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Published in:
P L o S One

Link to article, DOI:
10.1371/journal.pone.0105053

Publication date:
2014

Document Version
Publisher's PDF, also known as Version of record

Link back to DTU Orbit

Citation (APA):
The Application of New Molecular Methods in the Investigation of a Waterborne Outbreak of Norovirus in Denmark, 2012

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Abstract

In December 2012, an outbreak of acute gastrointestinal illness occurred in a geographical distinct area in Denmark covering 368 households. A combined microbiological, epidemiological and environmental investigation was initiated to understand the outbreak magnitude, pathogen(s) and vehicle in order to control the outbreak. Norovirus GI.4 New Orleans 2009 variant was detected in 15 of 17 individual stool samples from 14 households. Norovirus genomic material from water samples was detected and quantified and sequencing of longer parts of the viral capsid region (>1000 nt) were applied to patient and water samples. All five purposely selected water samples tested positive for norovirus GI in levels up to 1.8 × 10⁴ genomic units per 200 ml. Identical norovirus sequences were found in all 5 sequenced stool samples and 1 sequenced water sample, a second sequenced water sample showed 1 nt (<0.1%) difference. In a cohort study, including 256 participants, cases were defined as residents of the area experiencing diarrhea or vomiting onset on 12–13 December 2012. We found an attack rate of 51%. Being a case was associated with drinking tap-water on 12–13 December (relative risk = 6.0, 95%CI: 1.6–22) and a dose-response relation for the mean glasses of tap-water consumed was observed. Environmental investigations suggested contamination from a sewage pipe to the drinking water due to fall in pressure during water supply system renovations. The combined microbiological, epidemiological and environmental investigations strongly indicates the outbreak was caused by norovirus contamination of the water supply system.

Introduction

Noroviruses (NoV) are considered to be the major cause of gastroenteritis outbreaks and an important cause of sporadic gastroenteritis in both children and adults [1]. In the last decade, NoV genotype II.4 (GI.4) has emerged as the predominant genotype worldwide responsible for the majority of outbreaks and sporadic cases of NoV gastroenteritis [2–5]. NoV transmission occurs fecal-orally as viral particles are shed in human stool and vomit [6], via contaminated food or water or via person-to-person contact [7]. In a suspected outbreak situation, molecular typing of NoV can provide information on a suspected common source of infection. The molecular typing is performed through genotype assignment and comparisons of polymerase and capsid gene sequences of isolates from infected persons [8,9]. More recently, comparisons of the hypervariable P2 region of NoV has shown to be an efficient tool for molecular epidemiological investigations of NoV transmission [10,11].

NoV outbreaks are the most common foodborne outbreaks in Denmark, especially during the winter season where outbreaks have been linked to consumption of various food-items [12]. Waterborne outbreaks are rare in Denmark, with only 5 waterborne outbreaks reported since 1991 [13–16] only one of which included NoV. This could be due to the fact that tap-water in Denmark mainly comes from groundwater sources [17], which are rarely contaminated. Although epidemiological evidence can identify water as the outbreak vehicle, it can be difficult to prove contamination by detection of virus in the water [18,19]. This can be partly attributed to the low viral content of water, which demands efficient purification of viral nucleic acids. Successful determination of NoV commonly requires analysis of large quantities of water. However, a recent waterborne outbreak
investigation in China reported finding NoV nucleic acids in well-water samples, using approximately 1 liter water samples [20].

**Outbreak Notification**

On 13 December 2012, the water supply company and local health authorities in Kalundborg, a provincial town on Zealand, Denmark, received notifications of gastrointestinal illness among 1) residents in an apartment building and 2) children in a daycare, respectively. Further, on 14 and 15 December, the local medical on-call service and acute medical number received an increased number of calls of gastrointestinal illnesses. This was reported to the local health authorities. On 15 December, laboratory results of water samples taken from the affected apartment building by the water company showed increased indicator bacteria (*Escherichia coli* and coliforms). This was reported to the municipality on the same day. The different lines of information were linked and suggested a possible waterborne outbreak. An outbreak investigation was initiated by the municipality and the first control measures were put in place on 15 December. Statens Serum Institut (SSI) was invited to support the investigation on 17 December. The outbreak team consisted of representatives from Kalundborg municipality, the water supply company, the local health authority, the local food and veterinary authorities, local police and fire departments and SSI.

We conducted microbiological, epidemiological and environmental investigations in the affected area in order to confirm the outbreak, understand its scale, find the source of the contamination, to identify the causative agent and inform public health actions.

**Materials and Methods**

**Ethics statement**

This study was conducted as an outbreak investigation for which, according to Danish regulations, ethical clearance by the National Committee on Health Research Ethics is not needed; neither for the questionnaire survey nor the collection of fecal material for analysis. However, for the latter, detailed information about the study was given as part of the face-to-face interview and informed consent obtained verbally. Personal data information was saved on a secure server and was only accessible to investigators. The investigation was approved by the Danish Data Protection Agency (record number 2008-54-0474).

**Microbiological investigation of patient and environmental samples**

On 17 December 2012, we provided 23 persons with gastrointestinal symptoms from 15 conveniently selected households in the affected area with stool collection packages consisting of a stool container with a spoon, a questionnaire regarding symptoms (onset and duration), a laboratory request form and a pre-stamped return envelope. In addition, stool samples from two other residents living in the affected area, (sampling date 17 December 2014) were located among all samples submitted to the regional clinical microbiological laboratory in December 2013. Samples returned to SSI were tested for a range of virus, bacteria and parasites from stool samples positive for sapovirus, astrovirus or rotavirus (5–50 genomic units) or RNA extracts of cell culture grown mengovirus or stool samples positive for sapovirus, astrovirus or rotavirus (5–50 RT-qPCR units of the respective viral genomes), were included in the appropriate assays to act as positive amplification controls, and water was used as negative amplification control. RT-qPCR fluorescence signals resulting in sigmoid curves crossing the threshold line (set to 0.1) above the background signals of non-virus control samples were considered true positives. Detected NoV GI and GII were quantified by interpolation of Ct values to standard curves derived from 5 to 5000 transcripts of GI.3b and GII.1 [40] and the figures were subsequently adjusted for volume changes (uncorrected figures). If the Ct value was outside the linear range of the standard curve, the amount of detected genome units was defined as below theoretical limit of quantification (<tLOQ). The theoretical limit of detection and quantification (100%...
recovery and amplification efficiency) of the method was determined at 20 or 100 genomic units, respectively, of NoV per 200 ml. The calculated extraction efficiency of viral nucleic acid obtained for each sample was applied to adjust the uncorrected genomic units/200 ml water obtained above (corrected figures).

Epidemiological investigation

We conducted a retrospective cohort study including residents of the affected water distribution area. Structured questionnaires (a structured questionnaire consisted of a set of one household and seven identical individual questionnaires - one individual questionnaire was filled by each household member) and pre-stamped return envelopes were placed in household mailboxes on 19 December 2012.

We defined a case as a resident of the affected area with onset of diarrhea or vomiting on 12–14 December 2012, as water contamination occurred between 11 and 12 December. Residents not reporting any information on illness status, symptoms or date of onset and residents who had traveled on 11 to 15 December 2012 or who had onset of gastrointestinal symptoms before 12 December were excluded from the analysis. Residents reporting symptom onset after 14 December were excluded from relative risk calculations due to the possibility of being secondary cases.

Cases were described by demographic characteristics, duration and date of disease onset, incubation time, doctor consultation, hospitalization and tap-water consumption.

Water exposure was defined as tap-water consumption on 12–13 December. Daily average tap-water consumption was calculated where information on daily tap-water consumption for at least one of the two days was complete. When information was missing for one day, the daily intake was set to 0.

Attack rates (AR), relative risks (RR) and 95% confidence interval (95% CI) for tap-water consumption were calculated. A Poisson regression with over-dispersion for linear trend for dose-response relation was calculated. Data entry was done using Epidata version 2.0 (EpiData Association, Denmark). Data analysis was performed using Stata 12 (Stata Corp., USA) and Excel 2010 (Microsoft Corporation, USA).

Environmental investigations

The tap-water supply system was assessed using camera investigations and water flow simulations, to identify water pipelines breakages and possible points of water contamination.

Results

Microbiological investigation of human stool samples

Of the 23 distributed stool-sample packages, 17 were returned by members of 14 households. NoV GII was detected in 16 out of 17 (94%) stool samples. VTEC O146 was identified in two NoV positive samples; both from one household. Samples from two additional patients living in the affected area were identified as NoV-positive at the regional clinical microbiological laboratory.

Initial typing of the patient NoV isolates identified the NoV GII.4 New Orleans 2009 variant in all 15 typed patient samples; 6 based on both polymerase and capsid sequences and 9 based on polymerase sequences only (data not shown).

Microbiological investigation of water samples

The first water sample taken within the affected area on 14 December 2013 proved positive for E. coli and coliforms with counts of 130 CFU/100 ml E. coli and 50 CFU/100 ml coliforms (Table 1), while water samples outside the affected area remained

<table>
<thead>
<tr>
<th>Water sample</th>
<th>Sampling Date</th>
<th>E. coli CFU/100 ml</th>
<th>Coliforms CFU/100 ml</th>
<th>NoV GII genomic units/200 ml</th>
</tr>
</thead>
<tbody>
<tr>
<td>W1</td>
<td>14-12-2012</td>
<td>1</td>
<td>10</td>
<td>5.3 x 10^3</td>
</tr>
<tr>
<td>W2</td>
<td>14-12-2012</td>
<td>1</td>
<td>50</td>
<td>8.3 x 10^2</td>
</tr>
<tr>
<td>W3</td>
<td>16-12-2012</td>
<td>1</td>
<td>2.2 x 10^2</td>
<td>8.1 x 10^3</td>
</tr>
<tr>
<td>W4</td>
<td>16-12-2012</td>
<td>1</td>
<td>&lt;10^3</td>
<td>3.7 x 10^2</td>
</tr>
<tr>
<td>W5</td>
<td>17-12-2012</td>
<td>1</td>
<td>&gt;10^3</td>
<td>1.8 x 10^4</td>
</tr>
</tbody>
</table>

aEstimations are figured with or without applying corrective factors derived by extraction efficiencies.

bBelow theoretical limit of quantification estimated at 100 genomic units/200 ml.
negative. At least one daily water sample remained positive for indicator bacteria until 21 January 2013.

Five water samples, W1–W5, were selected for viral analyses. Selection was based on date of collection as close to the initial report of gastrointestinal illness and testing positive for E. coli and coliforms. The selected water samples were collected on 14, 16 and 17 December (Table 1). NoV GII was detected in the undiluted RNA extracts from all five water samples. Additionally, for sample W1–W3 and W5 NoV GII could also be detected in the respective 10-fold diluted RNA extracts. Estimated levels of NoV GII fell in the range from 2.2×10^9 genome units per 200 ml of water (Table 1, uncorrected figures). Neither NoV GI, sapovirus, astrovirus nor rotavirus could be detected in any of the water samples. We did not test the extracts directly for assay inhibition during detection, as the limited amount of RNA that could be extracted from the water samples was prioritized for the testing of the mentioned viruses and to sequence the detected NoV GII. By comparing the levels of detected NoV GII as well as the recovered mengovirus in undiluted and 10-fold diluted extracts, we found up to 1 log assay inhibition in the neat extracts. To more precisely estimate the levels of NoV GII, we therefore used Ct values obtained from 10-fold diluted extracts. The recovery of mengovirus as process control in five water extracts showed an average extraction efficiency of 26% (range 12 to 44%). Applying these factors to the uncorrected NoV GII levels (based on the RT-qPCR assay including volume changes), resulted in corrected amounts of genomeic units of NoV GII ranging from 2.5×10^8 to 1.8×10^9 per 200 ml (Table 1, corrected figures). Partial and/or full capsid sequencing on the water samples was successful for the two water samples (W1 and W3) containing the highest number of NoV genomeic units. NoV GII.4 variant New Orleans 2009 was identified in both samples. We were unable to detect and type E. coli in the water samples when tested at SSI.

Comparison of NoV capsid sequences from patients and water samples

Phylogenetic analysis of five capsid sequences from patient isolates originating from different households in this outbreak was compared to those of New Orleans 2009 and the Sydney 2012 variant [32]. This analysis showed clustering of sequences from all five human samples and also with the two water samples (Figure 1). All patient samples and one water sample (W1) were 100% identical, whereas W3 water sample differed by 1 nucleotide (out of the 1140 nt sequences shared between all patient and water samples, ~0.1%).

Epidemiological investigation

A structured questionnaire was sent to 368 households of which 154 responded (response rate: 41.8%). In total 276 individual questionnaires were received from the 154 households. We excluded 20 individuals from eighteen households based on the exclusion criteria.

Symptoms reported included nausea (61%), diarrhoea (54%), abdominal pain (54%), vomiting (52%), headache (46%), fever (31%) and bloody diarrhoea (2%). A total of 130 respondents fulfilled the case-definition (Attack Rate (AR) = 51%). Of the 146 households, 88 (60%) had at least one case. For cases, the median age was 58 years (range: 1–86 years) and 55% were female. The attack rate was 59% for both females and males. The median duration of illness for cases was three days and 78 (60%) cases had symptom onset on 13 December (Figure 2). No case was hospitalized, however, 24 (18%) reported consulting a doctor.

The median incubation time was 45 hours (range: 3–69) after the drop in water pressure in the night of 11–12 December.

The ARs for individuals drinking tap-water and individuals not drinking tap-water on 12–13 December were 66% and 11%, respectively, giving a relative risk (RR) of 6.0, 95%CI: 1.6 – 22 (Table 2).

The attack rate increased significantly with increasing consumption of tap water, from 37% for 0–1 mean glasses consumed to 72% for 3.5 or more mean glasses consumed on 12–13 December (Table 2) showing a dose-response relation for mean glasses of tap-water consumed (IRR = 1.07, 95%CI: 1.03 – 1.10 and p-value<0.001).

Environmental investigation

On 19 December, camera investigation identified a breakage of a tap-water pipeline at a location where a leaking sewage pipe was crossing in close proximity above it. The leakage could not be identified from above the ground. A decrease in pressure in the water pipes between 11–12 December could allow sewage water to enter the tap-water supply system (Figure 3).

Control measures

Valves in the tap-water supply system restricted the contamination to a limited distribution area. A recommendation to boil water before consumption was issued on 15 December. This was communicated via the websites of the municipality and water supply company, in newspapers, radio and television broadcasts, letters to all residents as well as text messages to all mobile phone owners in the affected area. Restaurants and child care institutions located in the contaminated area were closed following the alert. On December 16, due to the reports of a higher than usual number of calls on gastrointestinal symptoms to the medical acute numbers a recommendation not to use any tap-water (water-ban) was issued and the waterworks started to flush the water pipes in order to remove the contamination. Distribution of clean water was organized by the local fire department with three water trucks stationed in the contaminated area and delivering clean water to the residents, from 6am to 11pm every day (Figure 3). The water-ban was changed to a boiling recommendation on 23 December when E. coli was found in only four of 13 water samples and the E. coli count was 1 in three samples and 4 in one sample. Water samples were analysed daily until the levels of E. coli and coliforms were below detection for 2 days. The recommendation to boil water was lifted on 23 January 2013.

Discussion

We present the microbiological, epidemiological and environmental investigations of an outbreak of NoV-associated gastroenteritis in a geographic area covering 368 households. All investigations point to a one-time contamination of the drinking water supply; indistinguishable types of identical NoVs were detected in drinking water as well as in stool samples of residents with norovirus infection. This is, to our knowledge, the first report on a long (>1000 nt) NoV capsid sequence being obtained from an environmental sample and used to assess the sequence identity between NoV in patients and in the immediate environment. Detection of NoV in water samples is difficult, partly due to the large quantities of water (around 5 L) usually needed with previously described methods.
The simple method described here demonstrated that 200 ml was enough for efficient virus detection. NoV sequencing can effectively be used in outbreak investigations. Typing of the NoV P2 region has proven to be highly informative for molecular epidemiological investigations of NoV transmission, as not all genome regions give sufficient resolution to distinguish between certain GII.4 variants, especially in outbreak settings [42]. Analysis of NoV polymerase and/or capsid

Figure 1. Phylogenetic tree of NoV capsid gene sequences. Phylogenetic tree based on 11 nucleotide sequences of 1140 nt of the NoV capsid gene. All sequences are indicated by their GenBank Accession number. GenBank accession numbers used in this manuscript are from norovirus sequences from patient samples (KF798196, KF798197, KF798198 and KF798200) and water samples (KF798199 (W3) and KF798201 (W1)). The remaining norovirus sequences from patient samples used in the phylogenetic tree have been published previously [32]. Legend: Circles and triangles: New Orleans 2009 variants and Squares: Sydney 2012 variants, Circles: belonging to the outbreak, Triangles: not belonging to the outbreak described here. Open triangle and square are the reference sequences for the New Orleans 2009 and Sydney 2012 variants respectively. Open circles: sequences obtained from water samples (KF798201,W1) and KF798199,W3) and closed circles are the sequences obtained from patient stool samples.
doi:10.1371/journal.pone.0105053.g001

Figure 2. Date of onset and case status of individuals with gastrointestinal symptoms. Number of individuals in the cohort with gastrointestinal symptoms by date of symptom onset and case status (N = 187), 12–21 December 2012, Kalundborg, Denmark. Individuals not fulfilling the inclusion criteria were excluded from the cohort. Individuals with gastrointestinal symptoms, but not fulfilling the case definition are indicated as residents with gastrointestinal symptoms. Arrows indicate important events in the outbreak investigation.
doi:10.1371/journal.pone.0105053.g002
sequences to determine the genotype and designate a variant showed that all patient samples contained the NoV GII.4 New Orleans 2009 variant. For higher resolution and increased sensitivity, we sequenced 1140 nt of the capsid region (including the hypervariable P2 region) of five patient samples from separate households and two water samples using a recently described method [32]. Interestingly, 100% identical NoV sequences were found in all five patient samples, strongly indicating a common source of infection. A comparison to the NoV sequences from the tap water showed that the NoV sequence in one water sample was identical to that observed in the patient samples and another water sample showed 1 nt (<0.01%) difference, providing evidence that the source of the outbreak most likely was contamination of tap-water at one point in time. Additionally, NoV typing primers, capable of detecting several NoV genotypes only detected NoV GII.4, while outbreaks associated with contamination with sewage water can involve multiple strains of different genotypes or even multiple pathogens [28]. A recent review of a large number of NoV outbreaks showed that waterborne outbreaks are significantly associated with GI strains while GII strains tend to be associated with healthcare-related and winter outbreaks [43,44]. However,
waterborne outbreaks with GII.4 NoV have been reported before [20].

The NoV GII.4 New Orleans 2009 variant was the single most dominant NoV variant in Denmark during 2011 and until October 2012, but was being replaced towards the end of 2012 by the recently emerging 2012 Sydney variant [32]. The molecular analysis used in this study showed that typing and sequence comparison of larger parts of the NoV capsid gene can provide a strong molecular-epidemiological-link between infected persons and suspected environmental sources of infection, provided sufficiently clean extracts.

The water contamination with NoV was only detected after additional testing at a specialized laboratory. The method chosen to extract viruses from water was based on the limited volume (200 ml) of a single portion of polluted water collected at different sampling points in the pipe system. Considerations to minimize virus loss and matrix effects made us use direct virus precipitation based on PEG, thus minimizing limitations in recovery due to filtration [45] and in amplification due to addition of proteins [46]. By following the viral recovery using an internal process control and optimizing the amplification efficiency by testing and evaluating results from undiluted and diluted extracts, we found viral recoveries in the range of what previous studies have shown, 1.5–45% [20,47,48] and could thereby limit (by up to approximately one log) inhibition interfering with detection and quantification. Recently, it has been suggested that enteric viruses could act as molecular markers for water quality, as the monitoring of viral recoveries in the range of what previous studies have shown, 1.5–45% [20,47,48] and could thereby limit (by up to approximately one log) inhibition interfering with detection and quantification. Recently, it has been suggested that enteric viruses could act as molecular markers for water quality, as the monitoring of single indicator organisms such as bacteriophages and E. coli do not correlate with pathogens, demonstrating that public health might not be adequately protected using traditional monitoring schemes [49]. In this outbreak, the first water sample was taken after illness occurred and thus several days after contamination of the water. The late sampling did not interfere with the detection and further analysis of NoV in the water. However, given that the water samples could not be transported to the diagnostic facilities at the SSI before 20 December and that the SSI is not equipped to routinely analyse water we were not able to detect and type E. coli in the water samples. The E. coli O147 observed in two patients from the same household may therefore theoretically also have been present in the water and might have contributed to the gastrointestinal symptoms in other patients. However, the fact that the two E. coli positive patients were from the same household and that E. coli was not found in stools of other persons, suggests that the two constituted a separate household outbreak where water was not the source and therefore we consider this an unlikely scenario.

Diarrhea, vomiting, abdominal pain and nausea were the most frequently reported symptoms. The age distribution of cases and attack rates reflects a wide exposure to tap-water. The statistical significant increased risk (RR = 6.0) and dose-response relation between having diarrhoea and/or vomiting on 12–14 when having drunk tap-water on 12–13 December combined with a median incubation time of 45 hours supports a waterborne point-source outbreak with NoV as the causal agent. A dose-response effect for drinking water contaminated with NoV and developing gastrointestinal symptoms has previously been reported [50,51]. The environmental investigation revealed a leak from a sewage pipe placed above a broken tap-water pipe. The fall in water pressure during water supply renovations on the night of 11 December briefly enabled sewage water contamination of the tap-water supply. Water contamination with sewage water has previously been reported in waterborne outbreaks [41,32,33] and a cohort study conducted in Norway showed that breaks and maintenance in water supply system caused an increased risk of gastrointestinal illness among water recipients [54]. The time of contamination is supported by the temporal distribution of cases and average incubation time.

Overall, this investigation showed the benefits of timely cross-sectional collaboration in an outbreak investigation. Initial public health measures were implemented on 15 December and were continuously informed by the microbiological, epidemiological and environmental investigations. On 24 January, a public meeting was organized to inform the citizens about the process and conclusion of the outbreak investigation and to address any questions and concerns about the water safety and outbreak management. It could be concluded that the outbreak affected a large number of people and that the vehicle and pathogen was waterborne NoV, and caused by a temporary one-point sewage contamination of the drinking water due to fall in the pipe water pressure. The application of new and highly sensitive virology methods helped generate solid evidence of the vehicle and pathogen involved.

Acknowledgments

We would like to thank Torben Jørgensen, Ole Jensen and Kevin Klarskov Pedersen from Kalundborg Forsyningen (Kalundborg waterworks), Troels Sommer and his colleagues from Kalundborg Kommune (municipality of Kalundborg), Bente Jensen from Miljølab Højvang, Regional State Epidemiologist Arne Scheel Thomsen and most importantly the citizens living in the affected area in Kalundborg for their kind contributions to and collaboration in the epidemiological and microbiological investigation. Katharina E.P. Olsen, Henrik Vedel Nielsen, the laboratory staff of bacterial, parasite and virus laboratories at the SSI and Resadje Idrizi, DTU-Food are thanked for technical support and for their willingness to participate in the investigation. Aftab Jasir and Yvan Hutin are thanked for critical review of the manuscript. Finally, we would like to thank the local police and fire departments in Kalundborg for their collaboration and work during the outbreak investigation and the projects, VISK (EU Interreg IV A) and Aquavaleus (EU FP7-KBBE-2012-6), for financial support of the viral analyses of water.

Author Contributions

Conceived and designed the experiments: LVA FD ACS JF SE TKF SGL. Performed the experiments: LVA FD ACS JF CD MA JHE SGL. Analyzed the data: LVA FD ACS JF SE TKF SGL. Wrote the paper: LVA FD JF ACS SE TKF SGL.

References


