_S(t)$, we shall treat the interaction Hamiltonian $H_I = H_I^{\text{EM}} + H_I^{\text{PH}}$ to 2nd-order using a Born-Markov master equation, which in the interaction picture takes the form [2]:

$$\dot{\rho}_S(t) = - \int_0^\infty d\tau \text{tr}_B [H_I(t), [H_I(t-\tau), \tilde{\rho}_S(t) \rho_B^{\text{EM}} \rho_B^{\text{PH}}]], \quad (10)$$

where in the Born approximation we factorise the environmental density operators *in the polaron frame* such that they remain static throughout the evolution of the system. Note that correlations may be generated between the system and the phonon environment in the original representation. We shall assume that in the polaron frame the phonon environment remains in the Gibbs state defined above, while the electromagnetic environment remains in its vacuum state $\rho_B^{\text{EM}} = \bigotimes_m |0_m\rangle\langle 0_m|$. Since the trace over the chosen states of the environments removes terms linear in creation and annihilation operators, we may split the master equation into two separate contributions corresponding to the phonon and photon baths respectively [3],

$$\frac{\partial \tilde{\rho}_S(t)}{\partial t} = \mathcal{K}_{\text{PH}}[\tilde{\rho}_S(t)] + \mathcal{K}_{\text{EM}}[\tilde{\rho}_S(t)]. \quad (11)$$

In the subsequent sections we shall analyse each of these contributions in turn.

The phonon contribution

To derive the contribution from the phonon environment, we follow Ref. [1]. We start by transforming into the interaction picture with respect to the Hamiltonian $H_0 = \frac{\Omega_r}{2}\sigma_x + \sum_k \nu_k b_k^\dagger b_k + \sum_m \omega_m a_m^\dagger a_m$. Using this transformation, the interaction Hamiltonian takes the form:

$$H_I^{\text{PH}} = \frac{\Omega}{2}(\sigma_x(t)B_x(t) + \sigma_y(t)B_y(t)). \quad (12)$$

Here $B_x(t) = \frac{1}{2}(B_+(t) + B_-(t) - 2B)$ and $B_y(t) = \frac{i}{2}(B_+(t) - B_-(t))$, where $B_\pm(t) = \exp[\pm \sum_k \frac{g_k}{\nu_k}(b_k^\dagger e^{i\nu_k t} - b_k e^{-i\nu_k t})]$. The time evolution of the system operators may be written as $\sigma_x(t) = \sigma_x$, and $\sigma_y(t) = \cos(\Omega_r t)\sigma_y +$

$\sin(\Omega_r t)\sigma_z$. Using these expressions we find that the phonon contribution takes the compact form

$$\begin{aligned} \mathcal{K}_{\text{PH}}[\tilde{\rho}_S] &= - \int_0^\infty d\tau \text{tr}_B [H_I^{\text{PH}}(t), [H_I^{\text{PH}}(t-\tau), \tilde{\rho}_S \rho_B^{\text{PH}}]], \\ &= - \sum_{j \in \{x, y, z\}} ([\sigma_x, \sigma_j \tilde{\rho}_S(t)] \Gamma_j + [\sigma_y, \sigma_j \tilde{\rho}_S(t)] \chi_j \\ &\quad + \text{h.c.}). \end{aligned} \quad (13)$$

The transition rates induced by the phonon environment may be written as,

$$\Gamma_x = \int_0^\infty d\tau \Lambda_{xx}(\tau), \quad (14)$$

$$\chi_y = \int_0^\infty d\tau \cos(\Omega_r \tau) \Lambda_{yy}(\tau), \quad (15)$$

and

$$\chi_z = \int_0^\infty d\tau \sin(\Omega_r \tau) \Lambda_{yy}(\tau), \quad (16)$$

with $\chi_x = \Gamma_y = \Gamma_z = 0$, where $\Lambda_{jj}(\tau) = \text{tr}_B(B_j(\tau)B_j\rho_B^{\text{PH}})$ denotes the correlation function of the phonon environment in the polaron frame. By evaluating the trace over the phonon degrees of freedom, the correlation functions take the form [1] $\Lambda_{xx}(\tau) = B^2(\exp(\varphi(\tau)) + \exp(-\varphi(\tau)) - 2)/2$ and $\Lambda_{yy}(\tau) = B^2(\exp(\varphi(\tau)) - \exp(-\varphi(\tau)))/2$, where we have defined $\varphi(\tau) = \int_0^\infty \frac{J_{\text{PH}}(\nu)}{\nu^2} (\coth(\frac{\beta\nu}{2}) \cos \nu\tau - i \sin \nu\tau) d\nu$. The coupling between the system and the environment is contained within the spectral density, which we take to have the standard form [4–6], $J_{\text{PH}}(\nu) = \alpha\nu \exp(-\nu^2/\nu_c^2)$. A detailed account of the validity of the polaron theory may be found in Refs. [1, 7].

The photon contribution

We now focus on the interaction between the electromagnetic field and the QD. The interaction picture Hamiltonian for the field may be written as $H_I^{\text{EM}} = \sigma^\dagger(t)e^{i\omega_I t} B_+(t)A(t) + \text{h.c.}$, where $A(t) = \sum_m f_m a_m e^{-i\omega_m t}$ and $B_+(t)$ is as given in the previous section. If we consider the interaction picture transformation for the system operators we have

$$\sigma(t)e^{-i\omega_I t} = e^{i\frac{\Omega_r}{2}\sigma_x t} \sigma e^{-\frac{\Omega_r}{2}\sigma_x t} e^{-i\omega_I t} \approx \sigma e^{-i\omega'_X t}, \quad (17)$$

where we have used the fact that $\omega_I \gg \Omega_r$ for typical QD systems, and that $\omega_I = \omega'_X$ for resonant driving, to simplify the interaction picture transformation [8, 9]. By

substituting this expression into the photon contribution of the master equation, and assuming all modes of the field are in their vacuum state, $\rho_B^{\text{EM}} = \bigotimes_m |0_m\rangle\langle 0_m|$, we have

$$\begin{aligned} \mathcal{K}_{\text{EM}}[\tilde{\rho}_S] &= - \int_0^\infty d\tau \text{tr}_B [H_I^{\text{EM}}(t), [H_I^{\text{EM}}(t-\tau), \tilde{\rho}_S \rho_B^{\text{EM}}]] \\ &= \frac{\Gamma(\omega'_X)}{2} (2\sigma\rho\sigma^\dagger - \{\sigma^\dagger\sigma, \tilde{\rho}_S(t)\}), \end{aligned} \quad (18)$$

where the spontaneous emission rate is given by [9–11]

$$\Gamma(\omega'_X) = \text{Re} \left[\int_0^\infty e^{i\omega'_X \tau} G(\tau) \Lambda(\tau) d\tau \right]. \quad (19)$$

Here, $G(\tau) = \text{tr}_B(B_\pm(\tau)B_\mp)$ is the phonon correlation function and $\Lambda(\tau) = \int_0^\infty d\omega J_{\text{EM}}(\omega) e^{i\omega\tau}$, with $J_{\text{EM}}(\omega) = \sum_m |f_m|^2 \delta(\omega - \omega_m)$ being the spectral density of the electromagnetic environment. As discussed in the manuscript, the local density of states of the electromagnetic field does not vary appreciably over energy scales relevant to QD systems in bulk, which allows us to make the assumption that the spectral density is flat [8, 9], $J_{\text{EM}}(\omega) \approx 2\gamma/\pi$. The electromagnetic correlation function may then be evaluated as $\Lambda(\tau) \approx \gamma\delta(\tau) + i\mathcal{P}[1/\tau]$, where \mathcal{P} denotes the principal value integral. Combining these expressions and resolving the remaining integral, we find that the spontaneous emission rate takes on the form $\Gamma(\omega'_X) \approx \gamma$, where we have used the fact that $G(0) = 1$, such that

$$\mathcal{K}_{\text{EM}}[\tilde{\rho}_S(t)] = \frac{\gamma}{2} (2\sigma\rho\sigma^\dagger - \{\sigma^\dagger\sigma, \tilde{\rho}_S(t)\}). \quad (20)$$

PHONON EFFECTS IN OPTICAL EMISSION PROPERTIES

As discussed in the main manuscript, for a flat spectral density of the electromagnetic environment, the field operator describing the emission properties of the QD in the polaron frame may be written in the Heisenberg picture as $\hat{E}^+(t) \propto B_-(t)\tilde{\sigma}(t)$, where $\tilde{\sigma}(t) = \sigma(t)e^{-i\omega_I t}$. In the subsequent sections we shall discuss the consequences that the presence of the phonon displacement operators have on the first- and second-order optical emission correlation functions.

First-order correlation function and the phonon sideband

Using the Wiener-Khinchin theorem [8, 12], one can show that the steady state spectrum of a field may be written as $S(\omega) = \text{Re}[\lim_{t \rightarrow \infty} \int_0^\infty \langle \hat{E}^-(t)\hat{E}^+(t+\tau) \rangle e^{i\omega\tau} d\tau]$. Using the expression for the field operators defined above, the QD emission may thus be written as,

$$S(\omega) \propto \text{Re} \left[\lim_{t \rightarrow \infty} \int_0^\infty \langle \sigma^\dagger(t) B_+(t) \sigma(t+\tau) B_-(t+\tau) \rangle e^{i(\omega - \omega_l)\tau} d\tau \right]. \quad (21)$$

In its current form, the above correlation function is intractable as there are displacement operators present that act on the multi-mode phonon environment. We can, however, factorise this correlation function by recognising that the optical and phonon contributions are associated with very different timescales. Thus $g^{(1)}(\tau) = \lim_{t \rightarrow \infty} \langle \sigma^\dagger(t) B_+(t) \sigma(t+\tau) B_-(t+\tau) \rangle \approx G(-\tau)g_0(\tau)$, with $G(\tau)$ as defined above and $g_0(\tau) = \lim_{t \rightarrow \infty} \langle \sigma^\dagger(t) \sigma(t+\tau) \rangle$. This factorisation also allows us to separate the emission spectrum into a contribution from the purely optical transition of the QD and a contribution corresponding to emission via the phonon sideband. We do this by writing the QD emission spectrum as $S(\omega) = S_{\text{EM}}(\omega) + S_{\text{PH}}(\omega)$, where

$$S_{\text{EM}}(\omega) = B^2 \text{Re} \left[\int_0^\infty g_0(\tau) e^{i(\omega - \omega_l)\tau} d\tau \right] \quad (22)$$

and

$$S_{\text{PH}}(\omega) = \text{Re} \left[\int_0^\infty (G(-\tau) - B^2) g_0(\tau) e^{i(\omega - \omega_l)\tau} d\tau \right]. \quad (23)$$

From these expressions it is easy to show that the total light emitted through the phonon sideband is given by $\int_{-\infty}^\infty S_{\text{PH}}(\omega) d\omega = \pi(1 - B^2)g_0(0)$, and through the direct optical transition by $\int_{-\infty}^\infty S_{\text{EM}}(\omega) d\omega = \pi B^2 g_0(0)$. We can also simplify the expression for $S_{\text{PH}}(\omega)$ more generally by recognising that $(G(-\tau) - B^2)$ tends rapidly to zero in comparison to optical timescales, which allows us to replace $g_0(\tau) \approx g_0(0)$, such that $S_{\text{PH}}(\omega) =$

$$\text{Re} [g_0(0) \int_0^\infty (G(-\tau) - B^2) e^{i(\omega - \omega_l)\tau} d\tau].$$

Second-order correlation function and two-photon interference

We now wish to consider the effect that phonon processes have on the results of a two-photon interference experiment. The scenario we wish to consider is analogous to the set-up used by Proux *et al.* in Ref. [13], where the emission from the QD enters a Mach-Zehnder interferometer. One arm of the interferometer has a time delay which is much greater than the coherence time of the incident photon, preventing single photon interference. Both arms are then recombined on a 50:50 beam splitter, which has output ports labelled \hat{E}_3^+ and \hat{E}_4^+ .

In a Hong-Ou-Mandel (HOM) experiment the quantity of interest is the steady-state second-order correlation function $G_{\text{HOM}}^{(2)}(\tau) = \lim_{t \rightarrow \infty} \langle \hat{E}_3^-(t) \hat{E}_4^-(t+\tau) \hat{E}_4^+(t+\tau) \hat{E}_3^+(t) \rangle$, which gives the conditional probability that after a photon is detected in mode \hat{E}_3^+ at time t , a second is detected in mode \hat{E}_4^+ at time $t+\tau$. Two photon interference occurs whenever $G_{\text{HOM}}^{(2)}(0) = 0$, such that photons arriving simultaneously at the beam splitter are bunched when they leave.

We can relate the output fields to the input using the standard beam splitter transformations, $\hat{E}_3^+ = (\hat{E}_1^+ + \hat{E}_2^+)/\sqrt{2}$ and $\hat{E}_4^+ = (\hat{E}_1^+ - \hat{E}_2^+)/\sqrt{2}$. Applying this transformation to the second-order correlation function, we have

$$G_{\text{HOM}}^{(2)}(\tau) = \frac{1}{4} \lim_{t \rightarrow \infty} \left\langle \left(\hat{E}_1^-(t) + \hat{E}_2^-(t) \right) \left(\hat{E}_1^-(t+\tau) - \hat{E}_2^-(t+\tau) \right) \left(\hat{E}_1^+(t+\tau) - \hat{E}_2^+(t+\tau) \right) \left(\hat{E}_1^+(t) + \hat{E}_2^+(t) \right) \right\rangle. \quad (24)$$

For the case of a continuously driven QD we can simplify this expression significantly: the time delay in one arm of interferometer allows us to treat the incident field modes as uncorrelated and independent, such that cross-terms in the correlation function factorise into the corresponding input fields, e.g. $\langle \hat{E}_2^-(t) \hat{E}_1^-(t+\tau) \hat{E}_1^+(t+\tau) \hat{E}_2^+(t) \rangle \rightarrow$

$\langle \hat{E}_2^-(t) \hat{E}_2^+(t) \rangle \langle \hat{E}_1^-(t+\tau) \hat{E}_1^+(t+\tau) \rangle$. Since the fields are now independent but identical, we may drop the labels corresponding to specific inputs. Thus, after some algebra we may write $G_{\text{HOM}}^{(2)}(\tau)$ as

$$G_{\text{HOM}}^{(2)}(\tau) = \frac{1}{2} \lim_{t \rightarrow \infty} \left\{ \langle \hat{E}^-(t) \hat{E}^-(t+\tau) \hat{E}^+(t+\tau) \hat{E}^+(t) \rangle + 2 \text{Re} \left[\langle \hat{E}^+(t) \right] \left(\langle \hat{E}^-(t) \hat{E}^-(t+\tau) \hat{E}^+(t+\tau) \rangle - \langle \hat{E}^-(t) \hat{E}^-(t+\tau) \hat{E}^+(t) \rangle \right) - |\langle \hat{E}^-(t+\tau) \hat{E}^+(t) \rangle|^2 - |\langle \hat{E}^+(t+\tau) \hat{E}^+(t) \rangle|^2 + \langle \hat{E}^-(t) \hat{E}^+(t) \rangle^2 \right\}. \quad (25)$$

Now, substituting in the expression for the field operator of the QD emission, we have

$$\begin{aligned}
G_{HOM}^{(2)}(\tau) &\propto \frac{1}{2} \lim_{t \rightarrow \infty} \{ \langle \sigma^\dagger(t) B_+(t) \sigma^\dagger(t+\tau) B_+(t+\tau) \sigma(t+\tau) B_-(t+\tau) \sigma(t) B_-(t) \rangle \\
&\quad + 2 \operatorname{Re} [\langle \sigma(t) B_-(t) \rangle (\langle \sigma^\dagger(t) B_+(t) \sigma^\dagger(t+\tau) B_+(t+\tau) \sigma(t+\tau) B_-(t+\tau) \rangle \\
&\quad - \langle \sigma^\dagger(t) B_+(t) \sigma(t+\tau) B_-(t+\tau) \sigma(t) B_-(t) \rangle)] \\
&\quad - | \langle \sigma^\dagger(t+\tau) B_+(t+\tau) \sigma(t) B_-(t) \rangle |^2 - | \langle \sigma(t+\tau) B_-(t+\tau) \sigma(t) B_-(t) \rangle |^2 + \langle \sigma^\dagger \sigma(t) \rangle^2 \}, \quad (26) \\
&\approx \frac{1}{2} \lim_{t \rightarrow \infty} \{ \langle \sigma^\dagger(t) \sigma^\dagger(t+\tau) \sigma(t+\tau) \sigma(t) \rangle \\
&\quad + 2 \operatorname{Re} [B^2 \langle \sigma \rangle_{ss} (\langle \sigma^\dagger(t) \sigma^\dagger(t+\tau) \sigma(t+\tau) \rangle - \mathcal{G}(\tau) \langle \sigma^\dagger(t) \sigma(t+\tau) \sigma(t) \rangle)] \\
&\quad - | G(\tau) |^2 | \langle \sigma^\dagger(t+\tau) \sigma(t) \rangle |^2 - | C(\tau) |^2 | \langle \sigma(t+\tau) \sigma(t) \rangle |^2 + \langle \sigma^\dagger \sigma \rangle_{ss}^2 \},
\end{aligned}$$

where we have factorised the phonon and QD operators in the correlation function as before. Here, we have defined $C(\tau) = \langle B_\pm(\tau) B_\pm \rangle = B^2 e^{-\varphi(\tau)}$ and $\mathcal{G}(\tau) = B^{-1} \langle B_+ B_+(\tau) B_- \rangle = e^{\varphi(\tau) - \varphi^*(\tau)}$. Finally, it is convention to normalise this correlation function, such that

$$g^{(2)}(\tau) = \lim_{t \rightarrow \infty} \frac{\langle \hat{E}_3^-(t) \hat{E}_4^-(t+\tau) \hat{E}_4^+(t+\tau) \hat{E}_3^+(t) \rangle}{\langle \hat{E}_3^-(t) \hat{E}_3^+(t) \rangle \langle \hat{E}_4^-(t) \hat{E}_4^+(t) \rangle} \propto \frac{G_{HOM}^{(2)}(\tau)}{\langle \sigma^\dagger \sigma \rangle_{ss}^2 - | \langle \sigma \rangle_{ss} |^4}, \quad (27)$$

where $\langle \hat{O} \rangle_{ss}$ denotes the steady state expectation value of operator \hat{O} .

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