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Controlled Directional Growth of TiO₂ Nanotubes

Su-II In, Yidong Hou, Billie L. Abrams, Peter C. K. Vesborg, and Ib Chorkendorff* 1, 2

Center for Individual Nanoparticle Functionality, Department of Physics, Technical University of Denmark, 2800 Kongens Lyngby, Denmark

We demonstrate how the anodization direction and growth rate of vertically aligned, highly ordered TiO₂ nanotube (NT) arrays can be controlled and manipulated by the local concentration of O₂ in the electrolyte. This leads to the growth of highly active TiO₂ NT arrays directly on nonconducting substrates in a single step. By controlling the oxygen concentration, the electrical contact to the titanium film can be preserved until the entire film is anodized. This approach to growing transparent TiO₂ NT films yields possibilities for using glass without any transparent conducting oxide coating as substrates. The role of molecular oxygen on the anodization and the means necessary for controlling the growth direction were investigated through the use of labeled oxygen and concentration gradient experiments.

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Highly ordered, large-aspect-ratio TiO₂ nanotubes (NTs) offer possibilities in the area of sustainable energy due to enhanced photocatalytic water-splitting activity 2,3 and applications in solar cells. 4-7 This is because they allow for fast separation of electron-hole pairs, while at the same time, they expose a high surface area where photocatalytic reactions take place. Another important use is in self-cleaning or environmental remediation technology where TiO₂ NTs may have a high activity for photo-oxidation of organics. 8-10 Specifically, the formation of optically transparent TiO₂ NTs onto nonconducting substrates allows access to new photocatalytic environments, i.e., gas-phase photocatalysis using glass, polymer, or ceramic substrates. Here, we demonstrate how the growth direction and rate of these NT arrays can be controlled by the local O₂ concentration allowing a single-step growth of TiO₂ NT directly onto nonconducting substrates.

Generally, planar TiO₂ films can be prepared using the sol-gel method 15,16 or by sputtering (either dc or radio frequency), 17,18 resulting in reasonably reactive surface areas. Attempts to enhance the surface area of TiO₂ have been explored by synthesizing TiO₂ NTs using a template process. 19,20 Recently, vertically oriented, highly ordered TiO₂ NT array thin films made by anodization of titanium metal have received much attention because of their high surface area nanostructure. 2,8,9,21 The anodization of Ti using a fluoride-containing polyhydric alcohol such as ethylene glycol (EG) as the electrolyte results in ordered TiO₂ NT arrays. 22,23 The anodization process depends critically on pH, F− concentration, temperature, and EG and water concentrations. 24-26 Various mechanisms have been proposed to account for the TiO₂ NT forming, 27-29 but the roles of the individual experimental parameters are not completely understood.

It has been reported that the anodization of single-layer titanium films onto nonconducting glass substrates is not possible as the metal layer in contact with the electrolyte surface is rapidly etched away, as shown in Fig. 1a. 21 Mor et al. reported that this problem may be circumvented by a two-step process or “bilayer” approach, which involves anodization down to a thin “first layer” of Ti metal, so that the electrical contact is not broken. 31 This first thin Ti layer is subsequently oxidized thermally, leading to a transparent TiO₂ NT film on top of a conventional TiO₂ thin film. This approach does produce TiO₂ NTs onto nonconducting substrates but involves a multistep approach, and there is a dense oxide film between the support and the NT layer. 21 The method presented here, which involves control of the NT array growth direction through the molecular oxygen concentration, also alleviates the contact-loss problem by ensuring that the contact point is the last part (Fig. 1b) to be anodized, a principle that may also be used for other anodization processes as well. This approach also allows for the formation of the TiO₂ NTs on a nonconducting substrate in a one-step process where the NTs are in direct contact with the truly nonconducting (i.e., Pyrex glass) substrate.

Experimental

Formation of TiO₂ NTs.—The substrate was Pyrex glass coated with thermally deposited metallic titanium. First, a titanium film (400 nm thick) was deposited on 4 in. Pyrex wafers (JINSOL) via E-beam deposition (Wordentec QCL800). Before the Ti film deposition, the Pyrex wafers were cleaned using sulfuric acid with ammonium peroxysulfate at 80°C for 10 min, rinsed with water for 10 min, and dried at 250°C overnight. The purity of the Ti target used was 99.995%. The base chamber pressure was approximately 5 × 10⁻⁷ mbar. The deposition rate was 10 Å/s. Before the anodization, the titanium metal film was cleaned with acetone and ethanol, followed by a deionized water rinse. The anodization was performed using a two-electrode cell with titanium deposited on Pyrex as the working electrode and carbon paper as the counter electrode. Anodizations were carried out for about 1.5 h at a constant applied voltage of 10 V at room temperature in an electrolyte mixture of 0.3 wt % NH₄F (98%, ACS reagent, Sigma-Aldrich) and 2 vol % H₂O in EG (RagentPlus, ≥ 99%, Aldrich). Figure S1 of supplementary materials 30 (from SOM) shows the experimental setup used in this work. As expected, there was no significant ohmic drop across the sample at any time during the process because the sheet resistance of the film was ~1 Ω/□ and the peak anodic current was less than about 0.5 mA (see Fig. S2 of supplementary materials). The temperature of the electrolyte solution was 298 K. To convert the amorphous TiO₂ NT array film into anatase, the as-prepared sample was annealed at 450°C for 2 h in air (30 mL/min).

Measurement of oxygen concentrations in solution.—The dissolved oxygen present in the electrolyte during the anodization was monitored by an OxySense 210 T noninvasive oxygen determination instrument. This technique utilized the effect of oxygen on the fluorescence lifetime of a ruthenium (Ru) complex that was excited by a blue light-emitting diode with a wavelength between 400 and 500 nm. The Ru complex was immobilized in a stable polymer and placed in the form of a 5 mm diameter dot on the inner wall of the container submerged in the electrolyte in the same depth as the sample being anodized and 20 mm from the sample. The excitation light source/detector was placed outside the container but within a 5 mm distance of the dot to measure the O₂ concentration. We noticed that the oxygen diffusion was somewhat higher than what would be expected for a standard diffusion constant of DΟ₂ = 1.97 × 10⁻⁵ cm²/s. This was ascribed to the nonideal conditions under
which these experiments were performed for measuring diffusion and was not pursued further as the establishment of a strong gradient in the oxygen concentration was successful.

**Oxygen isotope labeling experiment: SIMS.—** The ion probe analysis was performed at Danchip, DTU, using a quadrupole ATOMIKA 4000 secondary-ion mass spectrometry (SIMS) instrument. Sputter etching of the surface was accomplished with 5 keV Cs⁺ ions raster scanned over 300 μm (I = 10 nA) at 20° impact angle from normal incidence. The main chamber base pressure was about 10⁻¹⁰ Torr. Oxygen isotopes were analyzed as O⁻ ions produced by a bombardment by a Cs⁺ primary beam (10 nA intensity) of around 15 μm diameter. The oxygen isotopes were subsequently measured in a single channeltron plate.

**UV/visible measurements.—** UV/visible spectra were collected using a Cary 1e spectrophotometer operated in a dual beam mode and with an uncoated Pyrex sample in the reference beam.

**Photocatalytic activity.—** The photocatalytic oxidation of acetone over TiO₂ thin films was performed in a 440 mL steel reactor with a quartz window at ambient temperature. A circulating gas pump was set up between the reactor and gas chromatograph (Perkin Elmer Clarus 500, Porapak Q 30/100 mesh, 2 m × 0.125 in.). A 4 in. diameter Pyrex wafer coated with TiO₂ NTs was placed in the reactor. Liquid acetone (1 μL) was injected into the reactor. Inside the reactor, the acetone vaporized and was allowed to reach adsorption equilibrium with the catalyst in the reactor before an experiment. The initial concentration of acetone after the adsorption equilibrium was about 720 ± 20 ppmv. A 4 W UV lamp (UVP UVGL-15) with a dominant emission at 345–365 nm was used as an excitation light source. Figure S6 of supplementary materials shows the UV light source spectrum. Integrated UV intensity measured with a UV radiometer was 1.75 mW/cm².

**Results and Discussion**

The realization that molecular oxygen enhances the anodization process makes it important to establish well-defined oxygen concentration gradients in the electrolyte. The oxygen concentration profile can be established in numerous ways, but here, we shall highlight two approaches in detail: the “bottom contact” method and the “auxiliary anode” method.

**Bottom contact method.—** In the bottom contact method, the entire closed system containing the electrolyte, headspace, and anode is first deaerated with argon. The anode is then submerged into the electrolyte, mounted vertically with the electrical contact to the Ti layer at the largest possible distance from the surface, as shown in the diagram of Fig. 2. After the electrolyte is saturated with Ar, the headspace is exchanged with atmospheric air and the anodization process is initiated.

In this manner, an oxygen concentration gradient is established in the electrolyte being highest at the surface, which is in contact with air. Figure 2a-c shows the process of forming the NT arrays in this manner, where the anodization was stopped after 3760 s, i.e., when the top part of the sample is getting transparent, but before the entire Ti film (400 nm) is completely converted into NT, which for this type of sample usually takes ~4400 s. Cross-sectional scanning electron microscopy (SEM) images of a sample were taken from each part of the anode corresponding to 1, 2, and 3 cm below the electrolyte surface, respectively. Figure 2a shows the fully anodized upper part of the anode where there is no Ti metal layer remaining. Figure 2b is the middle part of the anode, which still has some Ti metal layer remaining (~30 nm), and Fig. 2c shows the bottom part of the anode, which has more Ti metal layer left (~50 nm). Typically, we start with a Ti metal layer, 400 nm in thickness, which...
usually leads to an NT layer of about the same length, accompanied by a variable volume expansion on the order of 1–1.2. This expansion is somewhat less than what has previously been reported. The NTs shown in this work have tube lengths of about 400 nm with an outside diameter of ~30 nm and an inside diameter of ~20 nm. We have also used the bottom contact method successfully to grow films up to 1000 nm thick. Viewed from the side, the NT samples look thin and elongated; from the top, they are hollow and highly ordered.

The oxygen concentrations were measured with an oxygen sensor (OxySense). Three Oxydots were mounted on the inside of the container in the electrolyte at depths corresponding to the SEM locations. The oxygen concentration profiles, as a function of time for each portion of the TiO2 NT film, are plotted in Fig. 2d.

Initially, the system was deaerated by purging N60 argon until the oxygen concentration reading by the three Oxydots, each corrected for their individual offsets, gave constant readings corresponding to the detection limit (<0.18% of saturation). Oxydots monitor oxygen diffusion to the different portions of the sample during anodization. Figure 2e is the measured anodization rate extracted from Fig. 2a-c vs the average oxygen concentration at each position. It is observed that the rate increases with increasing oxygen concentration, although the enhancement due to oxygen is modest. Performing the same experiments without oxygen above the electrolyte or a saturated electrolyte in contact with air would lead to random initiations of the anodization and usually premature loss of contact. Because oxygen obviously enhances the anodization process, the NT formation can be controlled to proceed from the top toward the bottom contact with no loss of contact.

Auxiliary anode method.—In the auxiliary anode method, it is also possible to verify the significance of oxygen for the TiO2 NT growth without using air and without growing the film from the liquid/air interface down. For example, a localized source of oxygen can be established by electrolysis on a gold wire under the anode in a deaerated container. It is not desired to have oxygen bubbling uncontrollably, but rather to have bubbles adhering to the wire and acting as a diffusion source of oxygen.

If +5 V is applied to the Au wire (with respect to a carbon paper counter electrode) until small oxygen bubbles cover the Au wire, as indicated in Fig. 3a, an oxygen gradient away from the Au wire will develop. In this case, the growth direction can be manipulated to be from the bottom toward the contact point at the top. I.e., the film grows toward the surface, opposite the bottom contact growth direction but still away from the O2 source (Fig. 3a). Other geometries (i.e., horizontal) and sources of oxygen (i.e., air tubes) have been performed, all clearly demonstrating that the directional growth can be manipulated by the oxygen concentration (see Fig. 3b, where the Au wire (auxiliary anode) is placed at one end of the anode, opposite to the contact side).

Numerous other configurations were tested consistently, demonstrating that the process can be controlled by the oxygen gradient. Figure 4 shows a series of anodization configurations where the position of the anode has been altered with respect to the counter electrode as well as the electrolyte surface. However, in each case, one aspect is consistent: the contact point is farthest away from the O2 source. In these figures, the black lines represent the counter electrode, the gray (red online) lines represent the Ti film, and the hollow lines represent the Pyrex glass substrate. The dark dots are due to the electrical contact points; the dark areas opposite these dots are due to the sample clamp. Finally, there are some areas where shadows can be seen, which could be due to convection in the electrolyte, but this phenomenon is not yet completely understood. Along with these configurations, we have grown transparent TiO2 NT films directly on Pyrex wafers up to a 4 in. diameter using O2 gradients to prevent premature loss of contact. This demonstrated the scalability of the method.

In all cases, it is crucial to avoid oxygen evolution near the contact point itself, which is at +10 V, because that would enhance the anodization locally, leading to premature loss of contact. Thus, the choice of wire material used to make electrical contact is important. It must consist of self-passivating materials such as Ti or Al.
suppressing oxygen evolution, while oxygen-evolving materials such as gold (Au) or platinum (Pt) must be excluded. To elucidate the mechanism experimentally, we used $^{18}$O-isotope-labeled molecular oxygen and water to trace the oxygen location and determine its role in the NT formation. Two different samples were prepared in a (initially) deaerated electrolyte, T1: 2 vol % of H$_2$O (standard electrolyte) and T2: 2 vol % of H$_2$O with a bubble of $^{18}$O-labeled O$_2$ held near the anode. The T1 sample constitutes the reference sample and only displays the $^{16}$O isotope (Fig. 5a, blue (T1) line). When an $^{18}$O-labeled oxygen bubble is suspended near the anode (T2) during anodization, $^{18}$O remains absent in the depth profile (Fig. 5a, black (bottom T2) line). We do, however, see an increased $^{16}$O signal in the SIMS profile (Fig. 5a, red (top T2) line). The enhanced SIMS signal could be due to a geometric effect in the SIMS measurement. For example, if the NTs grown under the influence of O$_2$ that have slightly different geometries (i.e., wall thickness/diameter ratio) than those grown without O$_2$, the oxygen ion yield would be affected. Similar effects are observed when the $^{16}$O bubble was provided near the anode (T4, see Fig. 5b).

From this, it is concluded that oxygen from O$_2$ is not implemented in TiO$_2$ in measurable amounts. Beyond the experiment shown in Fig. 5a, showing that no detectable $^{16}$O$_2$ was implemented in the TiO$_2$ NT, we also performed experiments using $^{18}$O-labeled water (samples T3 and T4). These samples were prepared like T1 and T2 but with the following modifications: T3: 2 vol % of $^{18}$O-labeled H$_2$ and T4: 2 vol % of $^{18}$O-labeled H$_2$ and an unlabeled O$_2$ bubble near the anode. In this case, both $^{16}$O and $^{18}$O were clearly present in the film (Fig. 5b).

Given the isotopic purity of the labeled water (>97% $^{18}$O, CK Gas Products Ltd.) and size of the $^{18}$O signal, there can be no doubt that the oxygen from EG is also being incorporated into the TiO$_2$ NTs as there is no other source of $^{16}$O present. It has been considered that water is the main oxygen source in forming TiO$_2$ NTs, but the availability of oxygen from organic solutions has also been suggested. The current data also provide experimental support that EG does indeed donate oxygen to the NT structures. From this result (Fig. 5b), it seems that water and EG could be acting as competing oxygen sources during anodization with EG leading in the very beginning. But eventually, the dominant oxygen contribution is from water despite the ~16:1 molar ratio of EG to water. We believe that the mass transport of reactants down through the growing film (of high aspect ratio NTs) may account for this because the smaller water molecules are likely to diffuse faster than EG.

To explain how O$_2$ enhances the anodization without being implemented in the oxide, we propose the mechanism illustrated in Fig. 6. The model is based on the following two assumptions: (i) that oxygen vacancies form at the electrolyte surface interface above some critical breakdown field strength across the oxide (Fig. 6a), a phenomenon also reported by Zhang et al. and generally accepted for anodization of Al and Ti, (ii) and that this vacancy (e.g., due to steric hindrance) cannot accommodate a water molecule but can accommodate an O$_2$ molecule (Fig. 6b). Using these assumptions, we suggest that a partial oxidation of the adsorbed O$_2$ molecule by the strongly anodic-biased oxide can occur. This yields an electrostatic attractive force between the outermost O-atom of the adsorbed molecule and anions or dipoles in the surroundings. Fluoride ions cannot readily donate electrons, but water molecules (or potentially hydroxyl ions) can. Figure 6c and d illustrates the process where the H-atoms of a water molecule donate electrons to the surface via the adsorbed oxygen, effectively filling the surface vacancy and liberat-
ing a new $O_2$ molecule (Fig. 6c). The net (anodic) reaction in this case remains:

$$TiO + H_2O(+ O_2) \rightarrow TiO_2 + 2H^+(+ O_2) + 2e^- \quad [1]$$

This is in accordance with the generally accepted picture in the literature of water acting as the main oxygen source.\textsuperscript{1} In an isotope-labeled experiment using an $^{18}O$-labeled suspended bubble to initiate the anodization (i.e., experiment T2 above), one would expect that near the very top of the NTs corresponding to initial growth, there should be an enrichment of $^{18}O$ in the film. The reason may be seen in Fig. 6b, where we find that the oxygen atom filling the vacancy comes from the $O_2$ molecule, and as such, has a probability of donating an $^{18}O$ atom of $p = 2^{-n}$, where $n$ is the number of times the molecule has previously catalyzed the reaction. Within the sensitivity of our SIMS data, we do not see such an initial hump in the $^{18}O$ signal in the T2 profile. This is, however, expected because the total expected $^{18}O$ amount only should be about 1 monolayer to begin with; furthermore, chemical dissolution of the NTs during growth mostly etches the top of the tubes, whereby the labeled oxygen is lost.

To demonstrate that such NT growth on insulating material has the desired optical properties and catalytic activity (400 nm), we measured the absorbance and photocatalytic activity of the $TiO_2$ NTs. They were grown on 4 in. Pyrex wafers and compared to P25 $TiO_2$ spin-coated on similar wafers with similar thickness, as shown in Fig. 7a. As-anodized $TiO_2$ NTs were amorphous and were thus annealed at 450°C to convert them to anatase [see X-ray diffraction and X-ray photoemission spectroscopy (XPS) data in Fig. S3 and S4 of supplementary materials\textsuperscript{26} respectively]. The absorbance spectra of annealed $TiO_2$ NT array films were compared with those of the same thickness of the P25 $TiO_2$-coated films. The data of Fig. 7b clearly show that the NT films are much more transparent over the entire visible spectrum relative to the P25 $TiO_2$ powder, because the latter exhibits a much higher scattering loss and therefore has a dull gray appearance, as seen in the photographs in Fig. 7a.

The photocatalytic activity was evaluated by the oxidation of gaseous acetone under UV light irradiation (see Fig. S5 of supplementary materials\textsuperscript{30}) utilizing a batch reactor with principles of operation similar to that described by Yu et al.\textsuperscript{32,33} Here, however, a GC was used to evaluate the evolution of any possible organic intermediates. Figure 7c shows the acetone concentration and the subsequently evolved CO$_2$ as a function of time. Apart from the decay of the acetone, only the final products for complete mineralization of acetone, CO$_2$, was observed in an almost stoichiometric ratio 1:3. The photocatalytic activity for acetone degradation over the $TiO_2$ NT film (318 ppmv/h, corresponding to $\sim$1.04 $\times$ 10$^{15}$ acetone molecules/s) at least as good as the commercial 400 nm thick P25 $TiO_2$ for similar conditions (see Fig. S6 of supplementary materials\textsuperscript{26}).

**Conclusion**

In this work, we show that it is possible to control the growth rate and thus the direction of $TiO_2$ NT arrays by manipulating the oxygen concentration gradient. This has the advantage that such NTs can be grown from a metallic titanium film deposited on an insulating material in a one-step procedure over large areas (>4 in. wafer). We demonstrate that the NT arrays made in this manner maintain the desired properties concerning mineralization of organic compounds for self-cleaning purposes and optical properties, i.e., transparency. The procedure described here is versatile and promising for a large-scale production of transparent NT arrays on insulating media, an effect that may also be pursued for anodization of other materials. An autocatalytic model was proposed based on the fact that labeled oxygen, $^{18}O$, is not incorporated in the NT array (as judged by SIMS analysis) showing that $O_2$ does not act as the primary source of oxygen. It is, however, found that the oxygen from the labeled water is incorporated in the NTs in competition with oxygen from EG.

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