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OTDM-to-WDM Conversion Based on Time-to-Frequency Mapping by Time-Domain Optical Fourier Transformation


Abstract—This paper reports on the utilization of the time-domain optical Fourier transformation (OFT) technique for serial-to-parallel conversion of optical time division multiplexed (OTDM) data tributaries into dense wavelength division multiplexed (DWDM) channels. The OFT is implemented by using a dispersive medium followed by phase modulation; the latter being achieved by a four-wave mixing process with linearly chirped pump pulses. Both numerical and experimental investigations of the OTDM-to-WDM conversion technique are carried out. Experimental validations are performed on 320- and 640-Gbit/s OTDM data with error-free performance.

Index Terms—All-optical demultiplexing, four-wave mixing (FWM), optical time division multiplexing (OTDM), serial-to-parallel conversion, spectral compression, wavelength division multiplexing (WDM).

I. INTRODUCTION

The optical time division multiplexing (OTDM) technique is a simple method for high-speed data generation beyond the bandwidth limitation of electronics. Here, different optical pulse streams, called tributaries, originated from the same laser (same central wavelength), are separately encoded by electrically generated data signals. Due to the low duty cycle of their pulses, the tributaries are serially bit interleaved in order to form the OTDM signal. By employing this technique, the generation of serial data signals with a symbol rate up to 1.28 Terabaud has been reported [1]. In the case of wavelength division multiplexing (WDM), each electrical data stream is allocated to an optical channel with its own central wavelength and each generated from a different laser. In contrast to OTDM, WDM channels can overlap in the time domain (parallel to each other) and at the receiver they can be selected by optical filtering. On the other hand, at the receiver side in OTDM systems each of the tributaries is traditionally demultiplexed in separate high-speed switches. Hence, the receiver complexity and power consumption essentially scale with the number of OTDM tributaries. To overcome this problem, a number of schemes for demultiplexing all or several tributaries in a single switch by serial-to-parallel conversion have been proposed [2]–[4]. Among such schemes, a true OTDM-to-WDM conversion is particularly challenging since it requires the converted tributary spectra to conform to the channel spacing of a WDM grid. The reason is that such a conversion necessitates a compression of the broad spectrum of the low duty-cycle tributaries.

In this paper, we describe how to use the time-domain optical Fourier transform (OFT) technique to convert, in a single step, several 10-Gbit/s tributaries of a 64 × 10 Gbit/s OTDM signal onto a WDM grid. The OFT is implemented by dispersing the data signal followed by phase modulation based on a four-wave mixing (FWM) process between the data pulses and linearly chirped pump pulses [5]. In this way, the time information of the OTDM signal is mapped onto the power spectrum of the idler generated in the FWM process, hence converting the time-interleaved OTDM tributaries to different wavelengths. At the same time, the spectra of the converted tributaries are compressed as part of the OFT process, enabling their wavelength spacing to conform with a WDM grid. The principle is investigated through numerical simulations followed by experimental demonstrations. In particular, the OTDM-to-WDM conversion is demonstrated for a 640-Gbit/s OTDM signal, from which eight consecutive 10-Gbit/s tributaries are mapped to a 0.8-nm (100 GHz) channel spaced grid. A full system evaluation with bit error rate (BER) characterization is performed. Investigation of different spectral compression rates is carried out, and numerical simulations show very good agreement with the experimental results.

II. BASIC PRINCIPLE

The time-to-frequency conversion process is well described by the similarities between a temporal optical system manipulating pulses of light and a spatial optical system manipulating beams of light. An equivalent temporal optical system is found for a spatial optical one by exchanging spatial variables with temporal variables and spatial frequencies with spectral ones [6]. The effects of diffraction and spatial lenses on a beam of light are equivalent to the effects of dispersion and quadratic phase modulators on a pulse of light [7]. In spatial optics, if the object is placed at the front focal plane of a lens, then the field distribution at this point and at the output plane of such lens are related by a Fourier transform [8]. But, also the output field distribution and its spatial spectral frequency distribution are related by a Fourier transform as well. Therefore, the output...
If the condition $C = 1/D_{\text{acc}}$ is fulfilled, then (4) can be written as

$$\tilde{A}_c(\omega) = b \int_{-\infty}^{+\infty} \tilde{A}_0(0, \omega') \exp \left( \frac{i \omega \omega'}{C} \right) d\omega'.$$

By using the transformation $t = \omega/C$, the output from the OFT system (6) can be written as

$$\tilde{A}_c(\omega) = 2\pi b A_0(0, t = \omega/C)$$

and the power spectrum at the output of the OFT (6) becomes

$$|\tilde{A}_c(\omega)|^2 = \frac{2\pi}{|C|} |A_0(0, t = \omega/D_{\text{acc}})|^2.$$

Equation (8) shows that the power spectrum of the output waveform is proportional to the intensity of the input signal. The dispersion $D_{\text{acc}}$, or equivalently $C$, determines the scaling factor between the time and frequency domains expressed as $t = \omega/C$ and is used for deriving (7). Higher $D_{\text{acc}}$ leads to higher spectral compression. For example, consider an OTDM data signal as initial waveform, consisting of tributary pulses spaced by a time interval $\Delta t$. As a result of the OFT, these tributaries will be mapped to different wavelength allocations spaced by $\Delta \omega = \Delta tC$. The chirp factor $C$, or equivalently $D_{\text{acc}}$, can be used to control the size of the wavelength spacing, e.g., to match a particular WDM grid.

In order to achieve high chirp rates $C$, the quadratic phase modulation can be implemented via parametric processes, such as FWM [5]. In this case, the dispersed waveform to be transformed acts as signal $E_s(t)$ and the phase modulation is applied using linearly chirped pump $E_p(t)$ pulses, generated by propagation of transform limited pulses in a dispersive medium. In the FWM process, the signal is converted to an idler $E_i(t)$, which combines the phases of both pump and signal ($E_i(t) \propto E_p^2(t)E_s^2(t)$). In our case, the signal is $A_0(L, t)$, and the idler is $A_c(t)$. As a result of the FWM process, the time information contained in the signal is mapped onto the power spectrum of the generated idler.

To achieve an OTDM-to-WDM conversion by the aforementioned technique, the pump pulses must run at the repetition rate of the OTDM tributaries in order to map each tributary bit to the same wavelength. After the time-domain OFT, the idler spectrum will resemble a wavelength grid where each channel is an OTDM converted tributary. This grid can be made to comply with recommendation ITU-T G.694.1 [11], which determines the optical frequencies to be used to identify dense wavelength division multiplexing (DWDM) channels for different frequency grids in the range of 25–200 GHz.

### III. Numerical Analysis

In this section, we numerically investigate [12] the OTDM-to-WDM conversion based on the OFT technique. Numerical simulations will examine the idler’s shape and channel spacing based on shape and chirp of pump pulses, and signal dispersion. This analysis will help understand the limitations of the OFT technique for OTDM-to-WDM conversion, and clarify which are the system requirements in order for the resulting idler to comply with a certain DWDM grid [11].
The OTDM signal is a 640-GHz unmodulated pulse train (64 tributaries at 10 GHz) having Gaussian-shaped pulses with 0.6 ps\(^1\) full-width at half maximum (FWHM). Pump and tributaries have the same repetition rate of 10 GHz. The highly nonlinear fiber (HNLF) used for the FWM process is 100 m long and has nonlinear coefficient \(\gamma = 10 \text{ (W·km)}^{-1}\). The FWM conversion efficiency is assumed to be uniform across the entire bandwidth of the signals (data, pump, and idler) involved in the FWM process, and the phase mismatch is assumed to be zero. Under these conditions, the utilization of linearly chirped flat-top pump pulses would equalize the WDM channels resulting from OTDM-to-WDM conversion. Such pulses can be obtained by dispersing narrow sinc-like pulses having a broad flat-top spectrum. In this case, the dispersion process maps the spectral profile into the time domain [13] (frequency-to-time mapping). The medium used to disperse the signal, and to linearly chirp the pump is dispersion compensating fiber (DCF) with \(\beta_2 = 148 \text{ ps}^2/\text{km}\).

Fig. 2(a) shows the data, pump, and idler spectra at the output of the HNLF when the pump spectrum is a sixth-order super-Gaussian with 10 nm FWHM. The probe is dispersed in \(L = 32 \text{ m DCF}\) in order to achieve at the idler a channel frequency spacing \(\Delta f = T/(\Delta\text{acc} \cdot 2\pi)\) of 50 GHz \((T = 1.56 \text{ ps: OTDM tributary spacing})\). This corresponds to a linear chirp \(C = 0.21 \text{ ps}^{-2}\), which can be achieved by dispersing the pump in 64 m DCF. Because of the flat intensity profile of the pump pulse, which broadens up to 74 ps FWHM, it is possible to have half of the tributaries (32 channels) mapped in the frequency domain, well equalized, and having <1.5 dB difference. With two of such OTDM-to-WDM converters, it is possible to extract all 64 OTDM channels.

The simultaneous mapping and well equalization of all the WDM channels is hardly possible, as this would require that the pump pulse should have a proper linear chirp, be perfectly square, have the width of the tributary bit slot, and should not interfere with the neighboring pump pulses. This means that the OTDM channels further from the pump center will not be converted with the same intensity as the central ones. Higher compression ratios require higher pump and signal dispersion. This can be seen in Fig. 2(b), where pump and signal are dispersed in 128 and 64 m DCF in order to achieve a 25-GHz grid.

The deviation of both signal and pump chirp from the optimum value for a certain spectral compression would result in spectral distortions of the idler and consequently as well for the selected WDM channels. The deviation of both signal and pump chirp from the optimum value for a certain spectral compression would result in spectral distortions of the idler and consequently as well for the selected WDM channels. In order to get the eye diagram, the OTDM tributaries were ON–OFF keying (OOK) modulated and the desired channel was selected with a 0.16-nm 3-dB bandwidth optical bandpass filter (OBPF).

The utilization of Gaussian pump pulses would result in unequal power levels for the WDM channels at the idler. This is related to different pump intensities that various OTDM channels experience. This can be seen in Fig. 3, where pump (10 GHz, 0.5-ps FWHM Gaussian pulses) and signal are dispersed in, respectively, 32 and 16 m DCF, resulting in 0.8-nm (100 GHz) channel spacing. Fig. 4 shows the idler for both 100-GHz and 50-GHz (0.4 nm) spacing. In the latter case, pump and signal are dispersed, respectively, in 64 and 32 m DCF. Because of the pump's spectral extension and in order to avoid overlap between pump and idler spectra, the wavelength separation between pump and signal is made sufficiently larger compared to the previous cases.

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Fig. 3. Simulated spectra at the output of the HNLF when Gaussian, linearly chirped pump pulses are used for OTDM-to-WDM conversion, resulting in a 100-GHz grid.

Fig. 4. Simulated spectra at the output of the HNLF when Gaussian pump pulses are used for OTDM-to-WDM conversion, in the case of a 100-GHz (0.8 nm) and a 50-GHz (0.4 nm) grid.

Fig. 5. Distorted eye diagram when the dispersion of data (a) and pump (b) is independently increased by 10% compared to the relative optimum case for 100-GHz frequency spacing of Fig. 4.

Fig. 6. Simulated idler spectrum showing the effect of \( \beta_3 = 1 \text{ ps}^3/\text{km} \) and \( 10 \text{ ps}^3/\text{km} \). To be compared with \( \beta_3 = 0 \) in Fig. 4. Eye diagrams for \( \beta_3 = 10 \text{ ps}^3/\text{km} \) only for (top) pump dispersion and (bottom) data dispersion.

In some cases, the effect of higher order dispersion terms cannot be neglected and should be taken into consideration when studying pulse evolution. The higher order terms of the Taylor expansion of the propagation constant \( \beta \) come mainly into play for ultrashort pulses, having a broad spectral content, and when the central wavelength is near to the zero dispersion wavelength of the fiber \( \lambda_0 \). For wide spectra, the effect of third-order dispersion (TOD) has to be taken into consideration. TOD is expressed by the term \( \beta_3 = \partial^2 \beta_2 / \partial \omega^2 \), which stems from the variation of the GVD (\( \beta_2 \)) with frequency, and represents an aberration factor, introducing distortions in the time-to-frequency mapping. Fig. 6 shows the effect of TOD for the 100-GHz spacing scenario of Fig. 4 but with \( \beta_3 = 1 \text{ ps}^3/\text{km} \) and \( \beta_3 = 10 \text{ ps}^3/\text{km} \) for both signal and data fibers. \( \beta_3 = 1 \text{ ps}^3/\text{km} \) is a typical value for commercially available DCF [14] and it does not introduce any distortion in the time-to-frequency mapping. Fig. 6 also shows the eye diagrams when \( \beta_3 = 10 \text{ ps}^3/\text{km} \) only for the pump (top) or only for the signal (bottom). Considerable aberrations in the idler spectrum become visible when \( \beta_3 \) reaches or passes this value, for either one of the dispersion media.

Time-to-frequency mapping can also be achieved for higher bit rates, such as 1.28 Tbits/s [1] (see Fig. 7). In this case, the pulses for both probe and pump (at 10 GHz) are Gaussian with 0.3-ps FWHM. Because of the closer tributary position in the time domain, half of the dispersion fiber is required in order to achieve the same 100-GHz grid seen in Fig. 4. The resulting spectral compression can be seen in Fig. 7. Because of the closer spacing of the original OTDM tributaries, the extinction ratio between the WDM channels is not as good as in the 640-GHz case (see Fig. 7), generated from the terabaud signal when every second pulse is suppressed. Despite this fact, it is possible to get an open eye (see the inset in Fig. 7) by filtering with a narrower filter (0.2-nm 3-dB bandwidth).

IV. EXPERIMENTAL RESULTS

A. Experimental Setup

Fig. 8 shows the schematic of the experimental setup used to perform the OTDM-to-WDM conversion. The same setup is used to test the principle on both 320- and 640-Gbit/s OTDM systems, except for some small details concerning optical bandpass filtering and power levels. The pulse source is an erbium glass oscillating pulse-generating laser (ERGO-PGL) emitting \( \sim 1.5 \)-ps FWHM pulses with 10-GHz repetition rate and centered at 1542 nm. The ERGO-PGL output is amplified and its
spectrum is broadened through self-phase modulation, generating a supercontinuum in a 400-nm dispersion-flattened HNLF (DF-HNLF), from which both data and pump spectra are carved out. The pump pulses for the FWM process are filtered at 1545 nm by using a 5-nm OBPF for the 320-Gbit/s case or a 13-nm OBPF for the 640-Gbit/s case. The 10-GHz pulse train for the data signal is obtained by filtering the supercontinuum at 1557 nm with a 13-nm OBPF for both cases. The data pulses for the data signal is obtained by filtering the supercontinuum at 1557 nm with a 13-nm OBPF for both cases. The data pulses are OOK encoded with a 10-Gbit/s 2\(^{-1}\) PRBS pattern in a Mach–Zehnder modulator (MZM), and then multiplexed up to 320 or 640 Gbits/s by using a passive fiber-based delay line multiplexer. Both data and pump signals are dispersed in different lengths of DCF in order to achieve the desired spectral compression at the idler. Subsequently, the dispersed data and chirped pump are amplified and injected into a polarization-maintaining HNLF (PM-HNLF). The PM-HNLF is 100 m long with zero dispersion wavelength \(\lambda_0\) at 1545 nm, dispersion slope 0.025 ps/(nm\(^2\)km), and nonlinear coefficient \(\gamma \approx 10\) (W-km)\(^{-1}\). At the PM-HNLF output, a narrow 0.3-nm tuneable OBPF extract the converted 10-Gbit/s tributaries centered at different wavelengths in the idler signal. The time delay \(\Delta t\) (see Fig. 8) is used to change the position between the center of the pump pulse and the OTDM channels, hence shifting their position in the frequency domain. The filtered tributaries are sent into a 10-Gbit/s preamplified receiver for BER evaluation. The receiver and the PRBS generator are synchronized to the same clock signal extracted from the ERGO-PGL.

B. 320-Gbit/s Case

First, the OTDM-to-WDM (serial-to-parallel) conversion scheme was applied to a 320-Gbit/s OTDM signal [15]. This was done in order to see the bell-shaped spectrum envelope of the idler resulting from Gaussian pump pulses, as previously seen in Section III. Before dispersion, both data and pump pulses were Gaussian like with 1.3 ps FWHM. The dispersive fiber used was 20 m DCF for the data and 48 m DCF for the pump. The pump pulses at the input of the PM-HNLF were, thus, broadened up to 17.5 ps FWHM. The average powers at the input of the PM-HNLF were 20.3 dBm for the pump and 2.6 dBm for the 320-Gbit/s data. Fig. 9(a) shows the resulting spectrum at the output of the PM-HNLF. As can be seen, the tributaries are successfully mapped to different wavelengths with \(\approx 1.1\) nm spacing. Nine different 10-Gbit/s tributaries from 1528.6 to 1537.7 nm were extracted using a 0.3 nm OBPF (see Fig. 9(b), top), and the corresponding BER curves are shown in Fig. 9(b), bottom. The performance is error free for all channels, with a penalty of \(< 1.6\) dB compared to the 10-Gbit/s back-to-back (B2B) reference, extracted at the MZM output with the 0.3 nm OBPF. Only the 1528.6-nm tributary exhibits a larger penalty of 3.0 dB, attributed to a 10-dB lower conversion efficiency compared to the central tributaries.

C. 640-Gbit/s Case

The OTDM-to-WDM conversion scheme was successfully performed also on a 640-Gbit/s OTDM system. The average input powers into the PM-HNLF were 24 dBm for the pump and 15.5 dBm for the 640-Gbit/s data. Before being dispersed, the transform-limited FWHM were 600 fs for the 640-Gbit/s data signal and 490 fs for the 10-GHz pump. Fig. 10 shows the output spectrum of the PM-HNLF, where a tributary channel spacing of 100 GHz is achieved. The idler signal contains 19 tributaries mapped to different wavelengths in the range 1520–1535 nm. In this case, the 640-Gbit/s OTDM data and 10-GHz pump were dispersed in 15 and 36 m DCF [see Fig. 11(a)]. As can be noticed, the simulated result shown in Fig. 4 is similar to the measured one in Fig. 11(a), where in both cases the resulting WDM channel spacing is 100 GHz. The only difference is the DCF lengths used to disperse the data and linearly chirp the pump. This is related to the different pieces of DCF fibers, with presumably different characteristics, used in the experiment. By increasing the DCF lengths, it is possible to increase the spectral compression rate as well. This was seen in Section III and it is confirmed in Fig. 11(b). In this case, data and pump were dispersed in 23 and 48 m DCF, resulting in 0.55-nm (69 GHz) channel spacing of the tributaries.

By changing the time delay between pump and data, it is possible to adjust the idler shown in Fig. 11(b) to the wavelength grid for 100-GHz DWDM as specified in [11]. Smaller channel spacing is possible, e.g., for 50-GHz systems, but in this case a narrower OBPF is required in order to select the desired tributary channel. The eight tributaries from 1527.8 to 1533.6 nm are extracted by tuning the 0.3 nm OBPF, whose transfer function is shown in Fig. 9(a). The resulting spectra are shown in Fig. 12 and the corresponding BER curves in Fig. 13. For the best tributary, the performance is error free with penalty \(< 2.5\) dB compared to the 10-Gbit/s B2B reference at 1557 nm. As can be seen, the tributaries centered at the longer wavelengths have a worse sensitivity. This is attributed to the closer presence of the pump signal spectrum. To verify the integrity of the entire 640-Gbit/s OTDM signal, each tributary is extracted by keeping the 0.3-nm OBPF fixed at 1529.5 nm and by tuning the time delay (\(\Delta t\)) to extract each tributary. All 64 tributaries have error-free performance with a sensitivity variation of 3.2 dB as shown in Fig. 13 (top).

Finally, it can be noticed that due to the narrow channel spacing and optical window used, DWDM systems require...
well-controlled, cooled lasers to prohibit drift outside of a given DWDM optical channel. A reliable grid at 25 GHz would require the network lasers to maintain accuracy over time and environment of at least ±0.02 nm or even better. Such lasers are not easily available. But with the time-domain OFT technique implementation of serial-to-parallel conversion, what is required is the right compression, and to a certain extent the stability of the OTDM signal against time drifts. Once these requirements are achieved, then the right wavelength allocation at the demultiplexer can be obtained by just changing the relative time delay between pump and OTDM signal, possibly controlled by a feedback mechanism.

**D. System Performance in Nonoptimum Data Dispersion**

In case the data accumulated dispersion and pump linear chirp are not optimized, then the condition $C = 1/D_{acc}$ does not hold any longer, resulting in a distorted time-to-frequency mapping. In order to investigate the sensitivity of the system in the case of nonoptimal conditions, the pump dispersion is kept at 36 m DCF and the data dispersion is changed by adding some extra lengths of single-mode fiber (SMF) or DCF to the optimal value of 15 m DCF. This was done by adding, respectively, 5, 10, or 12 m SMF, or 1- or 2 m DCF. This would correspond to lowering the data dispersion by 4.7, 9.5, or 11.4% in the case of additional SMF\(^3\) or increasing it by 6.6 or 13.3% in the case of additional DCF. The deterioration of the system’s performance can be seen in Fig. 14. Here are shown the BER curves of the extracted 10-Gbit/s tributaries using the 0.3 nm OBPF centered at 1529.5 nm. As can be seen, the tolerance of the system to extra dispersion is in the order of ±1 m DCF ($\sim$ ±7%). As the dispersion imbalance increases, the BER curve starts having an error floor (10 m SMF trace) resulting from intersymbol interference between neighboring channels.

\(^3\)7 m SMF are supposed to compensate for 1 m DCF.
V. Conclusion

This paper has reported on the utilization of the time-domain OFT for serial (OTDM) to parallel (WDM) conversion. The technique is based on time-to-frequency mapping implemented by an FWM process between the dispersed OTDM data and linearly chirped pump pulses. This technique was successfully demonstrated for a 640-Gbit/s OTDM signal, where eight tributaries were simultaneously converted to 0.8-nm (100 GHz)-spaced 10-Gbit/s WDM channels showing error-free performance with low penalty. The process should be independent of tributary data modulation and channel spacing can be controlled by manipulating the amount of data dispersion and pump linear chirp. Numerical simulations show scalability to even higher bit rates, and indicate the possibility of converting up to half of all OTDM tributaries into well-equalized WDM channels by using linearly chirped flat-top pump pulses. This OTDM-to-WDM conversion technique can enable a significant reduction in the complexity of an OTDM receiver.

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References

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