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Localized plasmons in bilayer graphene nanodisks

Weihua Wang, † Sanshui Xiao, † and N. Asger Mortensen ‡

DTU Fotonik, Department of Photonics Engineering, and Center for Nanostructured Graphene (CNG), Technical University of Denmark, DK-2800 Kongens Lyngby, Denmark

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We study localized plasmonic excitations in bilayer graphene (BLG) nanodisks, comparing AA-stacked and AB-stacked BLG and contrasting the results to the case of two monolayers without electronic hybridization. The electrodynamic response of the BLG electron gas is described in terms of a spatially homogeneous surface conductivity, and an efficient alternative two-dimensional electrostatic approach is employed to carry out all the numerical calculations of plasmon resonances. Due to unique electronic band structures, the resonance frequency of the traditional dipolar plasmonic mode in the AA-stacked BLG nanodisk is roughly doping independent in the low-doping regime, while the mode is highly damped as the Fermi level approaches the interlayer hopping energy \( \gamma \) associated with tunneling of electrons between the two layers. In addition to the traditional dipolar mode, we find that the AB-stacked BLG nanodisk also hosts a new plasmonic mode with energy larger than \( \gamma \). This mode can be tuned by either the doping level or structural size, and, furthermore, this mode can dominate the plasmonic response for realistic structural conditions.

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I. INTRODUCTION

Graphene, a flat two-dimensional (2D) crystal, is made of a single layer of carbon atoms arranged in a honeycomb lattice with planar \( sp^2 \) hybridized orbitals. The remaining out-of-plane \( p_z \) orbitals form delocalized \( \pi \) bands. Unlike two-dimensional electron gases (2DEGs) in traditional semiconductors, the quasiparticles in graphene \( \pi \) bands act as gapless Dirac fermions with high carrier mobility [1,2], leading to a number of unique optical properties, such as a universal 2.3% linear light absorption in the visible regime [3]. In the infrared regime, the optical properties of doped graphene are largely determined by the collective excitations of \( \pi \) electrons (plasmons), which exhibit distinct features in doped graphene, including long propagation length, extreme mode confinement, and field enhancement. In particular, plasmon resonance frequencies are tunable through chemical doping or electrostatic gating [4–8]. The so-called graphene plasmons have already been demonstrated to facilitate quite diverse light-matter interaction phenomena, such as modified emitter-radiation dynamics [9], wave propagation [10], energy absorption [11,12], and possibly also plasmomechanics [13,14].

This opens up a new avenue towards future plasmonic applications [15], and will stimulate a broad exploration of plasmonics in 2D systems [16], in which bilayer graphene is one exciting example [17–22].

Bilayer graphene (BLG) is composed of two layers of graphene stacked under certain sequences, such as AA stacking and AB stacking (see Fig. 1). The AA-stacked BLGs are exactly aligned, and while some theoretical models predict them to be structurally unstable due to shear layer shift [23], they have recently nevertheless been produced from thermally treated graphite [24]. Because of the predicted instability, the AA-stacked BLGs have been less intensively studied so far. However, the AA-stacked BLGs are interesting in their own right, for instance, being metallic even at zero doping with two conducting bands crossing at zero energy [19,23]. Differing from the AA-stacked BLGs, the AB-stacked BLGs can be thought of as twisted bilayers with respect to AA-stacked BLGs by rotating 60° with respect to the axis of sublattice AA in each unit cell [25], or very straightforwardly, by shifting one of the layers by a vector \((\sqrt{3},1)\alpha/2\), where \( \alpha \approx 0.14 \text{ nm} \) is the carbon-carbon bond length. The AB-stacked BLGs are most commonly studied [26,27] and have potential applications in electronic and optoelectronic devices owing to the tunability of the band gap [22,28].

From the tight-binding point of view, the unique electronic properties of BLGs are determined by the interlayer hopping energy \( t \) and the interlayer hopping energy \( \gamma \) of \( p_z \) orbitals [29]. Since the interlayer distance \( d \approx 3.6 \text{ nm} \) is 2.5 times larger than the carbon-carbon bond length \( a \), \( \gamma \) is naturally much smaller than \( t \). As such, the interlayer coupling is mainly perturbing the Dirac dispersion properties associated with the monolayer graphene (characterized by a fixed \( t \)), while the interlayer coupling \( \gamma \) varies slightly for the different bilayers.

Usually \( \gamma \) is a little smaller in AA-stacked BLGs than in AB-stacked BLGs, which is also one of the reasons why AB-stacked BLGs are more stable. In the continuum limit we consider the momentum in the vicinity of the \( K \) point of the Brillouin zone (where the Dirac equation captures the dynamics of the \( \pi \) electrons [1]), and the interlayer coupling leads to four low energy electronic bands. As for monolayer graphene, also here the energy levels and associated wave functions form the starting point for calculations of optical excitations based on linear response theory [30,31], from which the macroscopic surface conductivity is derived—a quantity that forms a starting point for subsequent explorations of optical properties [19,32]. In this way, plasmons in monolayer graphene have been widely explored [7,8]. However, plasmon phenomena in BLG nanostructures are still far to
be investigated. Clearly, ideal infinite BLG sheets are already interesting [19,21,33], while finite and artificially structured flakes constitute an unexplored territory.

In this paper we investigate the localized plasmon excitations in AA-stacked BLG, AB-stacked BLG, and also double monolayer graphene (MLG) nanodisks as a comparison. We adopt here the double MLG being similar to BLG shown in Fig. 1(a), but without tunneling between layers. The light-matter interactions are treated at the macroscopic level, where graphene is characterized by a homogeneous surface conductivity. As is common practice [7,8], we employ the conductivities of infinite graphene sheets derived from the framework of the random-phase approximation (RPA) [30,31,34], where the quantum nature of electrons and nonlocal effects in finite systems are ignored as we focus on the structures with feature sizes of tens of nanometers [35–38]. Likewise, substrate phonons and nonlinear effects are ignored as well [39,40]. In practice, the surface conductivities are taken to be frequency-dependent functions, including the contributions from both intraband and interband transitions, and the temperature is set to zero. An efficient numerical approach employing a two-dimensional finite-element method was developed in our previous work [41], and here it is employed with bilayer conductivity expressions to perform all the calculations. We report both optical absorption spectra of the three structures and the extracted band diagrams, which illustrate the different plasmonic behaviors as a function of the doping level, where graphene is characterized by a homogeneous surface conductivity.

The optical response of graphene is dominated by the single-particle transitions among low energy bands (\(\pi\) and \(\pi^*\) bands), as indicated by the vertical arrows in Fig. 2. In AA-stacked BLGs there are two Dirac cones crossing at \(\epsilon = 0\), each being shifted up/down in energy by \(\gamma\). As a consequence of the symmetry requirement, the single-particle transitions between different Dirac cones in AA-stacked BLGs are prohibited [19], and thus the surface conductivity is composed from the two independent cones. Thus, the surface conductivities of AA-stacked BLGs remain relatively simple. For instance, if the surface conductivities of MLGs are \(\sigma(\omega, |\epsilon_F|)\) as demonstrated recently [30,31,42], then the surface conductivities of the AA-stacked BLGs will be proportional to \(\sigma(\omega, |\epsilon_F + \gamma|) + \sigma(\omega, |\epsilon_F - \gamma|)\). As an example, for AA-stacked bilayers the
The two positive energy branches is for interlayer tunneling of electrons. The band gap between graphene. This is due to the small, yet finite, probability plasmon excitations. Furthermore, the dispersion relations that there are no free carriers that can contribute to intraband transitions. Including interband transitions as discussed below, we return to a doping-dependent expression and as a result both the resonance frequency and the resonance linewidth depend on doping.

The surface conductivity of AB-stacked BLGs can be derived by using a similar approach as employed for MLGs, but additional single-particle transitions should be included in the calculations. For simplicity, the infrared active phonon at 0.2 eV, intensively studied by Low et al. [21], is not included in our studies. Figure 2 illustrates additional allowed transitions between the different band branches. We notice that there are two branches touching at the charge-neutrality point, so that there are no free carriers that can contribute to intraband plasmon excitations. Furthermore, the dispersion relations deviate from the linear relationship commonly associated with graphene. This is due to the small, yet finite, probability for interlayer tunneling of electrons. The band gap between the two positive energy branches is $\gamma$. Thus if $|\epsilon_F| > \gamma$, the upper branch will offer new intraband transitions (see the lower, rightmost panel in Fig. 2), leading to a new intraband plasmon mode at higher energy. The surface conductivity of the AB-stacked BLGs should include these new intraband transitions, and fortunately their analytical expressions have already been obtained [17, 32, 44]. Here, we reproduce the expressions given by Eqs. (4)–(6) in Ref. [33].

\[
\text{Im}[\sigma_{AB}(\omega)] = \frac{e^2}{2\pi \hbar} \left( f(\hbar \omega, 2\epsilon_F) + g(\hbar \omega, \epsilon_F, \gamma) + h_{\text{AA}}(\hbar \omega, \epsilon_F, \gamma) + \Theta(\gamma - \epsilon_F) [f(\hbar \omega, 2\gamma) + f(\hbar \omega, \gamma)(\gamma/\hbar \omega)^2 + g(\hbar \omega, \gamma, -\gamma) + (\gamma/\hbar \omega)] + f(\hbar \omega, 2\epsilon_F - \gamma) + g(\hbar \omega, \epsilon_F, -\gamma) + h_{\text{AA}}(\hbar \omega, \epsilon_F, \gamma) \right),
\]

where $\Theta(\cdots)$ is the Heaviside function, while the other dimensionless functions are given by

\[
f(x, y) = \frac{1}{2} \ln \left| \frac{x - y}{x + y} \right|,
\]

\[
g(x, y, z) = \frac{z}{2(x^2 - z^2)} \left[ x \ln \left| \frac{x^2 - 4y^2}{2y + z} \right| + z \ln \left| \frac{x + 2y}{x - 2y} \right| \right],
\]

\[
h_{\pm}(x, y, z) = \frac{2y \pm z}{x} \pm \frac{xz}{x^2 - z^2} \ln \frac{2y \pm z}{z}.
\]

Although this expression appears complex, numerical implementations are straightforward, and the corresponding real part of the conductivity $\text{Re}[\sigma_{AB}(\omega)]$ can be obtained through the Kramers-Kronig relation.

### III. Numerical Method

Using the surface conductivities of BLGs, we now calculate the localized plasmon resonances associated with finite BLG flakes. In MLG structures, it has been common practice to model the 2D graphene sheet as a very thin three-dimensional (3D) film with the artificial thickness $t_g$ associated with the effective bulk permittivity through $\varepsilon(\omega) = \varepsilon_0 + i\sigma(\omega)/\omega\varepsilon_0$ [45]. While this approach is intuitive for implementation in existing 3D electrodynamic solvers, it requires tremendous computational resources in terms of memory and time. However, such an approach would numerically become even more challenging in BLGs because the artificial thickness $t_g$ should be chosen to be much smaller than the layer separation of 0.36 nm. Instead, we follow our previous work [41], where all evaluations are restricted to the conducting plane (assumed infinitely thin), where the potential $\phi(r)$ and the charge density $\rho(r)$ are related by

\[
\phi(r) = \phi_{\text{ext}}(r) + \frac{1}{4\pi \varepsilon_0} \int_{\text{2D}} d' \rho(r')/|r - r'|,
\]

\[
\rho(r) = i\sigma(\omega) \nabla_{\text{2D}}^2 \phi(r).
\]

Equations (3a) and (3b) are self-consistent equations, where $\int_{\text{2D}}$ and $\nabla_{\text{2D}}^2$ are two-dimensional integral and Laplace operators, respectively, $\varepsilon_0$ denotes the average dielectric constant of the surrounding medium, and $\phi_{\text{ext}}(r)$ is the external potential. Setting $\phi_{\text{ext}}(r) = 0$, Eqs. (3a) and (3b) can be cast into an eigenvalue problem, and the eigenvalues and eigenvectors obtained represent the frequencies and induced charge densities of the plasmonic eigenmodes [41]. There are some obvious advantages of such a method. First, all modes are obtained at once. Furthermore, the calculation provides us with both bright and dark modes. In this paper, we focus on dipolar plasmonic modes, by investigating the absorption spectra under a plane-wave illumination. The BLG nanodisks lie in the $xy$ plane at $z = 0$, and the plane wave $E_{\text{ext}} e^{i\omega_0 z - \omega_0 k z}$ is incident normally, where $k_0 = \omega/c$, the wave vector in vacuum. Based on Eqs. (3a) and (3b), one can calculate the induced charge density $\rho(r)$ for the external excitations $\phi_{\text{ext}}(r) = -x E_{\text{ext}}$ (please refer to Ref. [41] for more details of the numerical procedure), and then the dipole polarizabilities $\alpha(\omega) = \int_{\text{2D}} \chi \rho(r) d\mathbf{r}/E_{\text{ext}}$. The normalized absorption coefficient is given by

\[
\sigma_{\text{abs}} = \frac{k_0}{\varepsilon_0 S} \text{Im}[\alpha(\omega)],
\]

which is the absorption cross section normalized by the surface area $S$. For simplicity, but without loss of generality, we choose $\varepsilon_1 = \varepsilon_0$ (structures embedded in vacuum) and for the relaxation loss we use $\hbar t^{-1} = 6$ meV throughout this work. In order to enable faster convergence of the calculations, the triangular meshes in both layers have been made to be exactly identical. Technically, we create triangular meshes in one layer.
and then copy all the meshes to the second layer. Due to the symmetry, a high accuracy can be achieved using a relatively lower mesh density, for example, 3000 triangles in each layer for disks of radius $R = 50$ nm.

### IV. RESULTS AND DISCUSSIONS

In Fig. 3, we show the absorption coefficient $\sigma_{\text{abs}}$ for nanodisks with stacking of the three kinds considered in Fig. 2. In double MLG nanodisks, the plasmonic frequency increases gradually as we increase the Fermi levels. Because of a very tiny separation between layers, there are very strong electromagnetic interactions despite no electronic coupling. A clear evidence is the plasmonic frequency shift relative to MLG nanodisks. Taking a Fermi level $\epsilon_F = 0.5$ eV and $R = 50$ nm as an example, the dipolar plasmonic mode $\omega_p = 0.173$ eV in MLG nanodisks and $\omega_p = 0.241$ eV in double MLG nanodisks. While we focus our attention on bright plasmonic modes, we note that for dark plasmonic modes (dipoles in the two layers aligned antiparallel) can be easily be studied using the same plasmonic eigenmode approach [41]. As has been pointed out previously [46], the interband transitions will decrease the plasmonic frequency $\omega_p$, and the contribution from interband transitions will be larger for a larger $\omega_p$ at a given Fermi level. Thus, in double MLGs the deviation from the square root is more apparent.

Another very interesting phenomenon is the variation of plasmonic frequency in AA-stacked BLG nanodisks. It can be seen in Fig. 3(b) that there is a well-defined plasmonic peak even when $\epsilon_F = 0$ and that it almost coincides with the plasmonic peak when the doping is changed to $\epsilon_F = 0.1$ eV (see the blue line). This is a unique property of AA-stacked BLGs, while both double MLGs and AB-stacked BLGs do not host plasmonic resonances at zero doping. Moreover, while the doping level is relatively small, for instance, much smaller than $\gamma = 0.4$ eV, the plasmonic frequency hardly changes with doping. At small doping levels, the intraband transitions dominate and as a result the surface conductivity exhibits a Drude-like behavior. In numerical calculations, we show this behavior persists until $\epsilon_F \gtrsim 0.1$ eV. As discussed above, the larger plasmonic frequency will also lead to a larger interband contribution, so it is clear that this behavior will eventually break down as we turn to smaller nanodisks. By increasing the doping levels beyond 0.1 eV, the plasmonic mode exhibits a distinct frequency redshift along with a broadening of the peak. In Fig. 2, it is indicated that due to different band fillings, the two Dirac cones will not contribute equally to the interband transitions, and when the Fermi level approaches the Dirac point of the upper cone, the upper cone plays a major role. Thereby, both the frequency shift and peak broadening are determined by the upper cone. Especially when $\epsilon_F = \gamma$, the upper cone will only have interband transitions, which leads to very large damping in the plasmonic resonances. However, based on this point of view, it is difficult to understand the different dampings at $\epsilon_F = 0.3$ eV and $\epsilon_F = 0.5$ eV since they are completely symmetrical relative to the Dirac point. Again, this originates from the larger plasmonic frequency at $\epsilon_F = 0.5$ eV. By increasing the doping level further, the plasmonic frequency increases gradually, which is similar to that observed in double MLG nanodisks.

In AB-stacked BLG nanodisks, there are no free carriers to support a plasmonic resonance in the absence of doping. With a finite doping, a pronounced plasmonic peak shows up and, similar to the case for double MLG nanodisks, its frequency increases with the increasing of the Fermi level. However, different from double MLG and AA-stacked BLG nanodisks, an extra plasmonic mode appears at a higher energy, typically when its frequency $\omega_p > \gamma$. Turning to Fig. 2, the upper-branch plasmonic mode in AB-stacked structures (lower, rightmost panel) arises from the intraband transitions involving the highest branch in the electronic band structure. In Fig. 3(c), we notice that at a low Fermi level ($\epsilon_F < \gamma = 0.4$ eV), the traditional dipole resonance dominates in the absorption spectra. However, the intensity of the upper-branch mode increases dramatically at higher Fermi levels ($\epsilon_F > \gamma$). In our calculations shown in Fig. 3(c), the onset of the upper-branch plasmon mode is clearly seen in the $\epsilon_F = 0.5$ eV curve (shown in magenta), where the resonance appears at a photon energy of $\hbar \omega \approx 270$ meV. The strength of the upper-branch plasmon mode is comparable to the intensity of the traditional one when $\epsilon_F > \gamma$, and the strength can even be larger when increasing the doping to $\epsilon_F = 0.7$ and 0.8 eV. In fact, the frequency can be elevated further, for example, by applying a bias voltage to open a gap at $\epsilon = 0$. In addition to modulating the Fermi levels and band structures, there is also the possibility for geometrical size tuning of the resonance. While it is a commonly used method to shift spectra, we note that it can also alter the superposition of eigenstates to the excited. We will discuss this
in more detail below. The peculiar property of the upper-branch mode could be used to extend the plasmonic frequency region in graphene-based plasmonic devices.

As discussed above, plasmonic frequencies in graphene nanostructures depend on the structural size, and this holds even within the electrostatic approximation, which is different from the physics of dipole resonances in three-dimensional metal particles [47]. In metals, the electrostatic approximation will lead to a constant plasmonic frequency for the same geometrical structure, but with different sizes. However, in graphene, plasmonic frequencies show a typical dependence on the size, \( \omega_p \propto \sqrt{R^{-1}} \), which can be easily explored in nanodisks [48]. In two-dimensional disks, the whispering-gallery modes traveling along the perimeter follow a simple relationship

\[
n\lambda_p = 2\pi R, \quad n = 1, 2, 3, \ldots, \quad (5)
\]

where \( n \) is the number of standing waves and \( \lambda_p \) is the plasmonic wavelength. While there might be additional phase shifts contributing to the round-trip phase of the whispering-gallery modes, we have for simplicity neglected such effects. For the anomalous reflection phase occurring at the graphene edges we refer to Ref. [49]. From this equation, we see that the wave vector is

\[
k_p = 2\pi \lambda_p^{-1} = nR^{-1},
\]

where \( n = 1 \) for the dipolar plasmonic mode. Thus, one can reconstruct the dispersion band diagram using, e.g., resonance energies for the dipolar plasmonic mode in differently sized disks (for use in analyzing experiments, see, e.g., Ref. [39]). In practice, we calculate a set of absorption coefficients for the nanodisks with varying radius, and then organize all the data to create an absorption-intensity color map.

Figure 4 illustrates the plasmonic dispersion band diagrams. One can see that for different sizes, plasmonic modes in double MLG nanodisks is always well defined in the calculated regime, but by increasing the wave vector (corresponding to reduce the radius), the intensity decreases slightly. However, the behavior is qualitatively different in AA-stacked BLG nanodisks. As the wave vector gets larger than 0.2 \( \times 10^8 \text{ m}^{-1} \) (equivalent to the radius being smaller than 50 nm), the plasmonic mode is nearly damped out. The difference here can be understood in the following way. The interband transitions result in plasmonic damping, and the quantity depends on the ratio between the plasmonic frequency \( \omega_p \) and the Fermi energy \( 2\epsilon_F \). Because of this damping mechanism, the ratio increases with increasing the wave vector and is roughly up to 2/3 at \( k_p = 0.6 \times 10^8 \text{ m}^{-1} \). In double MLG nanodisks, the ratio increases with increasing the wave vector and is roughly up to 2/3 at \( k_p = 0.6 \times 10^8 \text{ m}^{-1} \). In AA-stacked BLG nanodisks when \( \epsilon_F = 0.3 \text{ eV} \), the upper Dirac cone (see Fig. 2) will have an effective Fermi level

\[|\gamma - \epsilon_F| = 0.1 \text{ eV},\]

and thereby the ratio could be larger than 1, where the damping from interband transitions is strong enough to disrupt the coherence of the collective excitations. This is the mechanism where the plasmons fade out when \( k_p > 0.2 \times 10^8 \text{ m}^{-1} \). The damping mechanism in AB-stacked BLG nanodisks is quite different. When \( \epsilon_F < \gamma \), it is easy to find that (see Fig. 2) there is a new damping path from the interband transition between the two upper band branches. The strength of this damping is determined by a new ratio \( \omega_p/\gamma \), and it dominates when \( \gamma < 2\epsilon_F \). This can be used to explain the larger loss in AB-stacked BLG nanodisks than in double MLG nanodisks at \( \epsilon_F = 0.3 \text{ eV} \). Apart from the damping route, there is a new plasmonic dispersion band above the energy \( \gamma \) [see Fig. 4(c)], but it is too weak. To make use of this new mode, one needs to enhance the strength of its resonance. As discussed above, increasing the doping level is a possible way, where this mode will dominate when \( \epsilon_F \geq 0.8 \text{ eV} \). This doping level probably is too high to be reached. As we know, the intraband transitions are required for the collective plasmonic excitations. Thus it is very natural to aim for a Fermi energy \( \epsilon_F \geq \gamma \), where the upper branch in the band structure is populated. As shown in Fig. 4(d) at an experimentally accessible Fermi energy \( \epsilon_F = 0.5 \text{ eV} \), the new upper-branch plasmonic mode can be enhanced by reducing the radius of the nanodisks. In reality, one should balance the two aspects to optimize the performance.

To conclude, we have studied the plasmonic properties in AA-stacked BLG nanodisks and AB-stacked BLG nanodisks. We have found that their plasmonic excitations show qualitatively different behavior. In AA-stacked BLG nanodisks, there is a well-defined plasmonic resonance even without doping, and the plasmonic frequency does not change at small doping levels. However, as the doping approaches the energy \( \gamma \), there is a very strong damping through interband transitions. This effect would be much stronger at smaller nanodisks where the plasmonic frequency is quite large. In AB-stacked BLG nanodisks, a new plasmonic mode will emerge at an energy larger than \( \gamma \), and the strength of the mode can be tuned by either the doping level or the structural size. Comparing to the traditional plasmonic mode, this mode can dominate the absorption spectrum when increasing the doping level and shrinking the structural size. Here, we focused on single disks, but graphene dimers [41,50,51] naturally constitute another interesting direction for bilayer graphene plasmonics.
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