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Pulse Reversal Permalloy Plating Process for MEMS Applications

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Nickel-iron, and especially Permalloy, plating has been known and used for more than 40 years, but there are still several problems related to stability and maintenance that should be resolved. This paper presents a saccharine-free pulse reversal plating Permalloy electrolyte, which gives low-stress deposits. We demonstrate selected MEMS applications of the electrolyte. The use of the strong complexing agent 5-sulfosalicylic acid allows for a photometric determination of the Fe$^{3+}$-level in the bath and eliminate precipitates. This makes the electrolyte suitable as a Permalloy plating process used on an irregular basis.

Introduction

Electroplating of nickel-iron alloys has been known for many years. IBM filed some of the first patents aiming at deposition of soft magnetic films around 1970 (1, 2). At that time the preferred plating bath for electrodeposition of nickel-iron alloys, and particularly the Ni$_80$Fe$_{20}$ or Permalloy, consisted of simple mixtures of the sulfate or chloride salts of nickel and iron. The typical bath would furthermore contain boric acid as a pH buffer and a few organic additives such as lauryl sulfate (wetting agent) and saccharin (stress reducer). Especially when chloride salts are used, the internal stresses in the deposits are very high and must be reduced using efficient stress reducing additives. Unfortunately, the use of the so-called sulfur containing stress reducing additives results in small amounts of sulfur being co-deposited (up to a few percent). Even a small sulfur content will influence the corrosion resistance (3), as well as both the mechanical and the magnetic properties, in a negative way.

In order to reduce the internal stress, or to avoid the use of sulfur containing stress reducing additives, some authors have reported Permalloy plating processes based on sulphamate rather than sulfate (and chloride) (4).

The mechanism of nickel-iron alloy plating belong to the so-called anomalous type (5) which means that the iron is plated preferentially although it is not the most noble metal of the two. The degree of anomalous deposition depends on bath temperature (5) but also on pH and the use of pH-buffers (6). A bath without pH-buffer is less anomalous in behavior as compared to a bath with 0.4 M of boric acid (6). According to the literature (5), but also the experience obtained within the present work, simple sulfate or chloride based Permalloy baths, should be operated in a pH range from 3 to 5. Much below 3 the current efficiency will drop to unacceptable values, see also (6), and above 5 the formation of Fe$^{3+}$-based precipitates will slowly destroy the electrolyte. Adding a suitable complexing agent for Fe$^{3+}$ postpones the formation of ferric compounds. Venkatasetty (7) reports that the addition of citrate (to an otherwise simple sulfate bath) will increase the
period in which good deposits can be obtained from a few hours to more than 25 hours. Depending on the complexing agent, it may also have the effect that the iron to “total metal” concentration ratio can be increased from 2 percent to 4 percent or more – while still depositing the desired Permalloy composition. As most baths use pure nickel anodes, having a relatively high iron concentration in the bath will make the bath easier to operate and less susceptible to small concentration changes.

Electroplated Permalloy has several applications in MEMS technology. Permalloy is widely used when some form of magnetic actuation is required, such as magnetically actuated valves or flux guides in electromagnet applications (see for example (8-12)). In recent years magnetic manipulation in microfluidic systems has emerged as a promising technology for bio-separation in lab-on-a-chip systems. A receptor molecule (DNA, RNA, proteins, cells, antigens etc.) is bound on the surfaces on magnetic beads or particles. These beads or particles are attracted to regions of large magnetic field, and thus if they are suspended in a solution containing target molecules for the receptor molecules, the target molecules can be separated from the solution by application of a spatially varying magnetic field. Both active systems with on-chip electromagnets and passive systems with on-chip soft magnetic structures have been realized using electroplated Permalloy (see (13, 14) and references therein). The microsystems described in refs. (13-15) are all fabricated using the electrolyte presented in this paper.

One key requirement for a MEMS-compatible deposit is that it should have relatively low internal stress. Many MEMS-structures contain thin beams or membranes that will not react well to stress, and in other cases thick films are needed, and a large internal stress might make such films peel off.

The permalloy plating bath we present here has been used to plate 150 µm thick bars with widths of 50 µm and lengths of 4.5 mm without any peel off. We have not measured the stress of deposits made with this bath, but in (16) a similar pulse reversal plating bath for CoNiFe is presented, where the stress is measured to be approximately 70-80 Mpa, which is relatively low.

### Experimental Methods

| TABLE I. | Recipe for the Permalloy electrolyte. The pH-value was adjusted to 3.0 using sodium hydroxide. The bath was operated at 35 °C. |
| --- | --- | --- |
| **Compound** | **Formula** | **Concentration (M)** |
| Nickel sulfate | NiSO₄ · 6 H₂O | 0.2000 |
| Nickel chloride | NiCl₂ · 6 H₂O | 0.2000 |
| Iron Sulfate | FeSO₄ · 7 H₂O | 0.0293 |
| Boric acid | H₃BO₃ | 0.5000 |
| 5-sulfosalicylic acid | HO₃S·C₆H₃OH·COOH | 0.0350 |
| Naphthalene tri-sulfonic acid (sodium salt) | (NaO₃S)₃C₁₀H₈ | 0.0070 |

The main stability problem associated with alloy plating baths containing iron, is the oxidation of Fe²⁺ to Fe³⁺ and the following formation of strong hydroxide complexes (see TABLE II) leading to precipitation of Fe(OH)₃. The complexing agent 5-sulfosalicylic acid, is one of very few candidates for a compound forming complexes with Fe³⁺ that are stronger than the hydroxides. In TABLE II the formation constants of oxalate, which is usually regarded as a strong complexing agent for Fe³⁺, is clearly weaker than 5-sulfosalicylic acid.
TABLE II: Cumulative formation constants for metal complexes with hydroxide, 5-sulfosalicylic acid and oxalate (17).

<table>
<thead>
<tr>
<th>Metal ion</th>
<th>Ligand</th>
<th>log K₁</th>
<th>log K₂</th>
<th>log K₃</th>
</tr>
</thead>
<tbody>
<tr>
<td>Fe²⁺</td>
<td>OH⁻</td>
<td>5.56</td>
<td>9.77</td>
<td>9.67</td>
</tr>
<tr>
<td>Fe³⁺</td>
<td>OH⁻</td>
<td>11.87</td>
<td>21.17</td>
<td>29.67</td>
</tr>
<tr>
<td>Ni²⁺</td>
<td>OH⁻</td>
<td>4.97</td>
<td>8.55</td>
<td>11.33</td>
</tr>
<tr>
<td>Fe²⁺</td>
<td>HO₃S·C₆H₃OH·COOH</td>
<td>5.90</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Fe³⁺</td>
<td>HO₃S·C₆H₃OH·COOH</td>
<td>14.64</td>
<td>25.18</td>
<td>32.12</td>
</tr>
<tr>
<td>Ni²⁺</td>
<td>HO₃S·C₆H₃OH·COOH</td>
<td>6.42</td>
<td>10.24</td>
<td></td>
</tr>
<tr>
<td>Fe²⁺</td>
<td>C₂O₄²⁻</td>
<td>2.9</td>
<td>4.52</td>
<td>5.22</td>
</tr>
<tr>
<td>Fe³⁺</td>
<td>C₂O₄²⁻</td>
<td>9.4</td>
<td>16.2</td>
<td>20.2</td>
</tr>
<tr>
<td>Ni²⁺</td>
<td>C₂O₄²⁻</td>
<td>5.3</td>
<td>7.64</td>
<td>~8.5</td>
</tr>
</tbody>
</table>

The use of 5-sulfosalicylic acid, and a few other related compounds, in iron alloy plating baths was patented in 1978 (18). Besides the capabilities as complexing agent, other advantages of 5-sulfosalicylic acid include a very strong red color (absorbance at 480 nm) of the complexes with Fe³⁺ and that 5-sulfosalicylic acid is a stable molecule which is not destroyed in the electrolyte and does not seem to cause co-deposit of sulfur or carbon.

Figure 1: Spectrophotometric data for the components of the Permalloy electrolyte. The data for the individual components add up to give the data for the finished electrolyte.

Initially 2 liters of bath were mixed using the recipe of TABLE I. During the mixing process the spectrophotometric data for each of the components were measured (see Figure 1) in the range 350 nm to 1100 nm using a Shimadzu UV mini 1240.
Spectrophotometer. The mixed bath was split into two 1-litre bottles. One was used as the plating bath, and the other was used for reference.

These two baths were used to study the development of the electrolyte over time. Once a week for eight weeks, Permalloy was electroplated onto a $3 \times 3$ cm$^2$ copper plate for 30 minutes using pulse reversal plating with the cathodic pulse being 60 ms of 4 A/dm$^2$ and the anodic pulse being 20 ms of 6 A/dm$^2$ corresponding to an average current density of 1.5 A/dm$^2$. Absorbance, as well as pH, was recorded in the plating bath before and after each deposition. At the same time similar data were also measured for the reference bath. pH was measured using an Accumet model 15 pH-meter using a Radiometer pH2001 electrode.

Two other baths were mixed to establish the connection between spectrophotometric data and the concentration of Fe$^{3+}$ and Fe$^{2+}$ ions in solution. 800 ml of bath was mixed using the recipe of TABLE I without the iron sulfate. This was split into two 400 ml portions, and 0.0293 M FeSO$_4$ was added to one portion, and 0.01465 M Fe$_2$(SO$_4$)$_3$ was added to the other, such that the amount of Fe ions was the same in the two solutions. This gave two baths; one containing Fe$^{2+}$ ions only – the regular bath, and one containing Fe$^{3+}$ ions only. These two baths were mixed in various ratios to determine the absorbance at 480 nm as a function of Fe$^{3+}$-content.

![Figure 2: Current efficiency as a function of pH. Results from this work are compared to previous work (19) using almost identical electrolytes.](image)

The current efficiency was established by weighing the samples before and after the electroplating using a Sartorius BP61 scale with a maximum precision of ±0.1 mg. The Fe (and Ni) content in the deposits was determined semi-quantitatively with standardless energy dispersive X-Ray spectrometry (EDX, Oxford Scientific) in a JEOL Scanning electron microscope (SEM, JSM-5900).
Results and Discussion

Korsbæk and Rubæk previously developed the current recipe for the Permalloy electroplating bath in collaboration with Hansen and Tang (19). They established the relation between pH of the bath and current efficiency (see Figure 2). These results are compared to results obtained during the present investigation. There is a relatively good correlation between the old results and the new ones. The only differences between the experiments are a higher chloride content in the new bath (called “This work” in Figure 2) and that in this work we did not compensate for a known inaccuracy in the pulse plating rectifier (TCD research, double cathode WinCAPP) when operating at small currents.

In Ref. (15) we report the magnetic properties and fabrication scheme of a magnetic separation system that was fabricated using the present electrolyte.

The spectrophotometric data for the different bath components were measured on the individual components and in an unused electrolyte with the composition presented in TABLE I. It is seen in Figure 1 how the curves for the individual components add up to give the total curve for the bath. Also, it is seen that the Fe sulfate has a distinct peak at 480 nm, whereas Ni have several peaks at 393, 657 and 720 nm (see Figure 1). This means that we can distinguish the Fe peak from the nickel peaks, and thus we can monitor the development of the different components.

Figure 3: Development in the spectrophotometric data over time. The graph also shows how the spectrophotometric data change during electroplating.
We have monitored the development of the spectrophotometric data over time. Figure 3 displays our findings. It is seen that the Fe peak grows rapidly. Within 25 days it has grown to a state, where it completely dominates the entire spectrum. It is well-known that Fe$^{2+}$ and Fe$^{3+}$ absorb at the same wavelength, but Fe$^{3+}$ absorbs much more strongly than Fe$^{2+}$. Therefore the rise in the peak is interpreted as a sign that some of the Fe$^{2+}$ ions have been oxidized to Fe$^{3+}$. Also, we see that the Fe peak at 480 nm is reduced after plating. This means that some of the Fe$^{3+}$ ions are removed (deposited or more likely reduced to Fe$^{2+}$) during the plating process.

In Figure 4 the data for the absorption at 480 nm and the pH-value of the plating bath before and after plating are compared with data for an unused reference bath. We see that the absorption grows steadily and that the plating bath grows slightly faster than the reference bath. This may be due to the fact that the plating bath was exposed more to air than the reference bath. It is also seen that the plating process consistently causes the absorption to drop – at least temporarily – which indicates that Fe$^{3+}$ ions are reduced to Fe$^{2+}$ ions during plating.

We also learn from Figure 4 that pH rises substantially during electrodeposition such that pH is enhanced just after plating, but during the time before the next experiment (around one week) pH drops back to a value that is only a little higher than before the previous experiment. On the other hand pH in the reference bath is consistently falling, even if the total change is small.

Figure 4: Development of the characteristic spectrophotometric peak at 480 nm (left axis) and pH (right axis) as function of time. Data is shown before and after plating as well as for the unused reference bath. A total of 8 plating experiments were conducted.
During the electrodeposition, although pulse reversal plating is applied and thus makes many reactions possible, the dominating or resulting electrochemical reactions are:

\[
2 \text{H}^+ + 2 e^- \rightarrow \text{H}_2(g), \quad [1]
\]

\[
\text{Fe}^{3+} + e^- \rightarrow \text{Fe}^{2+}, \quad [2]
\]

\[
\text{Fe}^{2+} + 2 e^- \rightarrow \text{Fe}, \quad [3]
\]

\[
\text{Ni}^{2+} + 2 e^- \rightarrow \text{Ni}. \quad [4]
\]

Reactions [1] and [2] are directly responsible for the current efficiency being in the range from 94-98% (see Figure 2), whereas reactions [3] and [4] are the ones leading to the actual deposit.

After electrodeposition, when the solution is resting in a closed PE bottle, the following reaction is anticipated:

\[
4 \text{Fe}^{2+} + \text{O}_2 + 4 \text{H}^+ \rightarrow 4 \text{Fe}^{3+} + 2 \text{H}_2\text{O}. \quad [5]
\]

Following this oxidation, we expect a protolysis-like process to take place. The exact role of 5-sulfosalicylic acid and the complexes formed during and after electrodeposition, as compared to the protolysis reactions when water is acting as a ligand (see reactions [6] and [7] below), is somewhat unclear.

\[
\text{Fe(H}_2\text{O})_6^{3+} \rightarrow \text{Fe(H}_2\text{O})_5(\text{OH})^{2+} + \text{H}^+, \quad K_1=9.0 \cdot 10^{-4} \quad (20), \quad [6]
\]

\[
\text{Fe(H}_2\text{O})_5(\text{OH})^{2+} \rightarrow \text{Fe(H}_2\text{O})_4(\text{OH})_2^{+} + \text{H}^+, \quad K_2=5.5 \cdot 10^{-4} \quad (20). \quad [7]
\]

Reaction [2] explains why the absorption at 480 nm decreases during electroplating, since it predicts that the Fe\textsuperscript{3+} content of the bath decreases during electroplating. The reaction in [5] explains why we see the general rise in absorption at that wavelength and thus Fe\textsuperscript{3+} content over time. There is not enough 5-sulfosalicylic acid in the bath to form complexes with all the metal ions, but it will most likely form complexes with all Fe\textsuperscript{3+} ions. Therefore complexes between Fe\textsuperscript{2+} and Ni\textsuperscript{2+} with water, OH\textsuperscript{-}, and Cl\textsuperscript{-} will also be present and protolysis may occur with these complexes as well. This combined with reactions [6] and [7] explains why we see a general decrease in pH in the reference bath. We expect that reaction [1] is the cause of the general increase in pH for the plating bath.

Just after the electroplating, pH has risen to a level that is substantially above the level before plating. We expect that this be due to the reaction [2], since the Fe\textsuperscript{3+} has to be released from the complexes formed in reactions like [6] and [7] which will release OH\textsuperscript{-} that will quickly recombine with H\textsuperscript{+}, and thus pH rises. Complexes formed with Ni\textsuperscript{2+} and Fe\textsuperscript{2+} and 5-sulfosalicylic acid as well as the other bath ingredients may also contribute to this pH rise, when the complexes are dissociated during electroplating.

In order to quantify the rise in absorption at 480 nm and relate it to the concentration of Fe\textsuperscript{3+} ions in the solution, we measured the absorption at different known concentrations of Fe\textsuperscript{3+} and Fe\textsuperscript{2+}. The results are displayed in Figure 5. We have made a linear fit
based on the first three data points. Another fit is made based on the last 4 data points. It is not uncommon for the absorption to ‘fall off’ at high absorption values due to screening.

The standard curve in Figure 5 allow us to calculate the concentration of Fe$^{3+}$ ions in the bath, and by measuring the mass and chemical composition of the deposits, we are able to calculate the amount of remaining Fe$^{2+}$ ions in the bath.

![Figure 5: Absorption at 480 nm as a function of Fe$^{3+}$ (and Fe$^{2+}$) concentration. For concentrations below 0.01 M a linear fit is used. In the fits ‘y’ denotes the absorption, and ‘x’ denotes the amount of Fe$^{3+}$ ions. Suitable units are assumed on ‘A’, ‘B’, ‘a’, ‘b’, and ‘c’.](image)

These data are collected in Figure 6. Over the course of eight weeks and 8 experiments, over 20 % of the originally available Fe$^{2+}$ ions have disappeared due to deposition or oxidation.

In Figure 7 the amount of Fe$^{2+}$ in the bath is related to the percentage of iron in the deposited alloy. Although there is an obvious relation between these quantities, and the iron content of the alloy certainly decreases with the calculated Fe$^{2+}$ concentration in the bath, the uncertainty in the linear fit (mainly due to uncertainties related to the EDX measurements) is too large make any real predictions of the exact alloy composition.
Figure 6: Development of Fe content in the bath. Spectrophotometric measurements of Fe$^{3+}$, deposit weight and composition allows for calculations of the remaining amount of Fe$^{2+}$ in the bath.

Figure 7: Fe content in deposits as a function of Fe$^{2+}$ concentration in the bath. When the amount of deposited metal is known, the concentration of Fe$^{3+}$ ions is computed from the standard curve in Figure 5, and when we know the initial amount of Fe ions, we can calculate the amount of available Fe$^{2+}$ ions. In the included fit ‘x’ denotes the Fe(II) concentration before plating, and ‘y’ denotes the iron content in the deposit. Suitable units on ‘a’ and ‘b’ are implied.
Conclusion

A reliable and stable Permalloy plating bath is presented, which is suitable for MEMS applications due to low internal stress, good magnetic properties and long term bath stability – even without using nitrogen purging or other means to remove oxygen dissolved in the electrolyte. The bath is especially well suited in situations, where the bath is only used every once in a while, such that continuous bath replenishment is not feasible.

We have demonstrated a way to monitor the amount of available Fe$^{2+}$ ions in the bath, allowing for simple bath maintenance by regular addition of iron (sulfate or chloride) and pH adjustments (diluted hydrochloric or sulfuric acid). When operating our 25 l version of the bath, we have found that it is helpful to electroplate for a few hours on a dummy wafer before electroplating on real samples. This reactivates the anode, and as we have seen some of the Fe$^{3+}$ ions will be reduced to Fe$^{2+}$. With typical use the bath will then be able to last for more than 1 year.

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