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22q11.2 Deletion Syndrome Is Associated With Impaired Auditory Steady-State Gamma Response

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**Background:** The 22q11.2 deletion syndrome confers a markedly increased risk for schizophrenia. 22q11.2 deletion carriers without manifest psychotic disorder offer the possibility to identify functional abnormalities that precede clinical onset. Since schizophrenia is associated with a reduced cortical gamma response to auditory stimulation at 40 Hz, we hypothesized that the 40 Hz auditory steady-state response (ASSR) may be attenuated in nonpsychotic individuals with a 22q11.2 deletion. **Methods:** Eighteen young nonpsychotic 22q11.2 deletion carriers and a control group of 27 noncarriers with comparable age range (12–25 years) and sex ratio underwent 128-channel EEG. We recorded the cortical ASSR to a 40 Hz train of clicks, given either at a regular inter-stimulus interval of 25 ms or at irregular intervals jittered between 11 and 37 ms. **Results:** Healthy noncarriers expressed a stable ASSR to regular but not in the irregular 40 Hz click stimulation. Both gamma power and inter-trial phase coherence of the ASSR were markedly reduced in the 22q11.2 deletion group. The ability to phase lock cortical gamma activity to regular auditory 40 Hz stimulation correlated with the individual expression of negative symptoms in deletion carriers ($\rho = -0.487, P = .041$). **Conclusions:** Nonpsychotic 22q11.2 deletion carriers lack efficient phase locking of evoked gamma activity to regular 40 Hz auditory stimulation. This abnormality indicates a dysfunction of fast intracortical oscillatory processing in the gamma-band. Since ASSR was attenuated in nonpsychotic deletion carriers, ASSR deficiency may constitute a premorbid risk marker of schizophrenia.

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better knowledge of the disease evolution, but potentially can lead to strategies for prevention or early treatment.

Auditory steady-state responses (ASSRs) evoked by brief tones or clicks at a repetition rate of 40 Hz provide a readily available, noninvasive means of probing impaired neural gamma synchrony in the auditory system. A seminal ASSR study showed that the ASSR was selectively reduced in patients with schizophrenia when auditory stimulation was applied at 40 Hz, but not at 20 Hz or 30 Hz. Later studies reported reduced expression and phase locking of steady-state gamma oscillations in patients with schizophrenia. A recent meta-analysis concludes that decreased 40 Hz ASSR is a robust finding in schizophrenia. The ASSR is further observed reduced in first-episode psychosis, as well as in unaffected first degree relatives. So far, there have been no studies looking at ASSR in 22q11.2DS. However, one study has shown reduced ASSR in a ultra-high-risk group (UHR), where subjects who met criteria for attenuated psychotic symptoms, brief intermittent psychotic symptoms and genetic risk deterioration were included in the study based on the structured interview for prodromal symptoms (SIPS).

Linking ASSR and clinical symptoms in schizophrenia, inter-trial phase coherence (ITPC) has shown to be positive correlated with the presence of positive symptoms. The same group also reported a positive correlation between left-hemispheric ITPC and the expression of auditory hallucination. Moreover, a negative correlation between the ASSR amplitude at 80 Hz and negative symptoms has been shown. In the UHR-study, the power of the ASSR at 40 Hz was negatively correlated with the individual PANSS scores for positive symptoms, but not for negative symptoms.

In schizophrenia, impaired neural processing in cortical circuits has been attributed to dysfunctional oscillatory cortical activity in the gamma-band (30–100 Hz) and underlying abnormalities in GABAergic and glutamatergic neurotransmission. Cortical gamma oscillations rely on the integrity of fast-spiking GABAergic interneurons which exert a finely tuned inhibition onto the pyramidal cells and other inhibitory interneurons. Disturbed interactions of these circuits are thought to critically contribute to pathogenesis and cognitive impairment in schizophrenia. In agreement with the notion of a GABAergic dysfunction, magnetic resonance spectroscopy revealed that gamma-aminobutyric acid (GABA) levels in visual cortex are reduced by 13% in patients with schizophrenia as compared to healthy controls. Likewise, prefrontal GABA levels were reduced in patients with schizophrenia as compared to controls using magnetic resonance spectroscopy at 7 T. Accordingly, postmortem studies of 22q11.2 carriers have shown reduced levels of GABA at sites of cortical malformation. Recent studies have provided converging evidence that cortical gamma oscillatory activity critically depend on the function of N-methyl-D-aspartate (NMDA) receptors located on parvalbumin-positive, fast-spiking, GABAergic interneurons. The emerging links between abnormal cortical gamma oscillatory activity and specific cellular and subcellular mechanisms constitute a potential target for future treatments of schizophrenia.

In murine models of 22q11.2DS, a disruption of glutamatergic synaptic transmission within the auditory cortex has been found. Further, in a mouse model of 22q11.2DS increased acoustic startle response as well as prepulse inhibition (PPI) deficits was found. Since no difference in auditory brain stem responses were found, the deficits in PPI could not account for this. One study investigated auditory gating in 22q11.2DS. While P50 was found to be normal, 22q11.2DS carriers showed increased amplitudes of the first N1 component at central electrodes, suggesting abnormal higher order processing.

Using a neurogenetically informed approach, we recorded the ASSR in nonpsychotic carriers of a 22q11.2 deletion and healthy controls without such deletion with comparable age range and sex ratio. The auditory stimulation was applied regularly and irregularly, testing whether temporal regularity of the 40 Hz train was critical to evoke ASSR. In this way, we could test for differences in steady state as well as transient (nonsteady state) responses. We expected that the 22q11.2 deletion carriers express a reduced cortical gamma response to regular auditory stimulation at 40 Hz as a potential risk marker of schizophrenia, showing a reduction in power and reduced phase synchronization of the ASSR relative to healthy noncarriers. Further, we expected the response to irregular stimulation to be matched between groups. We further explored whether the ASSR alterations in 22q11.2 deletion carriers were correlated with symptom severity.

Materials and Methods

Subjects

Eighteen young individuals 12–25 years with a verified 3 MB deletion at chromosome 22q11.2 and 27 healthy individuals without a 22q11.2 deletion participated in the study. Groups were comparable with respect to sex ratio (controls: 18 males and 9 females, carriers: 13 males and 5 females, \( \chi^2 = 0.54, P = .46 \)) and age distribution (controls: mean age = 15.96 years, standard deviation (SD) = 2.71 years; carriers: mean age = 15.39 years, SD = 2.45 years, \( t_{18} = 0.72, P = .47 \)). All participants were evaluated for the presence of current psychiatric disorders and diagnoses were given according to the ICD-10 diagnostic system if clinical criteria were met. For more information on diagnose criteria, see supplementary material. Carriers of the 22q11.2 deletion with a known history of schizophrenia were excluded. The Structured Interview for Prodromal Syndromes (SIPS) was used to rate the severity of: positive, negative, disorganized, and general symptoms, see supplementary materials for detailed information.
The following exclusion criteria were applied to controls: (a) schizophrenia, schizotypical and delusional disorders (ICD10 DF20-29); (b) bipolar disorder (ICD10 DF30-31); (c) depression (ICD10 DF32-33) except for a past episode of mild or moderate depression (ICD10 DF 32.0 or 32.1); (d) substance abuse; or (e) a first degree relative with a psychotic illness. All participants underwent a verbal and written informed consent process. Participants under the age of 18 provided a verbal assent while their parent’s completed written consent. The study was approved by the Regional Ethical Committee of Copenhagen (project id: H-3-2012-136) and the Danish Data protection Agency (project id: 2007-58-0015). All participants are part of a larger nationwide study and underwent extended cognitive, genetic, and clinical testing, described in detail by Schmock et al.47 Since 22q11.2DS is associated with hearing loss, audiometric testing was performed prior to the experiment to confirm that participants were able to hear the click stimuli (20 dB random test Oscilla USB-310 Tablet screening audiometer), see supplementary materials for more details.

**ASSR Paradigm**

To evoke steady-state gamma activity, subjects were presented with a train of short clicks delivered at a mean click-repetition frequency of 40 Hz.12 Each click lasted 1 ms and each click train lasted 1 s, followed by a pause of 2 s, resulting in a stimulus onset asynchrony of 3 s (figure 1). The stimuli were delivered binaurally via insert-earphones at a sound pressure level of 85 dB (E-A-RTONE 3A), using the MatLab-based Cogent 2000 toolbox as presentation software (http://www.vislab.ucl.ac.uk/cogent_2000.php). We used an external soundcard (RME Babyface 22-Channel, 192 kHz Bus-powered, Haimhausen, Germany). During ASSR recording, subjects sat in a comfortable chair, were instructed to relax and to constantly look at a fixation cross on the screen in front of them without paying particular attention to the sounds.

We applied regular and irregular 40 Hz trains. In the regular condition, clicks had a constant inter-click interval of 25 ms (ie, regular 40 Hz train). In the irregular condition, the inter-click interval was randomly jittered between 11 and 37 ms while maintaining a mean click frequency of 40 Hz. We introduced this condition to record the cortical response evoked by click stimulation that was matched for all acoustic features except regularity. Thus, the irregular condition served as a control condition testing whether the temporal regularity of the 40 Hz train was critical to evoke abnormal auditory cortical processing restricted to ASSR. The temporal structure of the irregular click train was kept constant within subjects, but changed randomly across subjects. For regular and

Fig. 1. Temporal structure of the auditory stimulation paradigm to evoke 40 Hz auditory steady-state responses. Regular (run 1) and irregular (run 2) 40 Hz click trains were given in separate experimental blocks. Each click train had duration of 1 s, followed by a pause of 2 s. Clicks were applied every 25 ms at a constant frequency of 40 Hz in the regular condition and randomly jittered from 11 to 37 ms in the irregular condition. An epoch covered the period of 40 Hz stimulation and a peristimulus window of $-1000$ to 2000 ms.
irregular 40 Hz stimulation, ASSR were recorded in 2 separate runs, consisting of 120 trials (figure 1). A single run lasted 6 min.

**EEG Recordings and Preprocessing**

EEG data was recorded using a 128 channel ActiveTwo Biosemi System (BioSemi, Amsterdam, Netherlands) at a sampling frequency of 4096 Hz. Preprocessing and analysis were carried out using the fieldtrip toolbox. The preprocessing steps consisted of referencing to the average of the 2 mastoids, band-pass filtering using the interval [1–130] Hz, notch filtering using the interval [48–52] Hz to attenuate 50 Hz line noise, down-sampling to 1024 Hz, and finally epoching with a peri-stimulus window of −1000 to 2000 ms. Epochs were baseline corrected using the average over the time window −1000 to −300 ms. Artifact removal was performed using a simple threshold approach where epochs were rejected if their values exceeded ±100 µV. No channels were discarded.

**Time-Frequency Analysis**

The epoched data were wavelet-transformed using a Complex Morlet wavelet with 12 cycles, as implemented in the Fieldtrip toolbox. The frequency band of interest covered frequencies from 10 to 60 Hz at 1 Hz resolution. The inter-trial phase coherence (ITPC) and power were extracted from the wavelet coefficients. The amplitude of the ITPC reflects the phase consistency across trials for a given channel, time and frequency point and can take values between 0 (no phase consistency) and 1 (perfect phase consistency). The ITPC and power amplitude as recorded from Cz were averaged in the 300–700 ms time window of auditory stimulation over the frequencies 36–44 Hz.

**Statistical Analysis**

Two sample t-test and Wilcoxon rank sum test was used to test for case–control differences in IQ and the 4 domain scores obtained from the SIPS interview, respectively. Group differences in ASSR were assessed using a hypothesis-driven approach testing for differences in raw ITPC amplitude as well as power values between groups from one single EEG channel (Cz according to the international 10-20 system, see figure 2 where channel Cz is marked). We chose Cz because we had a priori knowledge that the sensors of the mid-central regions express the highest gamma response to regular click stimulation at 40 Hz. Two separate repeated-measures analysis of covariance (rm-ANCOVA) were therefore computed with ITPC or power as dependent variables. The rm-ANCOVA included the factors group (2 levels: deletion carriers and noncarriers) and the within-subject factor condition (2 levels: regular and irregular), as well as age and sex as covariates. We post hoc added hearing thresholds observed as a mean over frequencies from the 20 dB random test as covariate. Information regarding post hoc test for diagnosis and IQ can be found in the supplementary material.

Greenhouse-Geisser correction for nonsphericity was applied if necessary. Follow-up t-tests were performed for significant interactions. If equality of variance where not met (assessed with Levene’s test), separate variance t-tests were performed when necessary. Bonferroni correction for multiple comparisons was applied if necessary and significance levels were corrected accordingly.

Two subjects belonging to the 22q11.2 group completed only the regular condition because of exhaustion after this session. One healthy noncarrier had to be discarded from the regular condition due to problems with the trigger. The results are thus based on the ASSR of 18 deletion carriers for the regular condition, 16 deletion carriers for the irregular condition, 26 healthy noncarriers for the regular condition and 27 healthy noncarriers for the irregular condition. For the rm-ANCOVA the results are based on 16 deletion carriers and 26 nondeletion carriers.

Nonparametric Spearman’s rank correlations analysis was performed to test for correlations between individual ASSR measures and negative symptoms score. Only correlations with negative symptoms were carried out since the presentation of positive, disorganized and generalized symptoms were skewed in the present group (5 out of 18 (28%) of the 22q11.2 deletion carriers had a score of 0 in the positive symptoms, 5 out of 18 (28%) in the disorganized and 11 out of 18 (61%) in generalized symptoms). For all analyses, significance threshold was set at $P < .05$.

**Results**

The 22q11.2DS group had an IQ (median = 82.0, 90th percentile = 94.8, 10th percentile = 63.6) that was significantly lower than the IQ in the control group (median = 108.0, 90th percentile = 127, 10th percentile = 95.2, $t_{43} = −7.05$, $P < .001$). The included raw sum of negative symptoms for the 22q11.2 carriers ranged from 1 to 16 (mean = 7 and SD = 3.5), from 0 to 12 for positive symptoms (mean = 2.7, SD = 3.1), from 0 to 6 for disorganized (mean = 1.8, SD = 1.8) and from 0 to 7 for generalized symptoms (mean = 1.0, SD = 1.9).

Within the 22q11.2 deletion carriers, one was diagnosed with affective disorder, 2 with disturbance of activity/attention deficit disorder without hyperactivity, 6 with anxiety or phobia and one with both autism spectrum disorder and anxiety or phobia. None of the participants had psychosis, but the 22q11.2DS cohort had significantly elevated raw SIPS scores for negative ($W = 477$, $P < .001$), positive ($W = 350.5$, $P = .008$), disorganized ($W = 404$, $P < .001$) and generalized ($W = 312.5$, $P = .027$) symptoms, relative to the control group. Fifteen of the 22q11.2 deletion carriers had negative symptoms within the attenuated level (3–5) in one or more of the negative
symptoms categories, but only 3 individuals had one or more psychotic and/or disorganized symptoms within the attenuated level. Generalized symptoms at the attenuated level were only seen in 2 of the 22q11.2 deletion carriers. Apart from one subject taking 20 mg of retalin, none of the carriers took medication acting on the central nervous system.

The 20 dB random test, revealed that subjects were able to detect the tones used for eliciting ASSR. Across frequencies the observed threshold levels were (mean = 20.5, SD = 0.7) for controls and (mean = 24.6, SD = 3.6) for 22q11.2. In 2 22q11.2 deletion carriers, the dB level of the click trains was decreased from 85 dB to 80 dB because they reported that the stimulus was uncomfortable at 85 dB.

Mean ITPC and power frequency plots of the ASSR for healthy 22q11.2 deletion carriers and noncarriers are shown in figures 2A and 2B, respectively. Healthy controls without a 22q11.2 deletion showed a clearly discernible ASSR at around 40 Hz which was temporally confined to the time of regular click stimulation (figure 2). This response critically relied on the regularity of the 40 Hz acoustic train, because jittering the frequency around 40 Hz, as expected reduced the ASSR (figures 2 and 3). Nonpsychotic carriers showed a clear attenuation of the ASSR to regular 40 Hz click stimulation (figures 2 and 3) when comparing to the healthy noncarriers. The response to the irregular 40 Hz click train stimulation was similar in both groups.

**Inter-trial Phase Coherence**

Repeated-measures-ANCOVA revealed that mean ITPC across the 2 conditions was significantly lower for the 22q11.2 group compared to the noncarrier group ($F_{1,38} = 7.1, P = .011$, figure 3A). Moreover, we observed a significant effect of condition ($F_{1,38} = 6.3, P = .016$), showing that the regular condition was overall much more effective
than the irregular. There was no significant group-by-condition interaction ($F_{1,38} = 2.4, P = .127$). Overall the mean value of ITPC in the regular condition was reduced by 28% in the deletion carriers compared to the noncarriers and by 21% in the irregular condition (figure 3A). ITPC decreased with age ($F_{1,38} = 6.4, P = .016$) and age showed a significant interaction with condition ($F_{1,38} = 4.7, P = .037$) attributable by younger participants having a higher ITPC score in the regular condition compared to older participants while younger and older participants showed similar values for the irregular condition. No effect of sex ($F_{1,38} = 2.0, P = .169$) or interaction (condition-by-sex, $F_{1,38} = 1.6, P = .209$) was observed. Adding hearing threshold as covariates the group effect did not change significance.

Power

Repeated-measures-ANCOVA also showed a significant group difference in power ($F_{1,38} = 7.6, P = .009$) which reflected a relative reduction of the ASSR power in deletion carriers (figure 3B). There was also a main effect for condition ($F_{1,38} = 4.6, P = .038$) and a significant interaction between group and condition ($F_{1,38} = 6.1, P = .018$) which was due to a stronger decrease in power for the regular condition in deletion carriers relative to noncarriers (figure 3B).

Post hoc pair-wise comparisons confirmed that 22q11.2 deletion carriers had a lower power for the regular condition as compared to healthy noncarriers ($t_{40} = 2.4, P = .022, \alpha = 0.025$), while power did not differ from healthy noncarriers in the irregular condition ($t_{40} = 2.9, P = .770$). Overall the mean value of power in the regular condition was reduced by 24% in the deletion carriers compared to the noncarriers, but only by 4% in the irregular condition.

We also found a significant effect for age with lower ASSR power at higher age ($F_{1,38} = 5.7, P = .022$). The age effect interacted with condition ($F_{1,38} = 5.3, P = .027$). Inspection of the individual data revealed that younger participants expressed a higher power in the regular condition compared to older participants, while power was not influenced by age in the irregular condition. There was no effect of sex ($F_{1,38} = 0.8, P = .368$) or interaction between sex and condition observed ($F_{1,38} = 1.2, P = .283$).

For post hoc tests on diagnosis and IQ, see supplementary material.

Correlation With Negative Symptoms

In carriers with a 22q11.2 deletion, individual ITPC for the regular condition showed a negative correlation with the individual SIPS scores of negative symptoms.
In first-episode psychosis. This might be caused by a release or a dysfunction of the NMDA receptors resulting in a reduced concentration of GABA or dysfunctional GABA-mediated inhibition plays a substantial role in the underlying mechanisms of gamma oscillations. GABA-mediated inhibition results in decreased gamma oscillations and cognitive impairment, for a review see. Recent studies in rodents point to a critical functional role of NMDA receptors located on fast-spiking parvalbumin positive, GABAergic interneurons in the generation of cortical gamma oscillations, suggesting that the ASSR may be used as biomarker for cortical NMDA function.

The ASSR is reduced in schizophrenia as well as in first-episode psychosis. This might be caused by a reduced concentration of GABA or dysfunctional GABA release or a dysfunction of the NMDA receptors controlling the fast-spiking GABAergic interneurons. In addition, electrophysiological findings have indicated that evoked gamma power increases during childhood and adolescence. It is thus believed that neural synchrony continues to develop until early adulthood, which might be linked to a gradual developmental switch in expression of the gamma-1 relative to the gamma-2 subunit of the GABA receptors, causing a more rapid and precise inhibition of pyramidal target cells. It therefore remains to be clarified, whether the abnormal ASSR in 22q11.2 deletion carriers is caused by a GABA-related or NMDA receptor related dysfunction or both.

Although we speculate that the present finding of a reduced ASSR in 22q11.2 deletion carriers could be due to a reduced level of GABA as observed in schizophrenia, 22q11.2DS results in a wide range of neurobiological abnormalities. Other neurobiological sequelae, such as hearing loss, PRODH deletion effects on glutamatergic transmission, and ZDHHC8 deletion effects on development of neural function, might also affect the ASSR in 22q11.2 deletion carriers. At variance with 2 previous reports, we found that the power and ITPC of ASSR decreased with age. However, the age range in the present study (12–25 years, with the majority being between 12 and 18 years) differs markedly from the age ranges in previous reports. In one study, 3 groups were tested at an age of 10, 11.5, and 19–45 years. In a MEG study on the age effect on ASSR, age distribution was large, ranging from 5 to 52 years. Since our statistical analysis revealed group differences in ITPC and power in a model that controlled for the effect of age, the observed abnormality in ASSR in deletion carriers cannot be attributed to the age of our participants. Since it can be expected that a proportion of 22q11.2 carriers will develop schizophrenia at a later time in life, repeated measurements of ASSR in the same subjects during adolescence and early adulthood might provide more fine-grained insights into age-related developmental trajectories of ASSR abnormalities and their relation to the clinical manifestation of schizophrenia.

The reduction of ITPC in 22q11.2 deletion carriers was observed across the 2 conditions; regular and irregular. However, the reduction of power was observed only in the regular condition. This is an interesting finding, showing that even transient gamma responses during irregular broad-band gamma stimulation are less efficiently aligned in deletion carriers. ITPC correlated negatively with the negative symptoms of the 22q11.2 deletion carriers. At variance with 2 previous reports, we found that the power and ITPC of ASSR decreased with age. However, the age range in the present study (12–25 years, with the majority being between 12 and 18 years) differs markedly from the age ranges in previous reports. In one study, 3 groups were tested at an age of 10, 11.5, and 19–45 years. In a MEG study on the age effect on ASSR, age distribution was large, ranging from 5 to 52 years. Since our statistical analysis revealed group differences in ITPC and power in a model that controlled for the effect of age, the observed abnormality in ASSR in deletion carriers cannot be attributed to the age of our participants. Since it can be expected that a proportion of 22q11.2 carriers will develop schizophrenia at a later time in life, repeated measurements of ASSR in the same subjects during adolescence and early adulthood might provide more fine-grained insights into age-related developmental trajectories of ASSR abnormalities and their relation to the clinical manifestation of schizophrenia.

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understanding the neurophysiological characteristics of schizophrenia. Further, the observed results in a non-psychotic high risk group indicate that dysfunctional gamma responses from an auditory steady state gamma paradigm could potentially serve as an early risk marker for schizophrenia if confirmed by longitudinal studies. Reliable functional risk markers that can support early diagnostics may assist clinical decision-making for targeted therapy. This is relevant because recent results found a positive effect of early treatment on clinical and function status.\textsuperscript{62,63}

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